# QUESTIONNAIRE FOR FILING PROPOSED RULES AND REGULATIONS WITH THE ARKANSAS LEGISLATIVE COUNCIL

DEPARTMENT/AGENCY	Arkansas Natural Re	esources Commi	ssion		
DIVISION	Conservation				
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NAME OF PRESENTER AT	COMMITTEE MI	EETING Cryst	tal Phelps		
PRESENTER E-MAIL cry	ystal.phelps@arkansa	as.gov			
	INSTR	<u>auctions</u>			
Arkansas Legi Bureau of Leg One Capitol N	ion <u>completely</u> using dexing your rules, his questionnaire and poposed rule and requise Rules Review Sect slative Council islative Research	g layman terms. please give the p nd financial imp puired documen	proposed cit	tation afte ent attache	r "Short Title
Little Rock, A					
************					****
1. What is the short title of the	is rule? Nutrient Ma	nagement Plann	er Certificati	on Rules	
2. What is the subject of the p	service	pdate addresses har e members, returnin ying with planner co	g military veter	rans, and the	
3. Is this rule required to comply with a federal statute, rule, or regulation?  If yes, please provide the federal rule, regulation, and/or statute citation.					No X
4. Was this rule filed under th	e emergency provision	ons of the Admii	nistrative Pro	ocedure Ac	t?
If yes, what is the effective rule?	date of the emergence	ey 	Yes		No X
When does the emergency	rule expire?				

	Procedure Act?	Yes 🗌	No 🗌
5.	Is this a new rule? Yes No X If yes, please provide a brief summary explaining the regulation.	_	
duty acci con Ani	This update is proposed to comply with recent updates to Ark. Code Ann. § 17-1 dress hardship related to compliance with nutrient management planner certification ruly service members, returning military veterans, and their spouses. ANRC proposes adreditation, expedited certification, consideration of military training and experience, litinuing education exemptions for active duty service members, returning military veteran. § 17-1-106 has been added to Section 2001.2, "Enabling and pertinent legislation." itary veteran" has also been added to the definitions found at Section 2001.3. No other	ales that may be explding Subtitle V to a cense or permit experans, and their spour The statutory defin	perienced by active address temporary piration, and uses. Ark. Code ition of "returning
	Does this repeal an existing rule? Yes No X If yes, a copy of the repealed rule is to be included with your complete replaced with a new rule, please provide a summary of the rule giving does.	d questionnaire. an explanation o	If it is being of what the rule
rul	Is this an amendment to an existing e? Yes X No  If yes, please attach a mark-up showing the changes in the existing rule substantive changes. Note: The summary should explain what the mark-up copy should be clearly labeled "mark-up."		
6.	Cite the state law that grants the authority for this proposed rule? If coo Code citation. § 15-20-1004 (c)	lified, please giv	ve the Arkansas
7.	What is the purpose of this proposed rule? Why is it necessary? See su	ımmary above, §	§ 17-1-106.
8.	Please provide the address where this rule is publicly accessible in electroquired by Arkansas Code § 25-19-108(b). <a href="http://www.anrc.arkansas.">http://www.anrc.arkansas.</a>		the Internet as
9.	Will a public hearing be held on this proposed rule? Yes x No If yes, please complete the following:		
	Date: December 11, 2017		
	Time: 2 p.m.		
	Place: St. Francis River Room, ANRC office		
10.	When does the public comment period expire for permanent promulgate December 27	tion? (Must prov	vide a date.)
11.	What is the proposed effective date of this proposed rule? (Must provid March 1, 2018	le a date.)	

12. Please provide a copy of the notice required under Ark. Code Ann. § 25-15-204(a), and proof of the publication of said notice.

- 13. Please provide proof of filing the rule with the Secretary of State and the Arkansas State Library as required pursuant to Ark. Code Ann. § 25-15-204(e).
- 14. Please give the names of persons, groups, or organizations that you expect to comment on these rules? Please provide their position (for or against) if known. <u>Nutrient management planners</u>

# FINANCIAL IMPACT STATEMENT

# PLEASE ANSWER ALL QUESTIONS COMPLETELY

DE	PAR	IMENT	Arkansas Na	tural Re	sources C	ommissi	on			
DI	VISIC	ON	Conservation	1						
PE	RSO	N COMPL	ETING THIS	STAT	EMENT	Crystal	Phelps			
TE	LEPI	HONE (501	) 682-3905	FAX	(501) 682	2-3991	EMAIL:	Cryst	al.phelps@	arkansas.gov
			k. Code Ann. § wo copies with						g Financial	Impact
SE	IORT	TITLE O	F THIS RUL	E Nut	rient Man	agement	Planner Cer	tificat	ion Rules	
1.	Does	s this propo	sed, amended,	or repe	aled rule l	nave a fin	ancial impa	ct?	Yes 🗌	No X
2.	econ	omic, or otl	l on the best re her evidence a quences of, and	nd infor	mation av	ailable c			Yes X	No 🗌
3.			of the alternate of the least c				ule determin	ned	Yes X	No 🗌
	If an	agency is p	proposing a mo	ore costl	y rule, ple	ease state	the following	ng:		
	(a)	How the a	dditional bene	fits of tl	ne more co	ostly rule	justify its a	dditio	nal cost;	
	(b)	The reason	n for adoption	of the n	nore costly	y rule;				
	(c)		he more costly se explain; and		based on t	the intere	sts of public	healt	h, safety, or	welfare, and
	(d)	Whether the explain.	ne reason is w	ithin the	scope of	the agend	cy's statutor	y auth	ority; and if	f so, please
4.	If the	e purpose of	this rule is to in	mpleme	nt a federal	l rule or re	egulation, ple	ease st	ate the follow	wing:
	(a)	What is th	e cost to imple	ement th	e federal	rule or re	gulation?			
<u>Cı</u>	ırren	t Fiscal Yea	<u>ar</u>			<u>Ne</u>	xt Fiscal Ye	<u>ear</u>		
Fe Ca Sp	deral ish Fu ecial	Revenue Funds nds Revenue dentify)				Fed Cas Spe	neral Revenu leral Funds sh Funds scial Revenu ner (Identify)	ıe		

