

# ARKANSAS REGISTER



## Transmittal Sheet

Use only for **FINAL** and **EMERGENCY RULES**

Secretary of State

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For Office

Use Only:

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Name of Agency Department of Human Services

Department Division of Medical Services

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Electronic Copy of Rule e-mailed from: (Required under ACA 25-15-218)

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Contact Person

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Date

### CERTIFICATION OF AUTHORIZED OFFICER

I Hereby Certify That The Attached Rules Were Adopted  
In Compliance with the Arkansas Administrative Act. (ACA 25-15-201 et. seq.)

Signature

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**TO:** Arkansas Medicaid Health Care Providers – ARChoices In Homecare  
Home and Community-Based 2176 Waiver

**EFFECTIVE DATE:** October 1, 2018

**SUBJECT:** Provider Manual Update Transmittal ARCHOICES-1-18

**REMOVE**

**Section**

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**Effective Date**

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**INSERT**

**Section**

212.100

**Effective Date**

10-1-18

**Explanation of Updates**

Section 212.100 is being updated with information regarding an overview of Resource Utilization Groups (RUGs).

The paper version of this update transmittal includes revised pages that may be filed in your provider manual. See Section I for instructions on updating the paper version of the manual. For electronic versions, these changes have already been incorporated.

If you have questions regarding this transmittal, please contact the Provider Assistance Center at 1-800-457-4454 (Toll-Free) within Arkansas or locally and out-of-state at (501) 376-2211.

If you need this material in an alternative format, such as large print, please contact the Office of Policy Coordination and Promulgation at (501) 320-6429.

Arkansas Medicaid provider manuals (including update transmittals), official notices, notices of rule making and remittance advice (RA) messages are available for downloading from the Arkansas Medicaid website: [medicaid.mmis.arkansas.gov](http://medicaid.mmis.arkansas.gov).

Thank you for your participation in the Arkansas Medicaid Program.

Tami Harlan  
Director

**TOC required****212.100 An Overview of Resource Utilization Groups (RUGs)****10-1-18**

The ARChoices Waiver provides beneficiaries with a monthly allocation of attendant care hours to be used at the beneficiary's discretion throughout the month. The number of attendant care hours approved for each beneficiary is based on the results of that beneficiary's most recent assessment using the ArPath Assessment Tool.

The ArPath Assessment Tool uses a software program that includes an algorithm to evaluate certain responses within an extensive questionnaire to determine whether the beneficiary meets the functional eligibility criteria to participate in the waiver program. The ArPath Assessment Tool then uses another algorithm to evaluate other responses to determine which Resource Utilization Group (RUG) reflects the beneficiary's functional abilities. A RUG is a tier group consisting of individuals with similar functional abilities.

In 2013, attendant care services were determined based on an RN's discretionary interpretation of a beneficiary's responses to the ArPath Assessment Tool's questionnaire. Between 2013 and January 1, 2016, when the ARChoices program was implemented, DAAS recorded beneficiary RUG placement and the number of paid attendant care hours utilized by beneficiaries each month in order to determine the type and amount of resources that beneficiaries with similar functional abilities were used in a given month.

While the reality of living with a disease or condition can vary greatly even among individuals with the same diagnosis, a RUG placement allows DAABHS to better predict the type and extent of care that an individual needs. The purpose of transitioning to a RUG-based care allocation system is to provide more predictable and objective outcomes that better reflect the reality of a beneficiary's needs by organizing the allocation around functional ability.

As of January 1, 2016, the allocation of attendant care hours became based on which RUG the beneficiary is placed in by the ArPath Assessment Tool. The specific number of attendant care hours assigned to a particular RUG was determined by considering an average of the number of hours used by beneficiaries placed in that RUG prior to the implementation of the ARChoices program. The following chart shows the number of hours assigned to each RUG.

