ARKANSAS DEPARTMENT OF HEALTH



HOSPITAL DISCHARGE DATA SUBMITTAL GUIDE

2011

Arkansas Department of Health (ADH)
Health Statistics Branch
4815 West Markham Street,
Slot H19 Little Rock, AR 72205

CERTIFICATION

This will certify that the foregoing Rules and Regulations for the Hospital Discharge Data System were									
adopted by the Arkansas Board of Health at a regular session of the Board held in Little Rock									
Arkansas, on this	day of	, 2011,	Secretary,						
Arkansas Board of He	alth.								
The foregoing Rules and Regulations, copy having been filed in my office, are hereby approved on this									
day of	, 201	1,	Governor.						



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INTRODUCTION

A statewide Hospital Discharge Data System (HDDS) is one of the most important tools for addressing a broad range of health policy issues. Act 670 of 1995, A.C.A. 20-7-301 et seq. requires all hospitals licensed by the state of Arkansas to report information on inpatient discharges.

In order to simplify the reporting process, the Arkansas HDDS is based on the Health Care Finance Administration (HCFA) UB-04. Two-thirds of the states in the nation already have hospital discharge data systems; at least two-thirds of those are based on the HCFA UB-04 claim.

In accordance, the Arkansas Department of Health (ADH) is required to collect, analyze and disseminate selected health care data. This Guide defines the data that hospitals will submit for the specific purpose of constructing the Hospital Discharge Data System.

The Center for Health Statistics can provide technical consultation and assistance. Initially, such consultation or assistance must necessarily be limited to activities that specifically enable the hospital to submit data that will meet the requirements. For further information, contact Lynda Lehing, Manager of HDDS.

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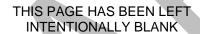
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1.0 DATA REPORTING SOURCE

All facilities operating and licensed as a hospital in the State of Arkansas by ADH, Division of Health Facility Services, will report discharge data to ADH for each patient admitted as an inpatient or with at least one full day of stay (overnight). Discharge data means the consolidation of complete billing, medical, and personal information describing a patient, the services received, and charges billed for a single inpatient hospital stay. The consolidation of discharge data is a discharge data record. The formats are defined later in this Guide.

For a patient with multiple discharges, submit one discharge data record for each discharge. For a patient with multiple billing claims (refer to Section 5.6 Multi - Hospital Submission), consolidate the multiple billings into one discharge data record for submission after the patient's discharge. A discharge data record is submitted for each discharge, not for each bill generated. The discharge data record should be submitted for the reporting period within which the discharge occurs. If a claim will not be submitted to a provider or carrier for collection (e.g., charitable service), a hospital discharge data record should still be submitted to the ADH, with the normal and customary charges, as if the claim was being submitted. All acute and intensive care discharges or deaths, including newborn discharges or deaths, should be reported.

A hospital may submit discharge data directly to ADH, or may designate an intermediary, such as a commercial data clearinghouse. Use of an intermediary does not relieve the hospital from its reporting responsibility.

In order to facilitate communication and problem solving, each hospital should designate a person as contact. Please provide the office name, telephone number, job title and name of the person assigned this responsibility.

2.0 CONFIDENTIALITY OF DATA

Act 670 of 1995, A.C.A. 20-7-301 et seq. (refer to Appendix D5) provides for the strictest confidentiality of data and severe penalties for the violation of the Act. Any information collected from hospitals which identifies a patient, provider, institution, or health plan cannot be released without promulgation of rules and regulations by the Arkansas State Board of Health in accordance with Act 670 Section (2)(g) and (h). ADH will only release data, except as allowed by law that has sufficiently masked these identities.

Since ADH needs patient specific information to complete our analyses, we will take every prudent action to ensure the confidentiality and security of the data submitted to us. Procedures include, but are not limited to, physical security and monitoring, access to the files by authorized personnel only, passwords and encryption. Not all measures taken are documented or mentioned in this Guide to further protect our data.

3.0 SUBMITTAL SCHEDULE

Discharge data records will be submitted to ADH as specified below. The data to be submitted is based on the discharges occurring in a calendar quarter. If a patient has a bill generated during a quarter but has not yet been discharged by the end of the quarter, data for that stay should not be included in the quarter's data. Deadlines for data submission are 40 days after the end of the quarter for the first through third quarters and 60 days for the fourth quarter.

While most hospitals will be submitting data directly to ADH, some are utilizing third-party intermediaries. When using an intermediary, the reporting deadlines are still to be met. Refer to Section 5.7 Intermediaries for further details.

3.1 REPORTING SCHEDULE

Patients' date of discharge is: Discharge data must be received by:

January 1 through March 31 QTR 1 – May 10th

April 1 through June 30 QTR 2 – August 10th

July 1 through September 30 QTR 3 – November 10th

October 1 through December 31 QTR 4 – March 1st

3.2 REQUEST FOR EXTENSION

All hospitals will submit discharge data in a form consistent with the requirements unless an extension has been granted. Request for extension should be in writing or email and be directed to:

Arkansas Department of Health Center for Health Statistics, Slot #H19 Hospital Discharge Data Section 4815 West Markham Street Little Rock, AR 72205 Phone (501) 661-2231 FAX (501) 661-2544

E-mail: Lynda.Lehing@arkansas.gov

The Center for Health Statistics will review requests submitted to them for extensions to the reporting schedule requirement. A request for an extension should be submitted at least 10 working days prior to the reporting deadline. Extensions may be granted for a maximum of 20 calendar days. Additional 20-day extensions must be requested separately. Extensions may be granted when the hospital documents that unforeseen difficulties, such as technical problems, prevent compliance.

4.0 DATA ERRORS AND CERTIFICATION

Hospitals will review the discharge data records prior to submission for accuracy and completeness. Correction of invalid records and validation of aggregate tabulation are the responsibility of the hospital. All hospitals will certify the data submitted for each quarter in the manner specified.

4.1 ERROR CORRECTION

Edits that indicate a high probability of error will be highlighted for review, comment, and correction when applicable. The invalid record will be printed in a simplified format providing record identification, an indication or explanation of the error, and space to record corrections. The error report will be sent by fax or email to the attention of the individual designated to receive the correspondence at the hospital. The corrections made by the hospital are to be returned within seven days of receipt to the Center for Health Statistics.

In the event one (1) percent or more of the records for a quarter are indicated as having a high probability of error, the entire submittal may be rejected. A record is in error when one or more required data elements are in error.

Notification of the rejection will accompany the error report and will be sent by fax or email to the attention of the individual designated to receive the correspondence at the hospital. After correction, the submittal is to be returned within seven days of receipt, to the Center for Health Statistics. In some situations, the HDDS staff will make corrections to the hospital's submissions, based on information obtained from hospital staff and/or internal health department databases. When this is done, notice will be given to the hospital.

5.0 DATA SUBMITTAL SPECIFICATIONS

Currently, data must be submitted via encrypted email, CD's or FTP. Alternate modes of transmission may be established by agreement with the Center for Health Statistics. Data submittals not in compliance with media or format specifications will be rejected unless approval is obtained prior to the scheduled due date from the Center for Health Statistics. Data submittal on physical media should be mailed to:

Arkansas Department of Health Center for Health Statistics Hospital Discharge Data System 4815 West Markham Street, Slot H19 Little Rock, AR 72205

If you are submitting data for more than one hospital on one media submission, the additional specifications found in Section 5.6 Multi - Hospital Submission must be followed.

5.1 FILE COMPRESSION

WINZIP is the compression utility of choice by HDDS. If a compression utility other that WINZIP is used, the resulting file must be able to be unzipped by HDDS. Please contact an HDDS colleague prior to sending a file compressed with any compression software other than WINZIP.

5.2 FILE ENCRYPTION

Crypt-text is the freeware, encryption software that HDDS recommends. Encryption of data files sent as email attachments is required. Refer to Section 5.4 E-Mail Attachment Submissions – Secondary Submittal Format. All passwords used with encryption software will be supplied by the HDDS. Please contact an HDDS colleague for the correct password for your hospital.

5.3 FILE TRANSFER PROTOCOL (FTP) – PRIMARY SUBMITTAL FORMAT (PREFERRED)

The following specifications must be met when submitting data using the FTP:

- A. The secured web site is at: https://dhhs.arkansas.gov/wa_DHHSSecureUpload/.
 - 1) File names must be created in the:
 - (a) HHHYYQNVN.dat, where by,
 - (b) HHHH = four letters for the hospital,
 - (c) YY = two numbers for the year,
 - (d) QN_= quarter Number,
 - (e) VN = shipment Number,

HDDS07Q1V1.dat will tell us Hospital Discharge Data Systems uploaded quarter 1 of 2007 one time. If you do not know the four letter code for the hospital (HHHH), please contact an HDDS colleague for that information.