To	tal	
	(b) What is the additional cost of the st	ate rule?
	Current Fiscal Year	Next Fiscal Year
	General Revenue Federal Funds Cash Funds Special Revenue Other (Identify)	Federal Funds Cash Funds Special Revenue
	Total	Total
<u>Cı</u>		vear to any private individual, entity and business subject to Identify the entity(ies) subject to the proposed rule and  Next Fiscal Year  1 0
		year to state, county, and municipal government to ne program or grant? Please explain how the government is  Next Fiscal Year  \$ 0
7.	or obligation of at least one hundred thou	Questions #5 and #6 above, is there a new or increased cost is and dollars (\$100,000) per year to a private individual, ernment, county government, municipal government, or to
	two (2) or more of those entities combine	ed?
		Yes No X
	time of filing the financial impact stateme	ode Ann. § 25-15-204(e)(4) to file written findings at the ent. The written findings shall be filed simultaneously hall include, without limitation, the following:
	(1) a statement of the rule's basis and pur	rpose;
	(2) the problem the agency seeks to address a rule is required by statute;	ess with the proposed rule, including a statement of whether
	(3) a description of the factual evidence t  (a) justifies the agency's need for	

- (4) a list of less costly alternatives to the proposed rule and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;
- (5) a list of alternatives to the proposed rule that were suggested as a result of public comment and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;
- (6) a statement of whether existing rules have created or contributed to the problem the agency seeks to address with the proposed rule and, if existing rules have created or contributed to the problem, an explanation of why amendment or repeal of the rule creating or contributing to the problem is not a sufficient response; and
- (7) an agency plan for review of the rule no less than every ten (10) years to determine whether, based upon the evidence, there remains a need for the rule including, without limitation, whether:
  - (a) the rule is achieving the statutory objectives;
  - (b) the benefits of the rule continue to justify its costs; and
  - (c) the rule can be amended or repealed to reduce costs while continuing to achieve the statutory objectives.

ANRC-138.00

#### **MARKUP**

# Arkansas Natural Resources Commission Rules Governing the Arkansas Nutrient Management Planner Certification Program

# Title 20

(amended <del>January 1, 2010</del> March 1, 2018)

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Arkansas Natural Resources Commission Rules Governing the Arkansas Nutrient Management Planner Certification Program (amended <del>January 1, 2010</del> <u>March 1, 2018</u>)

#### Subtitle I. General provisions

#### Section 2001.1 Purpose.

- A. These rules govern the Commission's Nutrient Management Planner Certification Program for individuals who prepare nutrient management plans.
- B. Planners prepare nutrient management plans to indicate how nutrients should be applied to fields and other land for crop production while protecting ground water and surface water from excessive nutrient enrichment.
- C. Plans contain operating procedures based on expected crop type, existing nutrient levels in the soil, organic residuals, optimum timing and placement of nutrients, environmental resource protection, and agronomic practices such as liming, tillage, and crop rotation.
- D. The Commission shall certify the competence of individuals to prepare these plans and determine information to be contained in nutrient management plans. The training provided by the Commission or its delegate shall at a minimum meet the United States Department of Agriculture Natural Resource Conservation Service conservation practice standards for Arkansas. To maintain certification, certified nutrient planners must develop plans in accord with certified nutrient planner training.
- E. The Commission shall issue certificates of competence to certified nutrient planners.

#### Section 2001.2 Enabling and pertinent legislation.

- A. Arkansas Code Annotated § 8-4-101 et seq., "Arkansas Water and Air Pollution Control Act."
- B. Ark. Code Ann. §15-20-201 et seq., "Arkansas Natural Resources Commission Act."
- C. Ark. Code Ann. §15-20-1001 et seq., "Arkansas Soil Nutrient Management Planner and Applicator Certification Act."
- D. Ark. Code Ann. § 25-15-201 et seq., "Arkansas Administrative Procedure Act."
- E. Ark. Code Ann. § 17-1-106, Licensure, certification, or permitting of active duty service members, returning military veterans, and spouses.

#### Section 2001.3 Definitions.

- A. "Administrative consent order" means a legal agreement signed by the Director and a certified nutrient planner.
- B. "Arkansas Phosphorus Index" means the risk-based assessment tool referenced in nutrient management plans developed to govern the terms and conditions under which nutrients may be land-applied See P. A. Moore, A. N. Sharpley, W. Delp, B. Haggard, T. Daniel, K. VanDevender, A. Baber, and M. Daniels; The Revised Phosphorus Index (2009).