<b>RUG Category</b>	<b>RUG</b>	<b>Monthly Hours</b>
Special Rehab	RB0	157
	RA2	97
	RA1	55
Extensive Care	SE3	352
	SE2	201
	SE1	153
Special Care	SSB	161
	SSA	112
Clinically Complex	CC0	143
	CB0	94
	CA2	69
	CA1	36
Impaired Cognition	IB0	116
	IA2	81

	IA1	38
Behavioral Problems	BB0	118
	BA2	62
	BA1	30
Reduced Physical Function	PD0	137
	PC0	99
	PB0	81
	PA2	53
	PA1	28

### RUG Requirements

The ArPath Assessment Tool evaluates the assessment responses using an algorithm, which is basically a “rule book” for the software. This particular rulebook is divided into chapters, known as screeners, and each screener is responsible for evaluating a small portion of the assessment responses in order to produce a numerical score. Below is a list of the screeners and the possible scores:

Screener	Possible Scores
Activities of Daily Living (ADL)	4-18
Instrumental Activities of Daily Living (IADL)	0-3
Rehab	0-1
Behavior Problems	0-1
Extensive Care	0-1
Special Care	0-1
Clinically Complex	0-1
Cognitive Impairment	0-1
Cumulative	0-5

Each RUG requires a different combination of screener scores in order for a beneficiary to be placed in that RUG. The ArPath Assessment Tool utilizes the criteria for each RUG in the exact order that they are listed in the above chart and it places the beneficiary in the first RUG on the list whose criteria are satisfied by the assessment responses.

The following is a description of the screener scores required for each Special Rehab RUG.

- A. RB0 requires a Rehab screener score of 1 and an ADL score of at least 11.
- B. RA2 requires a Rehab screener score of 1, an IADL score of at least 2, and an ADL score of no more than 10.
- C. RA1 requires a Rehab screener score of 1, an IADL score of 1 or 0, and an ADL score of no more than 10.

The following is a description of the screener scores required for each Extensive Care RUG.

- A. SE3 requires a Cumulative screener score of at least 4, an Extensive Care screener score of 1, and an ADL score of at least 7.
- B. SE2 requires a Cumulative screener score of either 2 or 3, an Extensive Care screener score of 1, and an ADL score of at least 7.
- C. SE1 requires a Cumulative screener score of no more than 1, an Extensive Care screener score of 1, and an ADL score of at least 7.

The following is a description of the screener scores required for each Special Care RUG.

- A. SSB requires an ADL score of at least 14 and a score of 1 for either the Extensive Care screener or the Special Care screener.
- B. SSA has two possible combinations:
  - 1. An Extensive Care screener score of 1 with an ADL score of no more than 6, or
  - 2. An ADL score within the range of 7-13 and a score of 1 for either the Extensive Care screener or the Special Care screener.

The following is a description of the screener scores required for each Clinically Complex RUG.

- A. CC0 requires an ADL score of at least 11 and a score of 1 for either the Clinically Complex screener or the Special Care screener.
- B. CB0 requires an ADL score within the range of 6-10 and a score of 1 for either the Clinically Complex screener or the Special Care screener.
- C. CA2 requires an ADL score no higher than 5, an IADL score of at least 1, and a score of 1 for either the Clinically Complex screener or the Special Care screener.
- D. CA1 requires an ADL score no higher than 5, an IADL score of 0, and a score of 1 for either the Clinically Complex screener or the Special Care screener.

The following is a description of the screener scores required for each Impaired Cognition RUG.

- A. IB0 requires a Cognitive Impairment screener score of 1 and an ADL score within the range of 6-10.
- B. IA2 requires a Cognitive Impairment screener score of 1, an IADL score of at least 1, and an ADL score of no more than 5.
- C. IA1 requires a Cognitive Impairment screener score of 1, an IADL score of 0, and an ADL score of no more than 5.

The following is a description of the screener scores required for each Behavioral Problems RUG.

- A. BB0 requires a Behavior Problems screener score of 1 and an ADL score within the range of 6-10.
- B. BA2 requires a Behavior Problems screener score of 1, an IADL score of at least 1, and an ADL score of no more than 5.
- C. BA1 requires a Behavior Problems screener score of 1, an IADL score of 0, and an ADL score of no more than 5.