- B. Upload by accessing the secured web site and inputting your user name and password that you created. If you or your organization has not created one, then please create one
 - 2) How to create an account on the FTP server:
 - (a) Access the website of https://dhhs.arkansas.gov/wa DHHSSecureUpload/
 - (b) Click on request access
 - (c) Fill out the form completely and check all the field types to upload.
 - (d) Wait for the email for confirmation, which takes about 48 hours.

5.4 E-Mail Attachment Submissions – Secondary Submittal Format

The following specifications must be met when submitting data by email attachment via the Internet:

- B. Hospitals must use encryption software and passwords provided by the Center for Health Statistics. To receive encryption software and/or passwords, please contact Lynda Lehing, (501) 661-2231, or by email, Lynda.Lehing@arkansas.gov.
 - 1) The physical characteristics of the attached file must have the following attributes:
 - (a) Record Length 192 bytes, Fixed (1450 format), 198 Fixed (1450Y2K format)
 - (b) PC Text File (ASCII), WINZIP file or self-extracting executable file, refer to Section 5.1 File Compression.
 - 2) Each E-mail submission must include a general message that contains the following information:
 - (a) The description: 'HOSPITAL DISCHARGE DATA' in SUBJECT field,
 - (b) Hospital's name,
 - (c) Date of submittal as MM/DD/YY,
 - (d) Beginning and ending dates of the reporting period (e.g., 1/1/01-3/30/01),
 - (e) The name and telephone number of the contact person.
 - 3) Refer to paragraph C, Section 5.5 CD-ROM Submittal Specifications Server Down Submittal for 'filename.extension' naming standard for the attached file.

5.5 CD-ROM SUBMITTAL SPECIFICATIONS - SERVER DOWN SUBMITTAL

The following specifications must be met when submitting data on PC CD'S:

- A. Hospitals will submit no more than one CD per quarter.
- B. The physical characteristics of the CD Rom must have the following attributes:
 - 1) Record Length 192 bytes, Fixed (1450 format), 198 bytes, Fixed (1450Y2K format),
 - 2) ASCII, WINZIP file or self-extracting executable file.

Note: Self-extracting executable file must run on Windows XP or higher operating system. Source and target of WINZIP or executable file must be ASCII. ASCII file must have a carriage-return (CR) and line-feed (LF) at the end of each data record.

- All CD's must have an external label or accompanying data sheet containing the following information:
 - The description: 'HOSPITAL DISCHARGE DATA',
 - 2) Hospital's name,
 - Date of submittal as MM/DD/YY,
 - 4) Beginning and ending dates of the reporting period (e.g., 1/1/01- 3/30/01),
 - 5) Number of records,
 - 6) Record format (1450),
 - 7) The name and telephone number of the contact person
 - 8) PC extension, ASCII or ZIP or EXE (refer to paragraph D, 4).
 - 9) If encrypted, the description: 'ENCRYPTED' (refer to Section 5.2 File Encryption). An example of the label for the case is as follows:

HOSPITAL DISCHARGE DATA Hospital Name: Date: mm/dd/yy Quarter: mm/dd/yy Total Record Count: ##### Format: #### Contact Person ______ Phone: _____ Extension:_____ ENCRYPTED

- D. Use the following 'filename.extension' file naming standard:
 - 1) The first two positions of the filename will be the last two digits of the calendar year,
 - The next three characters will be 'QTR',
 - 3) The last position must be the quarter from one through four that indicates the quarter of the calendar year of the data submitted,
 - 4) The extension will be 'TXT' **or** 'DAT' for a PC Text file **or** 'ZIP' for a file compressed with WINZIP **or** 'EXE' for a self-extracting file.

Example: 08QTR1.TXT - ASCII data file for the first quarter of 2008

5.6 MULTI - HOSPITAL SUBMISSION

Data from more than one hospital may be submitted on one media submission as one file per hospital. Change the following items on your external label or accompanying information sheet:

- A. If you are not a hospital, replace 'Hospital:' with your company name.
- B. If you are a hospital or subsidiary of a hospital, replace 'Hospital:' with 'Agent:' and your hospital name.
- C. If multiple files are on the submission, replace 'Total Record Count:' with 'Number of Files:'
- D. The contact person and phone number should be that of the agent or company, not the hospital.
- E. If multiple files are placed on a CD, the 'filename.extension' file-naming standard must change. The last two positions of the filename (follows 'QTR' and quarter number) must be the file number provided.

In addition to the above changes, a list of hospitals on the medium must be provided, with tax id, number of records, and hospital contact.

5.7 INTERMEDIARIES

Third-party intermediaries may be utilized by hospitals for the delivery of data to ADH. To better manage data collection, intermediaries must be registered with ADH. Additions and deletions to the intermediary's list of hospitals represented must be submitted at least 10 days prior to ADH reporting due date. The intermediary must specify hospitals being represented, media, formats, contacts, and length of contractual obligation.

5.7.1 Editing Intermediaries

The following additional requirements and information apply to intermediaries delivering edited data to the ADH:

- A. The data must not have an error rate greater than 1 percent.
- B. Each hospital's data must be submitted in a separate file.
- C. Data may be submitted on any approved media declared at the time of registration.
- D. Data may be submitted in any approved data format declared at the time of registration.

5.7.2 Pass-Thru Intermediaries

The following additional requirements and information apply to intermediaries delivering unedited data to ADH:

- A. The data must not have an error rate greater than 1 percent.
- B. Each hospital's data must be submitted in a separate file.

5.8 SUBJECT TO CHANGE

Data submission methods are always under review. If implemented, all Arkansas hospitals will receive notice of the changes to be implemented.

6.0 DATA RECORD FORMATS

The accepted data record formats are the UB-04 1450 version 4 formats. This format has altered slightly. The definition specified for each data element is in general agreement with the definition in the UB-04 Users Manual. Hospitals using data sources other than uniform billing should evaluate definitions for agreement with the definitions specified in this Guide and UB-04 Users Manual. Refer to Section 7.0 EXCEPTIONS TO 1450 FORMAT identify possible changes to your current format. Each record must be followed by a carriage return/line feed sequence.

6.1 'UB-04-1450' RECORD SPECIFICATION

The UB-04 1450 claim 'record' is made up of a series of 192-character physical records and the 1450 Y2K claim "record" is made up of a series of 198-character physical records. Not all of the physical claim records are used in the HDDS, such as the Claim Request Data. Records not specified in the HDDS will be ignored, if included in the submittal. Fields not referenced in the record formats may contain information but will not be processed by computer programs; this also includes fields reserved for national use. The exact record sequence and format of the 1450 is used for the HDDS, when possible. A complete copy of the patient's 1450 records would satisfy the requirements, with exceptions noted in Section 7.0 - EXCEPTIONS TO 1450 FORMAT. The physical records for each claim are divided into logical subsets as follows:

Subset 1	Patient Data - Record Codes 20-29
Subset 2	Third Party Data - Record Codes 30-39
Subset 3	Claim Request Data - Record Codes 40-49
Subset 4	Inpatient Accommodations Data - Record Codes 50-59
Subset 5	Ancillary Services Data - Record Codes 60-69
Subset 6	Medical Data - Record Codes 70-79
Subset 7	Physician Data - Record Codes 80-89

The record layouts that follow will provide the following information:

- A. **Record Name**: The name of the data record.
- B. **Record Type**: Code indicating the type of record.
- C. **Record Size**: Physical length of record is a constant 192 for the 1450 and constant 198 for the 1450 Y2K.
- D. **Required Field Annotation**: An asterisk '*' denotes the field is required and must contain data if applicable.
- E. *Field Number*: Field number as specified on the UB-04 1450 version 4 file layout. This number is not the Form Locator number found on the UB-04 1450 form.
- F. Field Name: Name generally used with the UB-04 1450 Form.
- G. **Picture**: This is the COBOL picture. Pic X is initialized to blanks and Pic 9 is initialized to zeroes. All money and date fields are Pic 9.
- H. **Field Specification**: Indicates how the data field is justified. L = Left justification, and R = Right justification.
- I. **Position**: From = Leftmost position in the record (high order). Thru = Rightmost position in the record (low order).
- J. *Form Locator*. Number found on the UB-04 Form and associated with the field in that location.