- 1. Any nutrient management plan drafted or revised pursuant to the Arkansas Phosphorus Index effective at the time of its drafting or revision will not be deemed out of compliance for failure to use the current index until five years have passed since the plan was drafted or revised
- 2. However, any updates to that nutrient management plan within that five-year period must be conducted in a compliance with the index effective at the time of update
- C. "Certified nutrient planner" means a person who has been certified by the Commission as competent to develop nutrient management plans.
- D. "Commission" means the Arkansas Natural Resources Commission as described in Ark. Code Ann. §15-20-201, et seq.
- E. "Conservation District" means a district created under the Conservation Districts Law, Ark. Code Ann. §14-125-101, et seq.
- F. "Delegate" means a person or entity appointed by the Director to function in a specific capacity in furtherance of this program and may be another agency, commission, organization, or company, whether public or private.
- G. "Director" means the Executive Director of the Arkansas Natural Resources Commission
- H. "Litter" means byproducts associated with the confinement of livestock, including excrement, feed wastes, bedding materials, composted carcasses, and any combinations thereof.
- I. "Livestock" means animals kept or raised for use or pleasure, especially farm animals kept for use and profit, including horses, cattle, swine, and poultry.
- J. "Nutrient" means a substance or recognized plant nutrient, element, or compound which is used or sold for its plant nutritive content or its claimed nutritive value. The term includes substances found in, but is not limited to, Litter, compost as fertilizer, commercially manufactured chemical or organic fertilizers, sewage sludge, or combinations thereof.
- K. "Nutrient management plan" means a documented record of how nutrients will be managed on a nutrient management unit prepared in accordance with United States Department of Agriculture Natural Resources Conservation Service conservation practice standards for Arkansas to guide and assist landowners and operators in the use of fertilizers, litter, sewage sludges, compost and other nutrient sources for soil fertility and protection of the waters within the state.
- L. "Poultry" means chickens, turkeys, ducks, geese and any other domesticated birds.
- M. "Returning Military Veteran" means a former member of the United States Armed Forces who was discharged from active duty under circumstances other than dishonorable.
- <u>M. N.</u> "Warning letter" means a written description signed by the Director of a violation of this Title and the necessary corrective action. A warning letter cannot be used to assess civil penalties, is not appealable to the Commission, and is not final agency action.

Section 2001.4 Duties of other state agencies. The provisions of this chapter shall not limit the powers of other state agencies.

#### Subtitle II. Requirements for certification

#### Section 2002.1 Eligibility for certification.

- A. An applicant may obtain certification by satisfying all of the following requirements:
  - 1. Satisfactorily completing and submitting to the Commission an application form;
  - 2. Attending the certified nutrient planner training course provided by the commission or its delegate;
  - 3. Obtaining a passing score on the certified nutrient planner examination administered by the Commission or its delegate;
    - 4. Submitting a \$100.00 certification fee; and
    - 5. Submitting a \$25.00 testing fee.
- B. Anyone initially certified or in the process of certification by the Natural Resources Conservation Service (NRCS) as a nutrient management planner prior to the effective date of these rules who provides acceptable proof of certification to the Commission will be recognized as certified under this Title without further testing or training and may have certification fees waived by the Director. Persons initially certified by the NRCS must still attain required continuing education credit to be recertified under this Title.

#### Section 2002.2 Review of application.

A. The application and supporting documentation to obtain certification will be reviewed by the Commission. The Commission will inform the applicant in writing if it determines that the application is incomplete and will specify why the application is incomplete. When a completed application, supplemental application, or requested information is returned, the Commission will continue action on the application.

## Section 2002.3 Training course.

The Commission or its delegate will periodically provide a certified nutrient planner training session on concepts supporting and related to nutrient management plan development prior to scheduled examinations.

#### Section 2002.4 Examination.

- A. The Commission or its delegate shall administer certified nutrient planner certification examinations at least once per year for a \$25.00 examination fee that must be paid prior to sitting for the test. The Commission may limit the number of applicants taking the examination based upon available examination space.
- B. The Commission shall establish a passing score for the examination based on the Commission's determination of the level of examination performance required to show minimal, acceptable competence.
- C. If an applicant does not achieve a passing score on the examination, he or she may retake the examination at the next scheduled time. An applicant may retake the examination one time with no additional charge by resubmitting the application for certification.

- D. All applications must be received ten days prior to the examination date set by the Commission.
- E. An applicant who is unable to take an examination at the scheduled time shall notify the Commission at least five days prior to the date and time of the examination. The applicant will be rescheduled for the next examination. Failure to timely notify the Commission may require the applicant to submit new testing fees.

#### Section 2002.5 Notification of results.

- A. Each applicant shall be notified of results in writing within sixty days of the completion of the examination and shall also receive notice of whether certification has been approved.
- B. An applicant denied certification for a reason other than test failure may request review of the decision pursuant to Commission Rules, Title I, Rules of Organization and General Operation of the Arkansas Natural Resources Commission.

#### Subtitle III. Maintaining certification

#### Section 2003.1 Term of certification.

- A. Certification shall be valid for a period of five years and will automatically expire on January 1 of the fifth year following certification if the certificate holder fails to properly renew certification.
- B. Following the expiration of a certificate, reinstatement may be accomplished only by reapplication and compliance with all requirements, including the examination unless waived by the Commission for good cause.
- C. Certification held by an active duty military service member or the spouse of an active duty military service member deployed outside the State of Arkansas shall not expire until one hundred eighty (180) days following the active duty military service member's or the spouse's return from active deployment.

#### Section 2003.2 Renewal of certification.

- A. A certified nutrient planner must renew certification prior to the certificate's expiration date by complying with all of the following requirements:
  - 1. Submission of the renewal application form;
  - 2. Payment of a \$100 renewal fee to the Commission; and
  - 3. Completion of at least four (hours of continuing education pre-approved by the Commission within the last five years.
- B. If the certified nutrient planner has knowingly violated Commission rules or refused to pay fines assessed by the Commission, the Commission may choose not to renew certification.