The following is a description of the screener scores required for each Reduced Physical Function RUG.

- A. PD0 requires a Rehab screener score of 0 and an ADL score of at least 11.
- B. PC0 requires a Rehab screener score of 0 and an ADL score of 9 or 10.
- C. PB0 requires a Rehab screener score of 0 and an ADL score of 6, 7, or 8.
- D. PA2 requires a Rehab screener score of 0, an IADL score of at least 1, and an ADL score of no more than 5.
- E. PA1 requires a Rehab screener score of 0, an IADL score of 0, and an ADL score of no more than 5.

### **Screener Requirements**

#### Activities of Daily Living (ADL)

A beneficiary's ADL score ranges from 4 to 18. It is based on the collective score among responses to the 5 items in the assessment that are listed below. Only 4 of the 5 responses will add to the overall ADL score because the response to Mode of nutritional intake may override the response to Eating.

- A. Bed mobility
- B. Transfer toilet
- C. Toilet use
- D. Eating
- E. Mode of nutritional intake

Bed mobility, Transfer toilet, and Toilet use are all scored in the following way:

- A. Independent gets 1 point,
- B. Independent, set up help only gets 1 point,
- C. Supervision gets 1 point,
- D. Limited assistance gets 3 points,
- E. Extensive assistance gets 4 points,
- F. Maximal assistance gets 5 points,
- G. Total dependence gets 5 points, and
- H. Activity did not occur gets 5 points

Eating is scored in the following way:

- A. Independent gets 1 point,
- B. Independent, set up help only gets 1 point,
- C. Supervision gets 1 point,
- D. Limited assistance gets 2 points,
- E. Extensive assistance gets 3 points,
- F. Maximal assistance gets 3 points,

- G. Total dependence gets 3 points, and
- H. Activity did not occur gets 3 points.

However, 3 points will be added to the ADL score, and the Eating score will be overridden if the response to Mode of nutritional intake is any of the following:

- A. Combined oral and parenteral or tube feeding,
- B. Nasogastric tube feeding only,
- C. Abdominal feeding tube, or
- D. Parenteral feeding tube only
- E. Instrumental Activities of Daily Living (IADL)

A beneficiary's IADL score ranges from 0 to 3. It is based on the collective score among the responses to the following items in the assessment:

- A. Meal preparation-performance,
- B. Managing medication-performance, or
- C. Phone use-performance.

The responses to each item are scored in the following way.

- A. Independent gets 0 points.
- B. Independent, set up help only gets 0 points.
- C. Supervision gets 0 points.
- D. Limited assistance gets 0 points.
- E. Extensive assistance gets 0 points.
- F. Maximal assistance gets 1 point.
- G. Total dependence gets 1 point.
- H. Activity did not occur gets 1 point.

### Rehab

A beneficiary's Rehab screener score is 0 by default, but it equals 1 if during the week prior to the assessment the beneficiary spends a total of at least 120 minutes in any combination of the following types of therapy:

- A. Speech-language pathology,
- B. Occupational therapy, or
- C. Physical therapy.

### Behavior Problems

A beneficiary's Behavior Problems score is 0 by default, but it equals 1 if the beneficiary has exhibited any of the following at any time within 3 days of the assessment:

- A. Wandering,

- B. Verbal abuse,
- C. Physical abuse,
- D. Socially inappropriate or disruptive behavior,
- E. Resists care,
- F. Delusions, or
- G. Hallucinations.

#### Extensive Care

A beneficiary's Extensive Care screener score is 0 by default, but it equals 1 if the response to Mode of nutritional intake is either Abdominal feeding tube or Parenteral feeding tube only. It will also equal 1 if the assessment records that any of the following treatments have been utilized within 3 days of the assessment:

- A. IV medication,
- B. Suctioning,
- C. Tracheostomy care, or
- D. Ventilator or respirator.