6.2 <u>1450 & 1450Y2K - RECORD TYPE 10 - PROVI</u>DER DATA

Only one type '10' record is required per hospital per submittal. Only the first type '10' record and each type '10' record following a type '95' record will be processed, all others will be ignored. This record type will be processed as a header record and a record type '95' will be processed as a trailer record. The records encapsulated between the first type '10' and '95' will be processed using the hospital specified on the type '10' record. It is absolutely imperative that each submission includes at least one type '10' record with correct Federal Tax Number. If the Federal Tax Number is not unique to a facility or cost center, the Federal Tax Sub ID must be included.

	ELD IO.	NAME	PICTURE	SPEC	POSI FROM	TION THRU	FORM LOCATOR
*	1	Record Type '10'	XX	L	1	2	
*	2	Federal Tax Number or EIN	9(10)	R	8	17	FL05
	3	Federal Tax Sub ID	X(4)	L	18	21	FL05
*	4	National Provider Identifier (Billing Provider)	X(13)	L	22	34	FL56
*	5	Medicaid Provider Number	X(13)	L	35	47	
*	6	Provider Telephone Number	9(10)	R	87	96	FL01
*	7	Provider Name	X(25)	L	97	121	FL01
*	8	Provider (Hospital) Data ID	X(4)	L	122	125	
PF	ROVID	ER ADDRESS (FIELDS 9 – 13)			126	185	FL01
*	9	Address	X(25)	L	126	150	
*	10	City	X(14)	L	151	164	
*	11	State	XX	L	165	166	
*	12	Zip Code	X(9)	L	167	175	
	13	Provider Fax Number	9(10)	R	176	185	

6.3 1450-RECORD Type 20 - PATIENT DATA

	ELD IO.	NAME	PICTURE	SPEC	POSI FROM	ITION THRU	FORM LOCATOR
*	1	Record Type '20'	XX	L	1	2	LOGATOR
*	2	Patient Control Number	X(20)	L	5	24	FL3A
PA	TIEN	T NAME (FIELDS 3 – 5)					FL08
*	3	Last Name	X(20)	L	25	44	
*	4	First Name	X(9)	L	45	53	
	5	Middle Initial	Χ		54	54	
*	6	Patient Sex	X		55	55	FL11
*	7	Patient Birthdate (mmddccyy)	9(8)	R	56	63	FL10
	8	Patient Marital Status	Х		64	64	
*	9	Type Priority Of Admission	X		65	65	FL14
*	10	Source Of Admission Point of Origin for Admission or Visit	r x		66	66	FL15
PA	TIEN	T ADDRESS (FIELDS 11 – 15)					FL09
*	11	Address Line 1	X(18)	L	67	84	
	12	Address Line 2	X(18)	L	85	102	
*	13	City	X(15)	L	103	117	
*	14	State	XX	L	118	119	
*	15	Zip Code	X(9)	L	120	128	
*	16	Admission Date	9(6)	R	129	134	FL12
*	17	Admission Hour	XX	R	135	136	FL13
ST	ATEN	MENT COVERS PERIOD (FIELDS 18 – 19)					FL06
*	18	From (mmddyy)	9(6)	R	137	142	
*	19	Thru (mmddyy)	9(6)	R	143	148	
*	20	Patient Discharge Status	99	R	149	150	FL17
*	21	Discharge Hour	XX	R	151	152	FL16
	22	Payments Received (Patient Line)	9(8)V99S	R	153	162	FL54
	23	Estimated Amt Due (Patient Line)	9(8)V99S	R	163	167	FL55
*	24	Medical Record Number	X(17)	L	173	189	FL3B

Note: 'Statement Covers Period From' should be the date of the first medical service related to the hospital stay. 'Statement Covers Period Thru' should be the discharge date. 'Payments Received' and 'Estimated Amt Due' should reflect a single discharge if multiple claims have been submitted.

6.4 1450Y2K-RECORD Type 20 - PATIENT DATA

FIELD NO.	NAME	PICTURE	SPEC	POSI FROM	TION THRU	FORM LOCATOR
* 1	Record Type '20'	XX	L	1	2	
* 2	Patient Control Number	X(20)	L	5	24	FL3A
PATIEN	T NAME (FIELDS 3 – 5)			FL08		
* 3	Last Name	X(20)	L	25	44	
* 4	First Name	X(9)	L	45	53	

	ELD VO.	NAME	PICTURE	SPEC	POSI FROM	TION THRU	FORM LOCATOR
	5	Middle Initial	Χ		54	54	
*	6	Patient Sex	Χ		55	55	FL11
*	7	Patient Birthdate (mmddccyy ccyymmdd)	9(8)	R	56	63	FL10
	8	Patient Marital Status	Х		64	64	
*	9	Type Priority Of Admission	Χ		65	65	FL14
*	10	Source Of Point of Origin for Admission of Visit	r <u>r</u> X		66	66	FL15
PA	ATIEN	T ADDRESS (FIELDS 11 – 15)					FL09
*	11	Address Line 1	X(18)	L	67	84	
	12	Address Line 2	X(18)	L	85	102	
*	13	City	X(18)	L	103	120	
*	14	State	XX	L	121	122	
*	15	Zip Code	X(9)	L	123	131	
*	16	Admission Date (mmddccyy ccyymmdd)	9(8)	R	132	139	FL12
*	17	Admission Hour	XX	R	140	141	FL13
Sī	TATE	MENT COVERS PERIOD (FIELDS 18 – 19)					FL06
*	18	From (mmddyy <u>ccyymmdd</u>)	9(8)	R	142	149	
*	19	Thru (mmddyy <u>ccyymmdd</u>)	9(8)	R	150	157	
*	20	Patient Status	99	R	158	159	FL17
*	21	Discharge Hour	XX	R	160	161	FL16
	22	Payments Received (Patient Line)	9(8)V99S	R	162	171	FL54
	23	Estimated Amt Due (Patient Line)	9(8)V99S	R	172	181	FL55
*	24	Medical Record Number	X(17)	L	182	198	FL3B

Date changes made by some hospitals for the year 2000 and following require spacing changes in the type 20 and type 70 records for the 1450 record format. For hospitals using the 1450 record format that began using an eight-digit date format in 2000, the date must be given as CCYYMMDD. In this case, February 7, 2001 is entered 20010207. Where this change is made, all dates (birth date, admission date, statement from data and statement through date) must use this format. The following position changes in the type 20 record are required:

Note: 'Statement Covers Period From' should be the date of the first medical service related to the hospital stay. 'Statement Covers Period Thru' should be the discharge date. 'Payments Received' and 'Estimated Amt Due' should reflect a single discharge if multiple claims have been submitted.

6.5 1450 & 1450Y2K -RECORD Type 27 - HEALTH DEPT. SPECIFIC DATA

FIELD NO.	NAME	PICTURE	SPEC	POSI FROM	TION THRU	FORM LOCATOR
* 1	Record Type '27'	XX	L	1	2	
* 2	Sequence '01'	99		3	4	
* 3	Patient Control Number	X(20)	L	5	24	FL03
* 4	Type of Bill	X(3)	L	25	27	FL04
5	Patient Social Security Number	9(10)	R	28	37	
* 6	Patient Race	X	•	38	38	
* 7	Patient Ethnicity	X	•	39	39	

	ELD VO.	NAME	PICTURE	SPEC	POSI FROM	TION THRU	FORM LOCATOR
*	8	Birth Weight	9999	R	40	43	
*	9	Total Charges	9(8)V99S	R	44	53	
	10	Estimated Collection rate	999	R	54	56	
	11	Charitable / Donation rate	999	R	57	59	
*	12	APGAR Score	9999	R	60	63	
	13	Diagnosis-Related Group (DRG)	9999	R	64	67	
	14	Major Diagnostic Categories (MDC)	99	R	68	69	
	<u>15</u>	Public Health Condition Code	<u>X(2)</u>	<u>R</u>	<u>70</u>	<u>71</u>	
	<u>16</u>	Public Health Condition Code	<u>X(2)</u>	<u>R</u>	<u>72</u>	<u>73</u>	
	<u>17</u>	Public Health Condition Code	<u>X(2)</u>	<u>R</u>	<u>74</u>	<u>75</u>	
	<u>18</u>	Public Health Condition Code	<u>X(2)</u>	<u>R</u>	<u>76</u>	<u>77</u>	

6.6 1450 & 1450Y2K RECORD TYPES 30-31 - THIRD PARTY PAYER DATA

The use of these record types for the HDDS is the same as the UB-04 claim. When reporting for HDDS, records may need to be consolidated and amounts accumulated by payer. Below are specifications and an example as taken from UB-04.