# Section 2003.3 Requirement to keep current address on file.

A. A certified nutrient planner is required to provide the Commission with current address and other requested contact information within fifteen working days of

change so that the Commission can advise him or her of updates to law and rules as well as provide notice of complaints and hearings.

- B. Service of notice sent by mail will be addressed to the most recent address on file with the Commission. Registered or certified Mail sent with proper postage and to the last known address that is returned unclaimed shall be considered adequate notification of notice served.
- C. Failure to update Commission staff with current address may be considered refusal to accept mail which is a violation of this Title and could result in disciplinary action.

#### Section 2003.4 Continuing education.

- A. A certified nutrient planner must have proof of four approved continuing education credits completed during the five previous years to renew his or her certification. Each continuing education credit refers to an hour of instruction, approved for credit by the Commission and designed to provide further knowledge of nutrient management planning.
- B. A certified nutrient planner may either take continuing education courses provided by the Commission or pre-approved classes provided by third parties.

#### Section 2003.5 Approval of third party courses.

- A. Programs submitted for pre-approval shall be considered by the Commission only if the sponsor or attendee submits a written description. The description must include the names and qualifications of the presenters, the time and location, the proposed number of credits, and the program's objectives. Programs may be held within or outside the state, and must be related to Nutrient management planning or compliance with the Commission's Rules and other governmental and industry requirements. The Commission at its discretion may approve a course for credit after the course has taken place.
- B. Program sponsors must provide written proof of attendance to attendees following each event and must provide the Commission with a list or lists of attendees by January 1 of each year. Certified nutrient planners are also responsible for obtaining proof of attendance and sending that proof into the Commission when certification is renewed.

## Subtitle IV. Record keeping.

#### Section 2004.1 Maintaining plans.

- A. The Commission may periodically inspect nutrient management plans prepared by certified nutrient planners and other records for compliance with this Title. A complete copy of each nutrient management plan prepared shall be made available for inspection by Commission personnel upon request.
- B. The certified nutrient planner must also maintain a summary listing of all nutrient management plans prepared for each landowner or operator and the dates the plans were prepared or revised.
  - C. The records required by this section shall not be public records.

Section 2004.2 Quarterly activity reports.

A certified nutrient planner shall file a quarterly activity report with the Commission, containing the following information:

- 1. name and certificate number of the certified nutrient planner; and
- 2. number of nutrient management plans completed.

# <u>Subtitle V. Rules applicable to active duty service members,</u> returning military veterans, and spouses

Section 2005.1 Temporary accreditation for active duty military, returning military veterans, and spouses.

The Commission will issue temporary accreditation to an individual applying for Arkansas accreditation who

- 1. Holds a substantially equivalent accreditation issued by another state who is in good standing with that state; and
  - 2. Is one of the following:
  - A. An active duty military service member stationed in the State of Arkansas;
  - B. A returning military veteran applying within one (1) year of his or her discharge from active duty; or
    - C. The spouse of a person described by (2)(A) or (B).

#### Section 2005.2 Expedited certification.

The Commission shall expedite the certification process for the following individuals:

- 1. A an active duty military member stationed in Arkansas;
- 2. A returning military veteran applying within one (1) year of his or her discharge from active duty; or
- 3. The spouse of a person described by (1) or (2) of this section.

Section 2005.3 Consideration of military training and experience in lieu of experience or education.

When considering an application for full licensure, the Commission shall:

- A. Consider whether or not the applicant's military training and experience is substantially similar to experience or education required for accreditation; and
- B. Accept the applicant's military training and experience in lieu of experience or education required for accreditation if the Commission determines the military training and experience is a satisfactory substitute for the experience or education required for accreditation.

2005.4 Certification expiration. A certification issued by the Commission held by an active duty military service member or the spouse of an active duty military service member deployed outside Arkansas shall not expire until one

hundred eighty (180) days following the active duty military service member's or the spouse's return from active deployment.

#### 2005.5 Continuing education exemption.

- A. The Commission shall allow a full or partial exemption from accreditation continuing education requirements for the following individuals:
  - 1. An active duty military service member stationed in the State of Arkansas;
  - 2. A returning military veteran applying within one (1) year of his or her discharge from active duty; or
    - 3. The spouse of a person under (1) or (2) of this section.
- B. The Commission may require the completion of continuing education before issuing a subsequent accreditation for renewal purposes.

[Drafter's note: The remainder of this Title has been renumbered to account for the addition of Subtitle V.]

#### Subtitle VI. Fees

Section 2006.1 Fees.

- A. Fees are non-refundable and shall not be prorated.
- B. The Commission will assess the following fees:
  - 1. Certification = \$100.00:
  - 2. Examination fee = \$25.00; and
  - 3. Re-certification = \$100.00.
- C. All fees collected by the Commission through any penalty assessed under this Title shall be deposited in the state treasury and shall be used to operate the programs administered by the Commission through Titles 19, 20, 21, and 22 of the Commission's rules.

## Subtitle VII. Penalty for noncompliance

Section 2007.1 Noncompliance.

It shall be a violation of Ark. Code Ann. § 15-20-1001 et seq. and these rules for a certified nutrient planner to:

- 1. Provide misleading, false, or fraudulent information in applying for certification;
  - 2. Provide the Commission with any misleading, false or fraudulent plan;
- 3. Offer or prepare a nutrient management plan, and if applicable, a poultry litter management plan, that does not comply with the requirements for such plans found in Title XXII, Rules Governing the Arkansas Soil Nutrient and Poultry Litter Application and Management Program;

- 4. Fail to promptly provide any record or plan or to allow the Commission access to inspect any records required to be kept by these rules; or
  - 5. Violate any other requirement imposed by rule in this Title.