#### Special Care

A beneficiary's Special Care screener score is 0 by default, but it equals 1 if the assessment records that Radiation therapy has been utilized within 3 days of the assessment or any of the following combinations of responses are logged in the assessment:

- A. A turning/repositioning program has been utilized within 3 days of the assessment and the response to Most severe pressure ulcer is either:
  - 1. Deep craters in the skin or
  - 2. Breaks in the skin exposing muscle or bone;
- B. Aphasia has been exhibited within 3 days of the assessment and the Mode of nutritional intake is either:
  - 1. Nasogastric tube feeding or
  - 2. Combined oral and parenteral or tube feeding;
- C. Wound care has been performed within 3 days of the assessment and the response to either of the following items is yes:
  - 1. Major skin problems or
  - 2. Skin tears or cuts;
- D. Fever and Vomiting are exhibited within 3 days of the assessment;
- E. Fever is exhibited within 3 days of the assessment and the response to Weight loss of 5% is yes;
- F. Fever is exhibited within 3 days of the assessment and the response to Mode of nutritional intake is either:
  - 1. Nasogastric tube feeding or
  - 2. Combined oral and parenteral or tube feeding;



- G. Fever is exhibited within 3 days of the assessment and the response to Pneumonia is any of the following:
  - 1. Primary diagnosis for current stay;
  - 2. Diagnosis present, receiving active treatment; or
  - 3. Diagnosis present, monitored but no active treatment;
- H. Fever is exhibited within 3 days of the assessment and the response to Dehydration is yes; or
- I. A beneficiary's ADL score is at least 10 and the response to either Multiple sclerosis or Quadriplegia is any of the following:
  - 1. Primary diagnosis for current stay;
  - 2. Diagnosis present, receiving active treatment; or
  - 3. Diagnosis present, monitored but no active treatment.

#### Clinically Complex

A beneficiary's Clinically Complex screener score is 0 by default, but it equals 1 if any of the following is recorded during the assessment:

- A. Mode of nutritional intake is either Nasogastric tube feeding or Combined oral and parenteral or tube feeding;
- B. The response to Cognitive skills for daily decision making is No discernable consciousness, coma and the response to any of the following is either Total dependence or Activity did not occur:
  - 1. Bed mobility,
  - 2. Transfer toilet,
  - 3. Toilet use, or
  - 4. Eating;
- C. Any form of Sepsis is recorded in the Other Diseases section of the assessment;
- D. The response to Dehydration is yes;
- E. The beneficiary's ADL score is at least 10 and the response to Hemiplegia is any of the following:
  - 1. Primary diagnosis for current stay;
  - 2. Diagnosis present, receiving active treatment; or
  - 3. Diagnosis present, monitored but no active treatment;
- F. GI or GU bleeding has been exhibited in the 3 days prior to the assessment;
- G. The response to Pneumonia is any of the following:
  - 1. Primary diagnosis for current stay;
  - 2. Diagnosis present, receiving active treatment; or
  - 3. Diagnosis present, monitored but no active treatment;
- H. The response to End stage disease, 6 or fewer months to live is yes;
- I. Chemotherapy was utilized within 3 days of the assessment;

- J. Dialysis was utilized within 3 days of the assessment;
- K. A transfusion occurred within 3 days of the assessment;
- L. Oxygen therapy was utilized within 3 days of the assessment; or
- M. The response to Foot problems is either Foot problems limit walking or Foot problems prevent walking.

#### Impaired Cognition

A beneficiary's Impaired Cognition screener score is 0 by default, but it equals 1 if the score recorded on the Cognitive Performance Scale (CPS) is at least a 3.

#### Cumulative

A beneficiary's Cumulative screener score can range from 0 to 5. It is based on the collective score after adding the scores from the Special Care, Clinically Complex, and Impaired Cognition screeners. An additional point may be added if either of the following occurs:

- A. The response to Mode of nutritional intake is Parenteral feeding only or
- B. IV medication is utilized within 3 days of the assessment.