One third party payer record packet (record types 30 3N) must appear in the bill record for each payer involved in the bill. Each third party payer packet must contain a record type 30. However, each record type 30 may or may not have an associated record type 31, depending on the specific third party payer data required by the particular payer.

Example: Medicare is primary, and the secondary payer requires the insured's address.

	Record Type Code	Seq.No.
Medicare	30	01
Secondary Payer	30	02
Secondary Payer	31	02

Because the sequence number of the type 31 record for the secondary payer matches the sequence number of the secondary payer's type 30 record, it serves as a matching criterion for the specific third party payer record packet.

Sequence 01 represents the primary payer, sequence 02 represents the secondary payer, and sequence 03 represents the tertiary payer.

6.6.1 1450 & 1450Y2K Record Type 30 - Third Party Payer

	ELD VO.	NAME	PICTURE	SPEC	POSI: FROM	TION THRU	FORM LOCATOR
*	1	Record Type '30'	XX	L	1	2	
*	2	Sequence Number	99	R	3	4	
*	3	Patient Control Number	X(20)	L	5	24	FL03
*	4	Source of Payment Code-(Payer)	Χ		25	25	FL50
	5	Health Plan ID	X(9)	L	26	34	FL51
*	6	Insured's Unique ID	X(19)	L	35	53	FL60
	7	Insurance Group Number	X(17)	L	80	96	FL62
	8	Insured Group Name	X(14)	L	97	110	FL61

FIELD NO.	NAME	PICTURE	SPEC	POSI FROM	TION THRU	FORM LOCATOR		
INSURE	INSURED'S NAME (FIELDS 9-11)							
9	Last Name	X(20)	L	111	130			
10	First Name	X(9)	L	131	139			
11	Middle Initial	Χ		140	140			
12	Insured Sex	Χ		141	141			
13	Patient Relationship to Insured	99	R	144	145	FL59		
14	Employment Status Code	9		146	146			
15	Payments Received	9(8)V99S	R	173	182	FL54		
16	Estimated Amount Due	9(8)V99S	R	183	192	FL55		

Note: 'Payments Received' and 'Estimated Amt Due' should reflect a single discharge if multiple claims have been submitted.

6.6.2 1450 & 1450Y2K Record Type 31 - Third Party Payer

FIELD NO.	NAME	PICTURE	SPEC	POSI FROM	TION THRU	FORM LOCATOR	
* 1	Record Type '31'	XX	L	1	2		
* 2	Sequence Number	99	R	3	4		
* 3	Patient Control Number	X(20)	L	5	24	FL03	
INSURE	INSURED'S ADDRESS (FIELDS 4-8)						
4	Address Line 1	X(18)	L	25	42		
5	Address Line 2	X(18)	L	43	60		
6	City	X(15)	L	61	75		
7	State	XX	L	76	77		
8	Zip Code	X(9)	L	78	86		
9	Employer Name	X(24)	L	87	110	FL65	
EMPLO	YER LOCATION (FIELDS 10-13)						
10	Employer Address	X(18)	L	111	128		
11	Employer City	X(15)	L	129	143		
12	Employer State	XX	L	144	145		
13	Employer Zip Code	X(9)	R	146	154		

6.7 1450 & 1450Y2K - RECORD TYPE 50 - INPATIENT ACCOMMODATIONS DATA

The sequence number for record type 50 can go from 01 to 99, each such physical record containing four accommodations, thus making provision for reporting up to 396 accommodations on a single claim. Accommodation revenue codes: 100 through 21X.

FIELD NO.	NAME	PICTURE	SPEC	POSIT FROM	TION THRU	FORM LOCATOR				
* 1	Record Type '50'	XX	L	1	2					
* 2	Sequence Number	99	R	3	4					
* 3	Patient Control Number	X(20)	L	5	24	FL03				
	ACCOMMODATIONS (OCCURS 4 TIMES)									
ACCOM	MODATIONS 1	X(42)		25	66					

FIELD NO.	NAME	PICTURE	SPEC	POSI FROM	TION THRU	FORM LOCATOR
* 4	Revenue Code	9(4)	R	25	28	FL42
* 5	Accommodations Rate	9(7)V99	R	29	37	FL44
* 6	Service Units (Accommodations Days)	9(4)	R	38	41	FL46
* 7	Total Charges by Revenue Code	9(8)V99S	R	42	51	FL47
8	Non-covered Charges by Revenue Code	9(8)V99S	R	52	61	FL48

A	ссом	MODATIONS 2	X(42)		67	108	
	9	Revenue Code	9(4)	R	67	70	FL42
*	10	Accommodations Rate	9(7)V99	R	71	79	FL44
*	11	Service Units (Accommodations Days)	9(4)	R	80	83	FL46
*	12	Total Charges by Revenue Code	9(8)V99S	R	84	93	FL47
	13	Non-covered Changes by Revenue Code	9(8)V99S	R	94	103	FL48
A	ACCOMMODATIONS 3		X(42)		109	150	
	14	Revenue Code	9(4)	R	109	112	FL42
*	15	Accommodations Rate	9(7)V99	R	113	121	FL44
*	16	Service Units (Accommodations Days)	9(4)	R	122	125	FL46
*	17	Total Charges by Revenue Code	9(8)V99S	R	126	135	FL47
	18	Non-covered Charges by Revenue Code	9(8)V99S	R	136	145	FL48
A	ссом	MODATIONS 4	X(42)		151	192	
	19	Revenue Code	9(4)	R	151	154	FL42
*	20	Accommodations Rate	9(7)V99	R	155	163	FL44
*	21	Service Units (Accommodations Days)	9(4)	R	164	167	FL46
*	22	Total Charges by Revenue Code	9(8)V99S	R	168	177	FL47
	23	Non-covered Charges by Revenue Code	9(8)V99S	R	178	187	FL48

6.8 1450 & 1450Y2K-RECORD TYPE 60 - INPATIENT ANCILLARY SERVICES DATA

The sequence number for record type 60 can go from 01 to 99, each such physical record contains up to three inpatient ancillary service codes, thus making provision for reporting up to 297 inpatient ancillary services on a single claim. Payer and related information revenue codes: codes 001 - 099. Inpatient ancillary services revenue codes: codes 220 - 99x.

FIELD NO.	NAME	PICTURE	SPEC	POSIT	TION THRU	FORM LOCATOR			
* 1	Record Type '60'	XX	L	1	2				
* 2	Sequence Number	99	R	3	4				
* 3	Patient Control Number	X(20)	L	5	24	FL03			
	INPATIENT ANCILLARY SERVICES DATA (OCCURS 3 TIMES)								
INPATIE	ENT ANCILLARIES 1	X(56)		25	80				
* 4	Revenue Code	9(4)	R	25	28	FL42			
5	HCPCS / Procedure Code	X(5)	L	29	33				
6	Modifier 1 (HCPCS & CPT 4)	X(2)	L	34	35				
7	Modifier 2 (HCPCS & CPT 4)	X(2)	L	36	37	•			

FIELD NO.	NAME	PICTURE	SPEC	POSIT FROM	TION THRU	FORM LOCATOR
* 8	Units of Service	9(7)	R	38	44	FL46
* 9	Total charges by Revenue Code	9(8)V99S	R	45	54	FL47
10	Non-covered Charges by Revenue Code	9(8)V99S	R	55	64	FL48
INPA	INPATIENT ANCILLARIES 2			81	136	
*	I1 Revenue Code	9(4)	R	81	84	FL42
,	12 HCPCS / Procedure Code	X(5)	L	85	89	
,	Modifier 1 (HCPCS & CPT 4)	X(2)	L	90	91	
	Modifier 2 (HCPCS & CPT 4)	X(2)	L	92	93	
*	15 Units of Service	9(7)	R	94	100	FL46
*	16 Total Charges by Revenue Code	9(8)V99S	R	101	110	FL47
,	Non-covered Charges by Revenue Code	9(8)V99S	R	111	120	FL48
INPA	TIENT ANCILLARIES 3	X(56)		137	192	
*	18 Revenue Code	9(4)	R	137	140	FL42
	19 HCPCS / Procedure Code	X(5)	L	141	145	
2	20 Modifier 1 (HCPCS & CPT 4)	X(2)	L	146	147	
:	21 Modifier 2 (HCPCS & CPT 4)	X(2)	L	148	149	
*	22 Units of Service	9(7)	R	150	156	FL46
*	23 Total Charges by Revenue Code	9(8)V99S	R	157	166	FL47
	Non-covered Charges by Revenue Code	9(8)V99S	R	167	176	FL48

Note: Identical revenue codes should be combined and their charges added together for reporting purposes.