#### Section 2007.2 Review of suspected noncompliance.

- A. Upon complaint or suspicion of suspected violation of 2006.1, Commission or conservation district staff may investigate and recommend disciplinary action to the Director.
  - 1. Anyone providing information to the Commission about a possible violation must provide a written complaint stating the complainant's legal name and current mailing and physical addresses. The complaint must be verified by the notarized signature of the complainant.
  - 2. Complaints are subject to the Freedom of Information Act, Ark. Code Ann. § 25-19-101 et seq.
- B. After reviewing evidence provided to him or her regarding a possible violation, the Director will determine whether there is evidence that a violation has occurred. If the Director finds that a violation occurred, the Director may issue a warning letter or administrative consent order to the alleged violator, stating the violation and the resulting corrective or disciplinary action. If an incomplete complaint is received or the Director finds that there is not sufficient evidence of a violation, the Director will inform the complainant and alleged violator in writing.

#### Section 2007.3 Administrative penalties.

- A. Upon the first violation of these rules by a certified nutrient planner within any one-year period, the Planner shall be issued a warning letter. For the second violation within any one-year period, the Director may assess not more than fifty dollars (\$50) in cumulative civil penalties. Upon the third violation within any one-year period, the Director may impose a penalty not to exceed one thousand dollars (\$1,000) for each violation of Ark. Code Ann. §15-20-1001 et seq. and this Title. Following the third violation, the Commission may also choose to suspend, revoke, annul or withdraw the certified nutrient planner's certification.
- B. A warning letter or administrative consent order may be served by certified mail, restricted delivery, return receipt requested to the address on file with the Commission or district or by any means sufficient for service of process in a civil court action.
- C. The alleged violator may choose to sign an administrative consent order and thereby agree to the penalties contained within the order. The certified nutrient planner will also be deemed to have consented to the order if he or she fails to respond and the Commission has received a certified mail receipt or other proof showing that the alleged violator was served with the administrative consent order.
- D. If the alleged violator disputes that a violation occurred or believes the assessed penalty is too harsh, the certified nutrient planner must respond to the administrative consent order within thirty days by requesting a hearing before the Commission. The hearing will be conducted in accordance with Commission Rules, Title I. The Commission has the burden of proving the alleged facts and violations of law stated in the administrative consent order. The Commission and the Director may issue

subpoenas to any witness requiring his or her attendance and testimony before the Commission as provided in Ark. Code Ann. § 15-22-208.

E. The Commission will not take final administrative action against persons accused of violating this Title or Ark. Code Ann. § 15-20-1001 et seq. until the accused person has executed an administrative consent order or been given opportunity for a hearing to review the decision under Title I.

#### Section 2007.4 Emergency action.

If the Commission finds that the public health, safety, or welfare imperatively requires emergency action and incorporates that finding in its order, the agency can summarily suspend, limit, or restrict certification prior to holding an adjudicative hearing, so long as an adjudicative hearing is held within ten days pursuant to Title I.

#### Section 2007.5 Reinstatement after suspension.

No suspended certified nutrient planner will be reinstated until the individual satisfies any condition imposed by the Commission or the Director as a condition of reinstatement.

#### Section 2007.6 Re-certification after revocation.

No certified nutrient planner whose certification has been revoked will be recertified until the individual satisfies any condition imposed by the Commission or the Director as a condition of re-certification. No individual will be re-certified unless the Commission approves re-certification by a majority vote.

#### Subtitle VII. Appeals procedure

#### Section 2008.1 Judicial review.

By law, a person who considers himself or herself injured in his or her person, business, or property by an executed administrative consent order or a Commission order following a hearing has the right to appeal the case to district court in his county of residence, the county where he does business, or in Pulaski County within thirty days of the decision. The executed administrative consent order or Commission decision shall constitute final agency action for the purpose of judicial review. Judicial review of final agency action shall be as provided by the Arkansas Administrative Procedure Act, Ark. Code Ann. § 25-15-201 et. seq.

ANRC-138.00

# Arkansas Natural Resources Commission Rules Governing the Arkansas Nutrient Management Planner Certification Program Title 20

(amended March 1, 2018)

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#### Arkansas Natural Resources Commission Rules Governing the Arkansas Nutrient Management Planner Certification Program (amended March 1, 2018)

#### Subtitle I. General provisions

#### Section 2001.1 Purpose.

- A. These rules govern the Commission's Nutrient Management Planner Certification Program for individuals who prepare nutrient management plans.
- B. Planners prepare nutrient management plans to indicate how nutrients should be applied to fields and other land for crop production while protecting ground water and surface water from excessive nutrient enrichment.
- C. Plans contain operating procedures based on expected crop type, existing nutrient levels in the soil, organic residuals, optimum timing and placement of nutrients, environmental resource protection, and agronomic practices such as liming, tillage, and crop rotation.
- D. The Commission shall certify the competence of individuals to prepare these plans and determine information to be contained in nutrient management plans. The training provided by the Commission or its delegate shall at a minimum meet the United States Department of Agriculture Natural Resource Conservation Service conservation practice standards for Arkansas. To maintain certification, certified nutrient planners must develop plans in accord with certified nutrient planner training.
- E. The Commission shall issue certificates of competence to certified nutrient planners.

