

ADMINISTRATIVE REGULATION STATE OF ARKANSAS BOARD OF CORRECTIONS

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SUBJECT: PERFORMANCE INCENTIVE GRANT FUNDING

DRAFT as of 6/29/2011 Printed: 7/8/2011

- **I. AUTHORITY.** The Board of Corrections is vested with the authority to promulgate this administrative regulation by Ark. Code Ann. §§ 12-27-105, 16-93-1203 and 16-93-1205.
- II. POLICY. It shall be the policy of the Board of Corrections (BOC) to establish and manage a competitive process for Performance Incentive Grant Funding (PIF) of evidence-based programs and practices and to monetarily reward State agencies, counties or judicial districts that implement criminal justice practices resulting in outcomes that reduce commitments to the Department of Correction (DOC) to continue those practices and encourage reductions statewide.
- **III. APPLICABILITY.** This policy applies to State agencies, county governments, judicial districts, and DCC.

IV. PROGRAM GUIDELINES.

A. Goals and Principles.

- 1. PIF funding applications must include a commitment to use validated assessment tools where applicable and be characterized in the proposed provision of treatment and/or services by the following principles of Evidence-Based Practice:
 - a. Risk/need assess actuarial risk;
 - b. Enhance internal motivation;
 - c. Target intervention;
 - d. Skill training with directed practice;
 - e. Increase positive reinforcement;
 - f. Engage in on-going support in the community
 - g. Measure relevant practices; and
 - h. Provide measurement feedback.
- 2. The primary program goal shall be to realize an overall effect of reduced recidivism. The program or service will address_stabilization or survival needs (e.g. housing, employment, education, detoxification, medical/health issues, transportation, and identification), and/or one or more of the criminogenic risk factors (e.g., substance abuse, antisocial attitudes and values, peers and



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personalities, low self-control, dysfunctional family relationships, mental health issues.

- **B.** Eligible Applicants. Entities eligible to apply for PIF include county governments, judicial districts, DCC or a combination of partnerships of the same, and must be willing to collect and objectively evaluate the data to prove reductions in recidivism. Baselines will be provided by the DCC for initial projects and will be as reflected at the end of 2009 in comparison to 2010.
- **C. Funding.** Initial grant funds awarded shall be one-time-only, not contingent on measured performance, but based on criteria contained herein and an objective review. Future funding under the provision of the law shall be tied to measured performance.
- **D. PIF Application.** DCC shall design and post on the agency website an application and procedures for applying and participating in the PIF program. The application shall include all information necessary to ensure the targeting of offender risks factors and appropriate supervision and treatment services.
- **E. PIF Review Committee.** The BOC chairman shall appoint a PIF review committee to review and make funding recommendations to the full Board on grant applications received during the solicitation period. Grants require the approval of the BOC. The decision is binding and is not subject to appeal.
- **F.** Oversight. The BOC will manage the program and administer the grant funds to appropriate applicants, including the DCC. Subject to the availability of funds, DCC will manage and administer grant funds to itself and counties, multi-county partnerships, and judicial districts in order to implement the policies and programs authorized by this program.

G. Incentive Awards.

1. Awards for reduction in commitments to the Department of Correction (DOC) will represent a portion of the monetary savings from the costs that would have been incurred had the state agency, county, or judicial district not reduced its impact on the DOC.



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- 2. Costs averted by eligible entities due to a reduction in commitments to the DOC or a reduction in the period of time served in the DOC (to the extent possible) will be reinvested into those entities as an incentive to further the crime and recidivism reduction strategies being employed.
- 3. Public and private treatment and service providers that receive state funds for the treatment of or service for offenders shall use evidence-based programs and practices.
- 4. PIF applicants will be notified of approval within 2 weeks of the BOC decision.
- **H. Monitoring.** DCC shall establish a method and tools for monitoring grant/award recipient activities at least annually, and provide periodic progress reports to the BOC.
- **I. Suspension.** The DCC Director has the right to suspend funding of any project that is operating outside of the scope of the program or in noncompliance with grant assurances and conditions. PIF recipients may make a written request to the BOC for a hearing concerning suspension. The BOC shall decide the outcome of the hearing.
- **J.** Credentials and Licensing. PIF applicants shall provide a proof of licensing and/or credentials (required pursuant to law or other authorities) for the services they propose to provide to offenders under community supervision.