6.9 1450-RECORD TYPE 70 SEQUENCES 1, 2, & Y2K - MEDICAL DATA

6.9.1 Sequence 1 – 1450 &1450Y2K

	ELD VO.	NAME	PICTURE	SPEC	POSI FROM	TION THRU	FORM LOCATOR
*	1_	Record Type '70'	XX	L	1	2	
*	2	Sequence '01'	XX	R	3	4	
*	3	Patient Control Number	X(20)	L	5	24	FL03
*	4	Principle Diagnosis Code	X(7)	L	25	31	FL67
*	5	Other Diagnosis Code 1	X(7)	L	32	38	FL67A
*	6	Other Diagnosis Code 2	X(7)	L	39	45	FL67B
*	7	Other Diagnosis Code 3	X(7)	L	46	52	FL67C
*	8	Other Diagnosis Code 4	X(7)	L	53	59	FL67D
*	9	Other Diagnosis Code 5	X(7)	L	60	66	FL67E
*	10	Other Diagnosis Code 6	X(7)	L	67	73	FL67F
*	11	Other Diagnosis Code 7	X(7)	L	74	80	FL67G
*	12	Other Diagnosis Code 8	X(7)	L	81	87	FL67H
*	13	Other Diagnosis Code 9	X(7)	L	88	94	FL67I
*	14	Other Diagnosis Code 10	X(7)	L	95	101	FL67J
*	15	Other Diagnosis Code 11	X(7)	L	102	108	FL67K
*	16	Other Diagnosis Code 12	X(7)	L	109	115	FL67L

	ELD VO.	NAME	PICTURE	SPEC	POSIT FROM	TON THRU	FORM LOCATOR
*	17	Other Diagnosis Code 13	X(7)	L	116	122	FL67M
*	18	Other Diagnosis Code 14	X(7)	L	123	129	FL67N
*	19	Other Diagnosis Code 15	X(7)	L	130	136	FL670
*	20	Other Diagnosis Code 16	X(7)	L	137	143	FL67P
*	21	Other Diagnosis Code 17	X(7)	L	144	150	FL67Q
*	22	POA – Present on Admission	X(1)		151	151	
*	23	POA 1 – Present on Admission	X(1)		152	152	
*	24	POA 2 – Present on Admission	X(1)		153	153	
*	25	POA 3 – Present on Admission	X(1)		154	154	
*	26	POA 4 - Present on Admission	X(1)		155	155	
*	27	POA 5 – Present on Admission	X(1)		156	156	
*	28	POA 6 - Present on Admission	X(1)		157	157	
*	29	POA 7 – Present on Admission	X(1)		158	158	
*	30	POA 8 – Present on Admission	X(1)		159	159	
*	31	POA 9 – Present on Admission	X(1)		160	160	
*	32	POA 10 – Present on Admission	X(1)		161	161	
*	33	POA 11 – Present on Admission	X(1)		162	162	
*	34	POA 12 – Present on Admission	X(1)		163	163	
*	35	POA 13 – Present on Admission	X(1)		164	164	
*	36	POA 14 – Present on Admission	X(1)		165	165	
*	37	POA 15 – Present on Admission	X(1)		166	166	
*	38	POA 16 – Present on Admission	X(1)		167	167	
*	39	POA 17 – Present on Admission	X(1)		168	168	

6.9.2 Sequence 2 - 1450

	ELD IO.	NAME	PICTURE	SPEC	POS FROM	ITION THRU	FORM LOCATOR
*	1	Record Type '70'	XX	L	1	2	
*	2	Sequence '02'	XX	R	3	4	
*	3	Patient Control Number	X(20)	L	5	24	FL3A
*	4	Principle Procedure Code	X(8)	L	25	32	FL74
*	5	Principle Procedure Code Data (mmddyy)	X(6)	L	33	38	
*	6	Other Procedure Code 1	X(8)	L	39	46	FL74A
*	7	OPC 1 – Date (mmddyy)	X(6)	R	47	52	
*	8	Other Procedure Code 2	X(8)	L	53	60	FL74B
*	9	OPC 2 – Date (mmddyy)	X(6)	R	61	66	
*	10	Other Procedure Code 3	X(8)	L	67	74	FL74C
*	11	OPC 3 – Date (mmddyy)	X(6)	R	75	80	
*	12	Other Procedure Code 4	X(8)	L	81	88	FL74D
*	13	OPC 4 – Date (mmddyy)	X(6)	R	89	94	
*	14	Other Procedure Code 5	X(8)	L	95	102	FL74E
*	15	OPC 5 – Date (mmddyy)	X(6)	R	103	108	
*	16	Other Procedure Code 6	X(8)	L	109	116	

	ELD IO.	NAME	PICTURE	SPEC	POS FROM	ITION THRU	FORM LOCATOR
*	17	OPC 6 – Date (mmddyy)	X(6)	R	117	122	
*	18	Other Procedure Code 7	X(8)	L	123	130	
*	19	OPC 7 – Date (mmddyy)	X(6)	R	131	136	
	20	FILLER (empty fields)			137	159	
*	21	Admitting Diagnosis Code	X(8)	L	160	167	FL69
*	22	External Cause of Injury Code 1	X(8)	L	168	175	FL72
*	23	External Cause of Injury Code 2	X(8)	L	176	183	FL72
*	24	External Cause of Injury Code 3	X(8)	L	184	191	FL72
*	25	Procedure Coding Method Used	9(1)		192	192	

6.9.3 Sequence 2 – 1450Y2K

	ELD IO.	NAME	PICTURE	SPEC	POS FROM	ITION THRU	FORM LOCATOR
*	1	Record Type '70'	XX	L	1	2	
*	2	Sequence '02'	XX	R	3	4	
*	3	Patient Control Number	X(20)	L	5	24	FL3A
*	4	Principle Procedure Code	X(8)	L	25	32	FL74
*	5	Principle Procedure Code Date (ccyymmdd)	X(8)	L	33	40	
*	6	Other Procedure Code 1	X(8)	L	41	48	FL74A
*	7	OPC 1 – Date (ccyymmdd)	X(8)	R	49	56	
*	8	Other Procedure Code 2	X(8)	L	57	64	FL74B
*	9	OPC 2 – Date (ccyymmdd)	X(8)	R	65	72	
*	10	Other Procedure Code 3	X(8)	L	73	80	FL74C
*	11	OPC 3 – Date (ccyymmdd)	X(8)	R	81	88	
*	12	Other Procedure Code 4	X(8)	L	89	96	FL74D
*	13	OPC 4 – Date (ccyymmdd)	X(8)	R	97	104	
*	14	Other Procedure Code 5	X(8)	L	105	112	FL74E
*	15	OPC 5 – Date (ccyymmdd)	X(8)	R	113	120	
*	16	Other Procedure Code 6	X(8)	L	121	128	
*	17	OPC 6 – Date (ccyymmdd)	X(8)	R	129	136	
*	18	Other Procedure Code 7	X(8)	L	137	144	
*	19	OPC 7 – Date (ccyymmdd)	X(8)	R	145	152	
	20	FILLER (empty fields)			153	159	
*	21	Admitting Diagnosis Code	X(8)	L	160	167	FL69
*	21	External Cause of Injury Code 1	X(8)	L	168	175	FL72
*	22	External Cause of Injury Code 2	X(8)	L	176	183	FL72
*	23	External Cause of Injury Code 3	X(8)	L	184	191	FL72
*	24	Procedure Coding Method Used	9(1)		192	192	

6.10 FOR BOTH 1450 & 1450Y2K

ICD 9 CM is required for diagnosis coding. Do not report the decimal in the code. The ICD 9 CM diagnosis codes are assigned a COBOL picture of X. Format the actual code in one of four general ways, as follows:

- A. If you report 99999, it translates to 999.99.
- B. If you report V9999, it translates to V99.99.
- C. If you report E9999, it translates to E999.9.
- D. If you report M99999, it translates to M9999/9.