#### Section 2001.2 Enabling and pertinent legislation.

- A. Arkansas Code Annotated § 8-4-101 et seq., "Arkansas Water and Air Pollution Control Act."
- B. Ark. Code Ann. §15-20-201 et seq., "Arkansas Natural Resources Commission Act."
- C. Ark. Code Ann. §15-20-1001 et seq., "Arkansas Soil Nutrient Management Planner and Applicator Certification Act."
- D. Ark. Code Ann. § 25-15-201 et seq., "Arkansas Administrative Procedure Act."
- E. Ark. Code Ann. § 17-1-106, Licensure, certification, or permitting of active duty service members, returning military veterans, and spouses.

#### Section 2001.3 Definitions.

- A. "Administrative consent order" means a legal agreement signed by the Director and a certified nutrient planner.
- B. "Arkansas Phosphorus Index" means the risk-based assessment tool referenced in nutrient management plans developed to govern the terms and conditions under which nutrients may be land-applied See P. A. Moore, A. N. Sharpley, W. Delp, B. Haggard, T. Daniel, K. VanDevender, A. Baber, and M. Daniels; The Revised Phosphorus Index (2009).

- 1. Any nutrient management plan drafted or revised pursuant to the Arkansas Phosphorus Index effective at the time of its drafting or revision will not be deemed out of compliance for failure to use the current index until five years have passed since the plan was drafted or revised
- 2. However, any updates to that nutrient management plan within that five-year period must be conducted in a compliance with the index effective at the time of update
- C. "Certified nutrient planner" means a person who has been certified by the Commission as competent to develop nutrient management plans.
- D. "Commission" means the Arkansas Natural Resources Commission as described in Ark. Code Ann. §15-20-201, et seq.
- E. "Conservation District" means a district created under the Conservation Districts Law, Ark. Code Ann. §14-125-101, et seq.
- F. "Delegate" means a person or entity appointed by the Director to function in a specific capacity in furtherance of this program and may be another agency, commission, organization, or company, whether public or private.
- G. "Director" means the Executive Director of the Arkansas Natural Resources Commission
- H. "Litter" means byproducts associated with the confinement of livestock, including excrement, feed wastes, bedding materials, composted carcasses, and any combinations thereof.
- I. "Livestock" means animals kept or raised for use or pleasure, especially farm animals kept for use and profit, including horses, cattle, swine, and poultry.
- J. "Nutrient" means a substance or recognized plant nutrient, element, or compound which is used or sold for its plant nutritive content or its claimed nutritive value. The term includes substances found in, but is not limited to, Litter, compost as fertilizer, commercially manufactured chemical or organic fertilizers, sewage sludge, or combinations thereof.
- K. "Nutrient management plan" means a documented record of how nutrients will be managed on a nutrient management unit prepared in accordance with United States Department of Agriculture Natural Resources Conservation Service conservation practice standards for Arkansas to guide and assist landowners and operators in the use of fertilizers, litter, sewage sludges, compost and other nutrient sources for soil fertility and protection of the waters within the state.
- L. "Poultry" means chickens, turkeys, ducks, geese and any other domesticated birds.
- M. "Returning Military Veteran" means a former member of the United States Armed Forces who was discharged from active duty under circumstances other than dishonorable.
- N. "Warning letter" means a written description signed by the Director of a violation of this Title and the necessary corrective action. A warning letter cannot be used to assess civil penalties, is not appealable to the Commission, and is not final agency action.

Section 2001.4 Duties of other state agencies. The provisions of this chapter shall not limit the powers of other state agencies.

#### Subtitle II. Requirements for certification

#### Section 2002.1 Eligibility for certification.

- A. An applicant may obtain certification by satisfying all of the following requirements:
  - 1. Satisfactorily completing and submitting to the Commission an application form;
  - 2. Attending the certified nutrient planner training course provided by the commission or its delegate;
  - 3. Obtaining a passing score on the certified nutrient planner examination administered by the Commission or its delegate;
    - 4. Submitting a \$100.00 certification fee; and
    - 5. Submitting a \$25.00 testing fee.
- B. Anyone initially certified or in the process of certification by the Natural Resources Conservation Service (NRCS) as a nutrient management planner prior to the effective date of these rules who provides acceptable proof of certification to the Commission will be recognized as certified under this Title without further testing or training and may have certification fees waived by the Director. Persons initially certified by the NRCS must still attain required continuing education credit to be recertified under this Title.

#### Section 2002.2 Review of application.

A. The application and supporting documentation to obtain certification will be reviewed by the Commission. The Commission will inform the applicant in writing if it determines that the application is incomplete and will specify why the application is incomplete. When a completed application, supplemental application, or requested information is returned, the Commission will continue action on the application.

## Section 2002.3 Training course.

The Commission or its delegate will periodically provide a certified nutrient planner training session on concepts supporting and related to nutrient management plan development prior to scheduled examinations.

#### Section 2002.4 Examination.

- A. The Commission or its delegate shall administer certified nutrient planner certification examinations at least once per year for a \$25.00 examination fee that must be paid prior to sitting for the test. The Commission may limit the number of applicants taking the examination based upon available examination space.
- B. The Commission shall establish a passing score for the examination based on the Commission's determination of the level of examination performance required to show minimal, acceptable competence.
- C. If an applicant does not achieve a passing score on the examination, he or she may retake the examination at the next scheduled time. An applicant may retake the examination one time with no additional charge by resubmitting the application for certification.

- D. All applications must be received ten days prior to the examination date set by the Commission.
- E. An applicant who is unable to take an examination at the scheduled time shall notify the Commission at least five days prior to the date and time of the examination. The applicant will be rescheduled for the next examination. Failure to timely notify the Commission may require the applicant to submit new testing fees.