To determine the location of the decimal position and the potential number of decimal positions it is necessary only to examine the high order (left most) position of the field.

6.11 1450 & 1450Y2K-RECORD TYPE 80 – 8N – PHYSICIAN DATA

	ELD IO.	NAME	PICTURE	SPEC	POS. FROM	ITION THRU	FORM LOCATOR
*	1	Record Type '80'	XX	L	1	2	
*	2	Sequence	99	R	3	4	
*	3	Patient Control Number	X(20)	L	5	24	FL03
*	4	Physician Number Qualifying Identifie Code	<u>rr</u> X(2)	L	25	26	
*	5	Attending Physician Number Provid Identifier	<u>er</u> X(16)	L	27	42	FL76
*	6	Operating Physician Number Identifier	X(16)	L	43	58	FL77
*	7	Other Physician Number Identifier	X(16)	L	59	74	FL78
*	8	Other Physician Number Identifier	X(16)	L	75	90	FL79
*	9	Attending Physician Provider Name	X(25)	L	91	115	
		Last Name	X(16)	L	91	106	
		First Name	X(8)	L	107	114	
		Middle Initial	X		115	115	
	ELD IO.	NAME	PICTURE	SPEC	POS FROM	ITION THRU	FORM LOCATOR
	10	Operating Physician Name	X(25)	L	116	140	
	11	Other Physician Name	X(25)	L	141	165	
	12	Other Physician Name	X(25)	L	166	190	

Physician Number Qualifying Codes:

- A. 0B = State License Number Alpha and 4 digits
- B. 1G = Universal Physician Identification Number (UPIN) Alpha and 5 digits
- C. G2 = Provider Commercial Number
- D. NI = National Provider Identifier (NPI) 10 digit number (preferred)

6.12 1450 & 1450Y2K-RECORD TYPE 95 -PROVIDER BATCH CONTROL

Only one type '95' is allowed per hospital per submittal. The Federal Tax Number must match the type '10' record. This record type will be processed as a trailer record and a record type '10' will be processed as a header record. The records encapsulated between the first type '10' and '95' will be processed using the hospital specified on the type '10' record.

FIELD NO.		NAME	PICTURE	SPEC	POSITION FROM THRU		FORM LOCATOR
*	1	Record Type '95'	XX	L	1	2	
*	2	Federal Tax Number (EIN)	9(10)	R	3	12	FL05
		Federal Tax Sub ID	X(4)	L	13	16	FL05
*	3	Number of Claims	9(6)	R	25	30	

Note: Federal Tax Sub ID must be the same as specified on the type '10' record.

'Number of Claims' should be the number of discharges in the batch (number

of type '20' records).

7.0 EXCEPTIONS TO 1450 FORMAT

In general, the submittal is identical to the current UB-04 1450 version 4 format used. The differences are minor but nevertheless important. The most notable difference is the requirement for one discharge record for one patient, as opposed to the possibility of multiple claim records for one patient. For discharges with multiple claim records, they should be consolidated into a single discharge, accumulating amounts where necessary (e.g., amounts by Payer).

Only one type '10' is required per hospital per submittal. Only the first type '10' record and each type '10' record following a type '95' record will be processed, all others will be ignored. A record type '10' will be processed as a header record and a record type '95' will be processed as a trailer record. The records encapsulated between the first type '10' and '95' will be processed using the hospital specified on the type '10' record.

In record type '20', 'Statement Covers Period Thru' should be the discharge date.

In record type '95', Federal Tax Sub ID must be the same as specified on the type '10' record.

'Number of Claims' in record type '95' should be the number of discharges reported in the batch, after the batch equal to the number of type '20' records.

Record type '27' is not a record type used in the UB-04 claim. It contains data that may come from other record types, such as 'Type of Bill' or may be computable, such as 'Total Charges' or should be found in your current databases, 'Patient Social Security Number' for example.

8.0 USE OF MULTI-PAGE CLAIMS

All data except revenue code and charge fields should be duplicated on successive records. All available revenue and charge fields should be completely filled before using additional records. The '0001' revenue code should be the last entry on the last record for a multi-page claim and its charge should be equal to the total charge for all pages.







APPENDIX A DATA DICTIONARY

The definition specified for each data element is in general agreement with the definition in the UB-04 Users Manual. Hospitals using existing UB-04 record formats should reference Section 7.0 - EXCEPTIONS TO 1450 FORMAT, for differences from the established UB-04 record formats. Hospitals using data sources other than uniform billing should evaluate their definitions for agreement with the definitions specified in this Guide and the UB-04 Users Manual.

- A1 The dictionary format that follows will provide the following information:
 - 1. **Data Element:** The name of the data element
 - 2. Char Type: Character type for the data element

N = numeric

A = alphanumeric

- 3. **Char Length:** Character length of data element. For fields with an implied decimal point, the first number is the total length, the second number is the length after the implied decimal point (e.g., '9, 2' represents the COBOL picture clause 9(7)V99).
- 4. Data Reporting Requirement for the Data Element Level:

Required = must be reported

As available = must be present, if captured in your database

- 5. **Definition:** A definition of the data element
- 6. **General Comments:** These comments help to further define or explain the data Comments: elements and give permissible values for code and type data elements.
- 7. *Edit:* Minimal edits that will be performed on the data element; these edits should be performed by the hospital prior to submission.



Table 1. Definition Breakdown

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION		
Service Units (Accommodation Days)	N	☐ Required ☐ As available	Record Type 50, positions 38-41 for Accommodation 1.			
DEFINITION	A numeric cour	nt of Accommoda	ation days, ancillary units of ser	vice or visits where appropriate.		
GENERAL COMMENTS	This field shoul	d be a numeric v	value greater than zero.			
EDIT	The total number of days between admission date and discharge date must be within +/- 2 days of Accommodation Days.					
Accommodation Rate	N 9, 2 ⊠ Required □ As available Record Type 50, positions 29-37 f					
DEFINITION	Per-diem rate f	or related UB-04	accommodations revenue code	es.		
GENERAL COMMENTS	The rate should positions from t		d with leading zeroes. There is	an implied decimal placed 2		
EDIT	If present, rate	must be greater	than zero.			
Admission Date	N	6 or 8	⊠ Required ☐ As available	Record Type 20, positions (1450) 129-134., (1450Y2K) positions 132- 139		
DEFINITION		or this episode of the contract of the contrac		this <u>is the</u> date of admission. The		
GENERAL COMMENTS	The admission date is to be entered as month, day, and year. The format is MMDDYY for 1450 record. The month is recorded as two digits ranging from 01-12. The day is recorded as two digits ranging from 01-31. The year is recorded as two digits ranging from 00-99. Each of the three components (month, day, year) must be right justified within its two digits. Any unused space to the left must be zero filled. For example February 7, 1992 is entered as 020792 (1450). For hospitals using the 1450 record format that began using a different date format in 2000, the date must be given as CCYYMMDD. In this case, February 7, 2001 is entered 20010207. Where this change is made, all dates must use this format.					
EDIT		must be presente in Statement (nnot be before date of birth or be		
Admission Hour	А	2	Required ⊕ ⊠As available	Record Type 20, positions (1450) 135-136, (1450Y2K) positions 140- 141		
DEFINITION	The hour during	g which the patie	ent was admitted for inpatient ca	ıre.		
		values from 00 to	0 11; if admitted between noon a	n. If admitted between midnight and and 11:59 pm, use the values from		
	Code 00	Time – AM	Code 59 Midnight 12	Time – PM 12:00 – 12:59 Noon		
GENERAL COMMENTS	01 02 03 04	01:00 - 01: 02:00 - 02: 03:00 - 03: 04:00 - 04:	59 13 59 14 59 15	01:00 - 01:59 02:00 - 02:59 03:00 - 03:59 04:00 - 04:59		
	05 06 07 08	05:00 - 05: 06:00 - 06: 07:00 - 07: 08:00 - 08:	59 17 59 18 59 19	05:00 - 05:59 06:00 - 06:59 07:00 - 07:59 08:00 - 08:59		
	09 10 11	09:00 - 09: 10:00 - 10: 11:00 - 11:	59 22	09:00 – 09:59 10:00 – 10:59 11:00 – 11:59		
EDIT			r of admission or blank.			
Admitting Diagnosis Code	А	8	☐ Required ☐ As available	Record Type 70, Sequence 2, positions 160-167 (1450 & 1450Y2K).		
DEFINITION	The ICD 9 CM	diagnosis code p	provided at the time of admission	n as stated by the physician.		
GENERAL COMMENTS			opriate ICD-9-CM code without a and five digit codes plus 'V' and	a decimal. In the ICD-9-CM 'E' codes. Use of the fourth, fifth, 'V'		

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION		
	and 'E' is not optional, but must be entered when present in the code. For example, a five-digit code is entered as '12345"; a 'V' code is entered as 'V270.' All entries are to be left justified with spaces to the right to complete the field length. An 'E' code should not be recorded as the principal diagnosis.					
EDIT			resent and valid. When the pringst be consistent with the code e			
APGAR Score	N	4	☐ Required ☐ As available	Record Type 27, positions 60-63.		
DEFINITION	APGAR Score (1 minute & 5 minute) for a newborn. Zero fills if not a newborn.					
GENERAL COMMENTS	Right justify the one minute AP	e field with zeroe GAR and 62-63	s to the left to complete the field should contain the five minute A	I. Positions 60-61 should contain the APGAR. (Example: 0809)		
EDIT	If present, mus	t be numeric.				
Attending Physician <u>Provider</u> Name	А	25	☐ Required ☐ As available	Record Type 80, positions 91-115		
DEFINITION	necessity of the	services rende	red and/or who has primary respual who has overall responsibili	ed to certify and recertify the medical pensibility for the patient's medical ty for the patient's medical care and		
GENERAL COMMENTS	Entered in the on name in position	order of last nam Ins 17-24 and ini	ne, first name and middle initial. tial in position 25.	Last name in positions 1-16, first		
EDIT	None					
Attending Physician Number <u>Provider Identifier</u>	А	16	☐ Required ☐ As available	Record Type 80, positions 27-42		
DEFINITION	number of the particles render	ohysician who is red or who has p		UPIN or Commercial provider v the medical necessity of the ent's medical care and treatment. of edical care and treatment reported		
GENERAL COMMENTS	This field is to b	oe left justified w	ith spaces to the right to comple	ete the field.		
EDIT			cense er assigned number acco vider Identifier (NPI).	ording to 'Physician Number		
Birth Weight	N	4	☑ Required ☐ As available	Record Type 27, positions 40-43		
DEFINITION	Birth weight in	grams for a newl	born. Zero-fill if not a newborn.			
GENERAL COMMENTS	Right justify the	e field with zeroe	s to the left to complete the field	1 .		
EDIT	Must be numer	ic.				
Charitable / Donation Rate	N	3	☐ Required ☒ As available	Record Type 27, positions 57 – 59		
DEFINITION	This item identifies the 'claim' fully or partially as charitable or a donation of services. (This should not be confused with a bad debt.)					
GENERAL COMMENTS	Use the following percentage rates: 100 Fully charitable / donation 1 – 99 Partially charitable, expecting some reimbursement of expenses, estimate the percentage of total charges that will be charitable 0 Not charitable, expect collection of all or some of the charges					
EDIT	If present, must be a valid numeric value.					
Diagnosis Related Group (DRG)	N	4	☐ Required ☒ As available	Record 27, positions 64-67		
DEFINITION			claim to identify the DRG based payer. This represents an inpa	I on the grouper software called for tient classification scheme to		

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTI	NG LEVEL		LOCATION		
	categorize patients that are medically related with respect to diagnosis and treatment and who are statistically similar in their lengths of stay							
GENERAL COMMENTS	When DRG is unknown or not available use 9999. Right justified with leading spaces.							
EDIT	A DRG must be Present if present, must be Valid valid and Consistent consistent with sex and age.							
Discharge Hour	A 2 Required ☐ As available Record Type 20, positions 151- (1450), positions 160-161 (1450Y2K)					ositions 160-161		
DEFINITION	Hour that the patient was discharged from inpatient care. Required on inpatient claims with a Frequency Code of 1 or 4, except for Type of Bill 021x.							
	Military time should be used to represent the hour of discharge. If discharged between midnight and noon, use the values from 00 to 11; if discharged between noon and 11:59 pm, use the values from 12 to 23.							
	Code	Time – A		Cod		Time – PM		
GENERAL COMMENTS	00 01 02 03 04 05 06 07 08 09 10	12:00 - 1 01:00 - 0 02:00 - 0 03:00 - 0 04:00 - 0 05:00 - 0 07:00 - 0 08:00 - 0 09:00 - 0 10:00 - 1 11:00 - 1	02:59 03:59 04:59 05:59 06:59 07:59 08:59 09:59	12 13 14 15 16 17 18 19 20 21 22 23		12:00 – 12:59 Noon 01:00 – 01:59 02:00 – 02:59 03:00 – 03:59 04:00 – 04:59 05:00 – 05:59 06:00 – 06:59 07:00 – 07:59 08:00 – 08:59 09:00 – 09:59 10:00 – 10:59 11:00 – 11:59		
EDIT	Valid numeric value for the hour of discharge or blank.							
Employer Location	A 44 ☐ Required ☒ As available Record Type 31, positions				ype 31, positions 111-154			
DEFINITION			ed by the address o		er of the ir	ndividual identified by the		
GENERAL COMMENTS	This is to be the	full and comple	ete address of the e	mployer of tl	ne individu	ual.		
EDIT	None							
Employer Name	А	24	☐ Required ⊠ A	s available	Record T	ype 31, positions 87-110		
DEFINITION	The name of the	e employer that e first of two entr	might or does provi ies in the employm	de health ca ent informati	re coveraç on data fie	ge for the individual elds.		
GENERAL COMMENTS	Enter the full ar	nd complete nam	ne of the employer	providing hea	alth care c	overage.		
EDIT	None							
Employer Zip Code	А	9	☐ Required ⊠ A	s available	Record T	ype 31, positions 146-154		
DEFINITION	The ZIP Code of the employer of the individual identified by the first of two entries in the employment information data fields.							
GENERAL COMMENTS	None							
EDIT	None							
Employment Status Code	A 1 ☐ Required ☑ As available Record Type 30, position 146-1					ype 30, position 146-146		
DEFINITION	A code used to define the employment status of the individual identified in the first of two employment information data fields.							
GENERAL COMMENTS			ment status of the des to be used are		cribed in th	he first of two employment		
	1 Em	nployed full time	Definition: in	dividual state		she is employed full time		
L						and it ample you part time		

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION		
	3 No	t employed	<u>Definition:</u> individual state time or full time	es that he/she is not employed part		
	4 Self employed					
	5 Re	tired				
	6 On	active military d	uty			
	9 Un	known	Definition: individual's en	nployment status is unknown		
EDIT	If an entry is pre	esent, it must be	a valid code.			
Estimated Amounted Due	N	8, 2	☐ Required ☒ As available	Record Type 30, positions 183-192, Record Type 20, positions 163-172		
DEFINITION	The amount est less prior paym		ospital to be due from the indica	ated payer (estimated responsibility		
GENERAL COMMENTS	with 2 additional digits must be z	al digits for cents ceros. For exam	(no decimal is entered). If the	ount can be a maximum of 6 digits amount has no cents then the last 2 ered as 50000; an estimate of \$50.55		
EDIT	None					
Estimated Collection Rate	N	3	☐ Required ☒ As available	Record Type 27, positions 54-56		
DEFINITION			pected from all sources for this of bad debt, contracted amounts	inpatient occurrence. This s or rates with insurance carriers,		
GENERAL COMMENTS			ific patient or could be the hosp ollection rate should also includ	ital's percentage of collections le capitated rates against normal		
EDIT	Numeric value;	range 0 to 100				
External Cause of Injury Code (E-code)	А	6	☐ Required ☐ As available	Record Type 70, Sequence 2, positions 168-175, 176-183, 184-191 (1450 & 1450Y2K)		
DEFINITION	The ICD-9-CM	code for the exte	ernal cause of injury, poisoning	or adverse effect.		
			ield whenever there is a diagnoing an E-code are:	sis of an injury, poisoning or adverse		
GENERAL COMMENTS	a.	Principal diagn	osis of an injury or poisoning			
GENERAL COMMENTS	b.	Other diagnosi				
	C.	ŭ	s with an external cause			
	All entries are to be left justified without a decimal. Must be valid. When the diagnosis is sex or age dependent, the age and sex must be consistent					
EDIT	Must be valid. with the code e		osis is sex or age dependent, th	ne age and sex must be consistent		
Federal Tax Number (EIN)	N	10	☐ Required ☐ As available	Record Type 10, positions 8-17, Record Type 95, positions 3-12		
DEFINITION	The number assigned to the provider by the Federal government for tax report purposes, also known as a Tax Identification Number (TIN) or Employer Identification Number (EIN).					
GENERAL COMMENTS	None					
EDIT	None					
Federal Tax Sub ID				Record Type 10 position 18-21, Record Type 95 position 13-16		
DEFINITION	Four-position modifier to Federal Tax ID.					
GENERAL COMMENTS	Used by providers to identify their affiliated subsidiaries when the Federal Tax Number does not distinguish between separate facilities or cost centers.					
EDIT	None					
HCPCS / Procedure Code	А	5	☐ Required ☐ As available	Record Type 60, positions 29-34, 85-89, 141-145		