#### Section 2002.5 Notification of results.

- A. Each applicant shall be notified of results in writing within sixty days of the completion of the examination and shall also receive notice of whether certification has been approved.
- B. An applicant denied certification for a reason other than test failure may request review of the decision pursuant to Commission Rules, Title I, Rules of Organization and General Operation of the Arkansas Natural Resources Commission.

#### Subtitle III. Maintaining certification

#### Section 2003.1 Term of certification.

- A. Certification shall be valid for a period of five years and will automatically expire on January 1 of the fifth year following certification if the certificate holder fails to properly renew certification.
- B. Following the expiration of a certificate, reinstatement may be accomplished only by reapplication and compliance with all requirements, including the examination unless waived by the Commission for good cause.
- C. Certification held by an active duty military service member or the spouse of an active duty military service member deployed outside the State of Arkansas shall not expire until one hundred eighty (180) days following the active duty military service member's or the spouse's return from active deployment.

#### Section 2003.2 Renewal of certification.

- A. A certified nutrient planner must renew certification prior to the certificate's expiration date by complying with all of the following requirements:
  - 1. Submission of the renewal application form;
  - 2. Payment of a \$100 renewal fee to the Commission; and
  - 3. Completion of at least four (hours of continuing education pre-approved by the Commission within the last five years.
- B. If the certified nutrient planner has knowingly violated Commission rules or refused to pay fines assessed by the Commission, the Commission may choose not to renew certification.

# Section 2003.3 Requirement to keep current address on file.

A. A certified nutrient planner is required to provide the Commission with current address and other requested contact information within fifteen working days of

change so that the Commission can advise him or her of updates to law and rules as well as provide notice of complaints and hearings.

- B. Service of notice sent by mail will be addressed to the most recent address on file with the Commission. Registered or certified Mail sent with proper postage and to the last known address that is returned unclaimed shall be considered adequate notification of notice served.
- C. Failure to update Commission staff with current address may be considered refusal to accept mail which is a violation of this Title and could result in disciplinary action.

#### Section 2003.4 Continuing education.

- A. A certified nutrient planner must have proof of four approved continuing education credits completed during the five previous years to renew his or her certification. Each continuing education credit refers to an hour of instruction, approved for credit by the Commission and designed to provide further knowledge of nutrient management planning.
- B. A certified nutrient planner may either take continuing education courses provided by the Commission or pre-approved classes provided by third parties.

#### Section 2003.5 Approval of third party courses.

- A. Programs submitted for pre-approval shall be considered by the Commission only if the sponsor or attendee submits a written description. The description must include the names and qualifications of the presenters, the time and location, the proposed number of credits, and the program's objectives. Programs may be held within or outside the state, and must be related to Nutrient management planning or compliance with the Commission's Rules and other governmental and industry requirements. The Commission at its discretion may approve a course for credit after the course has taken place.
- B. Program sponsors must provide written proof of attendance to attendees following each event and must provide the Commission with a list or lists of attendees by January 1 of each year. Certified nutrient planners are also responsible for obtaining proof of attendance and sending that proof into the Commission when certification is renewed.

## Subtitle IV. Record keeping.

#### Section 2004.1 Maintaining plans.

- A. The Commission may periodically inspect nutrient management plans prepared by certified nutrient planners and other records for compliance with this Title. A complete copy of each nutrient management plan prepared shall be made available for inspection by Commission personnel upon request.
- B. The certified nutrient planner must also maintain a summary listing of all nutrient management plans prepared for each landowner or operator and the dates the plans were prepared or revised.
  - C. The records required by this section shall not be public records.

Section 2004.2 Quarterly activity reports.

A certified nutrient planner shall file a quarterly activity report with the Commission, containing the following information:

- 1. name and certificate number of the certified nutrient planner; and
- 2. number of nutrient management plans completed.

# <u>Subtitle V. Rules applicable to active duty service members,</u> returning military veterans, and spouses

Section 2005.1 Temporary accreditation for active duty military, returning military veterans, and spouses.

The Commission will issue temporary accreditation to an individual applying for Arkansas accreditation who

- 1. Holds a substantially equivalent accreditation issued by another state who is in good standing with that state; and
  - 2. Is one of the following:
  - A. An active duty military service member stationed in the State of Arkansas;
  - B. A returning military veteran applying within one (1) year of his or her discharge from active duty; or
    - C. The spouse of a person described by (2)(A) or (B).

#### Section 2005.2 Expedited certification.

The Commission shall expedite the certification process for the following individuals:

- 1. A an active duty military member stationed in Arkansas;
- 2. A returning military veteran applying within one (1) year of his or her discharge from active duty; or
- 3. The spouse of a person described by (1) or (2) of this section.

Section 2005.3 Consideration of military training and experience in lieu of experience or education.

When considering an application for full licensure, the Commission shall:

- A. Consider whether or not the applicant's military training and experience is substantially similar to experience or education required for accreditation; and
- B. Accept the applicant's military training and experience in lieu of experience or education required for accreditation if the Commission determines the military training and experience is a satisfactory substitute for the experience or education required for accreditation.
- 2005.4 Certification expiration. A certification issued by the Commission held by an active duty military service member or the spouse of an active duty military service member deployed outside Arkansas shall not expire until one

hundred eighty (180) days following the active duty military service member's or the spouse's return from active deployment.

#### 2005.5 Continuing education exemption.

- A. The Commission shall allow a full or partial exemption from accreditation continuing education requirements for the following individuals:
  - 1. An active duty military service member stationed in the State of Arkansas;
  - 2. A returning military veteran applying within one (1) year of his or her discharge from active duty; or
    - 3. The spouse of a person under (1) or (2) of this section.
- B. The Commission may require the completion of continuing education before issuing a subsequent accreditation for renewal purposes.

#### Subtitle VI. Fees

#### Section 2006.1 Fees.

- A. Fees are non-refundable and shall not be prorated.
- B. The Commission will assess the following fees:
  - 1. Certification = \$100.00;
  - 2. Examination fee = \$25.00; and
  - 3. Re-certification = \$100.00.
- C. All fees collected by the Commission through any penalty assessed under this Title shall be deposited in the state treasury and shall be used to operate the programs administered by the Commission through Titles 19, 20, 21, and 22 of the Commission's rules.

# Subtitle VII. Penalty for noncompliance

## Section 2007.1 Noncompliance.

It shall be a violation of Ark. Code Ann. § 15-20-1001 et seq. and these rules for a certified nutrient planner to:

- 1. Provide misleading, false, or fraudulent information in applying for certification:
  - 2. Provide the Commission with any misleading, false or fraudulent plan;
- 3. Offer or prepare a nutrient management plan, and if applicable, a poultry litter management plan, that does not comply with the requirements for such plans found in Title XXII, Rules Governing the Arkansas Soil Nutrient and Poultry Litter Application and Management Program;
- 4. Fail to promptly provide any record or plan or to allow the Commission access to inspect any records required to be kept by these rules; or
  - 5. Violate any other requirement imposed by rule in this Title.

#### Section 2007.2 Review of suspected noncompliance.

- A. Upon complaint or suspicion of suspected violation of 2006.1, Commission or conservation district staff may investigate and recommend disciplinary action to the Director.
  - 1. Anyone providing information to the Commission about a possible violation must provide a written complaint stating the complainant's legal name and current mailing and physical addresses. The complaint must be verified by the notarized signature of the complainant.
  - 2. Complaints are subject to the Freedom of Information Act, Ark. Code Ann. § 25-19-101 et seq.
- B. After reviewing evidence provided to him or her regarding a possible violation, the Director will determine whether there is evidence that a violation has occurred. If the Director finds that a violation occurred, the Director may issue a warning letter or administrative consent order to the alleged violator, stating the violation and the resulting corrective or disciplinary action. If an incomplete complaint is received or the Director finds that there is not sufficient evidence of a violation, the Director will inform the complainant and alleged violator in writing.

#### Section 2007.3 Administrative penalties.

- A. Upon the first violation of these rules by a certified nutrient planner within any one-year period, the Planner shall be issued a warning letter. For the second violation within any one-year period, the Director may assess not more than fifty dollars (\$50) in cumulative civil penalties. Upon the third violation within any one-year period, the Director may impose a penalty not to exceed one thousand dollars (\$1,000) for each violation of Ark. Code Ann. §15-20-1001 et seq. and this Title. Following the third violation, the Commission may also choose to suspend, revoke, annul or withdraw the certified nutrient planner's certification.
- B. A warning letter or administrative consent order may be served by certified mail, restricted delivery, return receipt requested to the address on file with the Commission or district or by any means sufficient for service of process in a civil court action.
- C. The alleged violator may choose to sign an administrative consent order and thereby agree to the penalties contained within the order. The certified nutrient planner will also be deemed to have consented to the order if he or she fails to respond and the Commission has received a certified mail receipt or other proof showing that the alleged violator was served with the administrative consent order.
- D. If the alleged violator disputes that a violation occurred or believes the assessed penalty is too harsh, the certified nutrient planner must respond to the administrative consent order within thirty days by requesting a hearing before the Commission. The hearing will be conducted in accordance with Commission Rules, Title I. The Commission has the burden of proving the alleged facts and violations of law stated in the administrative consent order. The Commission and the Director may issue subpoenas to any witness requiring his or her attendance and testimony before the Commission as provided in Ark. Code Ann. § 15-22-208.
- E. The Commission will not take final administrative action against persons accused of violating this Title or Ark. Code Ann. § 15-20-1001 et seq. until the accused

person has executed an administrative consent order or been given opportunity for a hearing to review the decision under Title I.

#### Section 2007.4 Emergency action.

If the Commission finds that the public health, safety, or welfare imperatively requires emergency action and incorporates that finding in its order, the agency can summarily suspend, limit, or restrict certification prior to holding an adjudicative hearing, so long as an adjudicative hearing is held within ten days pursuant to Title I.

#### Section 2007.5 Reinstatement after suspension.

No suspended certified nutrient planner will be reinstated until the individual satisfies any condition imposed by the Commission or the Director as a condition of reinstatement.

#### Section 2007.6 Re-certification after revocation.

No certified nutrient planner whose certification has been revoked will be recertified until the individual satisfies any condition imposed by the Commission or the Director as a condition of re-certification. No individual will be re-certified unless the Commission approves re-certification by a majority vote.

#### Subtitle VII. Appeals procedure

#### Section 2008.1 Judicial review.

By law, a person who considers himself or herself injured in his or her person, business, or property by an executed administrative consent order or a Commission order following a hearing has the right to appeal the case to district court in his county of residence, the county where he does business, or in Pulaski County within thirty days of the decision. The executed administrative consent order or Commission decision shall constitute final agency action for the purpose of judicial review. Judicial review of final agency action shall be as provided by the Arkansas Administrative Procedure Act, Ark. Code Ann. § 25-15-201 et. seq.