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION			
DEFINITION	made. HCFA C	ommon Procedu		hat appropriate payment can be ode is required for many specific nclude up to two modifiers.			
GENERAL COMMENTS	None	None					
EDIT	None						
Health Plan ID	А	9	☐ Required ☒ As available	Record Type 30, positions 26-34			
DEFINITION	for the bill. The	sub-identificatio	er organization from which the h n is of the specific office within t numbers used by the health plan	ospital might expect some payment the insurance carrier designated as n to identify itself.			
GENERAL COMMENTS	This can be a u	ınique identifier (used solely by the hospital. Non	<u>e</u>			
EDIT	None						
Insured Address	А	62	☐ Required ☒ As available	Record Type 31, positions 25-86			
DEFINITION	Insured's curre	nt mailing addre	ss: Address Line 1, Address Lin	ne 2, City, State, Zip.			
GENERAL COMMENTS	None						
EDIT	None						
Insured Group Name	А	14	☐ Required ☒ As available	Record Type 30, positions 97-110			
DEFINITION	Name of the group or plan through which the insurance is provided to the Insured's Name listed in the first Insured's Name field.						
GENERAL COMMENTS	Enter the comp the excess.	lete name of the	group or plan name. If the name	ne exceeds 16 characters, truncate			
EDIT	None						
Insurance Group Number	А	17	☐ Required ☒ As available	Record Type 30, positions 80-96			
DEFINITION			rol number, or code assigned by the individual is covered.	the carrier or administrator to			
GENERAL COMMENTS	None						
EDIT	None						
Insured's Name	А	30	☐ Required ☒ As available	Record Type 30, positions 111-140			
DEFINITION	The name of th	e individual in w	hose name the insurance is car	ried.			
GENERAL COMMENTS	Enter the name of the insured individual in last name, first name, middle initial order. Titles such as Sir, Mr. or Dr. should not be recorded in this data field. Record hyphenated names with the hyphen as in Smith-Jones. To record suffix of a name, write the last name, leave a space then write the suffix, for example, Snyder III or Addams Jr.						
EDIT	None						
Insured's Sex	А	1	☐ Required ☒ As available	Record Type 30, position 141-141			
DEFINITION	A code indication	ng the sex of the	insured.				
GENERAL COMMENTS	following coding M = F = U =	g: : Male Female Unknown		male, female or unknown using the			
EDIT	If present, the	code must be val	lid.				

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION			
Insured's Unique ID	A 19 Required \square As available Record Type 30, positions 35-53						
Insured's unique identification number assigned by the payer organization. Medica enter the patient's Medicare HIC number as on the Health Insurance Card, Certifica Utilization Notice, Temporary Eligibility Notice, Hospital Transfer Form, or as report Security Office.							
GENERAL COMMENTS	The payer organization's assigned identification number is to be entered in this field. It shoulentered exactly as printed on the Insured's proof of coverage.						
EDIT	None						
Major Diagnostic Categories (MDC)	A 2 ☐ Required ☒ As available Record Type 27, positions 68-69						
DEFINITION	The MDC is for areas.	med by dividing	all possible principal diagnoses	into 25 mutually exclusive diagnosis			
GENERAL COMMENTS	(Multiple Signifi principal or sec Infections) mus	cant Trauma) without a condaries) from the table to the condaries of the c	th at least two significant traum	s. Patients assigned to MDC 25 (HIV or a principal diagnosis of a			
EDIT	Must be a valid	code.					
MDC Code & Definition	1 = 2 = 3 = 4 = 5 = 6 = 7 = 6 = 7 = 10 : 11 : 12 : 13 : 14 : 15 : 16 : 17 : 18 : 19 : 20 : 21 : 22 : 23 : 24 : 24 : 24 : 24 : 24 : 24	0 = Ungroupable					
Medical Record Number	А	A 17 Required As available Record Type 20, positions 173-189					
DEFINITION	Number assigned to patient by hospital or other provider to assist in retrieval of medical records.						
GENERAL COMMENTS	This number is assigned by the hospital for each patient.						
EDIT None							

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION		
National Provider Identifier (NPI) – Billing Provider	А	13	☐ Required ☐ As available	Record Type 10, positions 22-34		
DEFINITION	The National P	rovider Identifier	(NPI) is a ten-position identifier	issued by Medicare.		
GENERAL COMMENTS	The unique ide	ntification number	er assigned to the provider subr	mitting the bill.		
EDIT	Will be verified	against Departm	nent of Health databases obtain	ed from Medicare.		
Non Covered Charges by Revenue Code	N	10, 2	10, 2 ☐ Required ☑ As available Record Type 50 position 52-61, 9 103, 136-145, 178-187 Record Type 60 position 55-64, 111-120, 167-176			
DEFINITION	Charges pertain determined by		ed UB-04 revenue code that are	not covered by the primary payer as		
GENERAL COMMENTS	entries are righ	t justified. If the		for cents (no decimal point). All ast two digits must be zero. For f \$37.50 is entered as 3750.		
EDIT	This field must than 0.	be present and	contain a value greater than 0 w	when revenue code field is greater		
Number of Claims	N	6	☐ Required ☐ As available	Record Type 95, positions 25-30		
DEFINITION	The number of submittal, no lo		mitted by a hospital for this so	ubmitted. Used to verify a complete		
GENERAL COMMENTS	None					
EDIT	Must be the tot	al number of dis	charges for the hospital in the b	atch (type '20'records).		
Operating Physician Name	A 25 ☐ Required ☒ As available Record Type 80, positions 116-1					
DEFINITION	Name used by the provider to identify the operating physician in the provider records. The name of the individual with the primary responsibility for performing the surgical procedure(s).					
GENERAL COMMENTS			ne, first name and middle initial. tial in position 25.	Last name in positions 1-16, first		
EDIT	None					
Operating Physician Number <u>Identifier</u>	А	16	☐ Required ☐ As available	Record Type 80, Position 43-58		
DEFINITION	the provider to	identify the oper		ommercial provider number used by ecords. of the individual with primary		
GENERAL COMMENTS	Must be left jus	tified in the field				
EDIT	This field must Qualifying Code		cense or assigned number acco	ording to 'Physician Number		
Other Diagnosis Code	А	6	☐ Required ☐ As available	Record Type 70, Sequence 1		
DEFINITION	ICD-9-CM codes describing other diagnoses corresponding to additional conditions that co-exist at the time of admission or develop subsequently, and which have an effect on the treatment received or the length of stay.					
GENERAL COMMENTS	The first of eight additional diagnoses. This field must contain the appropriate ICD-9-CM code without a decimal. In the ICD-9-CM codebook there are three, four, and five digit codes, plus and 'E' codes. Use of the fourth, fifth, 'V,' and 'E' is not optional, but must be entered when print the code. For example, a five-digit code is entered as '12345'; a 'V' code is entered as 'V27 All entries are to be left justified with spaces to the right to complete the field length. An 'E' code should not be recorded as the principal diagnosis.					
EDIT			they must be valid. When diagon t with the code entered.	nosis is sex or age dependent, the		
Other Physician Name	А	25	☐ Required ☒ As available	Record Type 80, positions 141-165, 166-190		

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION		
DEFINITION	This is the nam organization.	e of a physician	other than the attending physic	ian as defined by the payer		
GENERAL COMMENTS			ne, first name and middle initial. tial in position 25.	Last name in positions 1-16, first		
EDIT	None					
Other Physician Number Identifier				Record Type 80, positions 59-74, 75-90		
DEFINITION			entifier (preferred), State Licens other than the attending physic	e, provider UPIN or Commercial ian as defined by the payer		
GENERAL COMMENTS	Must be left jus	tified in the field.				
EDIT	This field must Qualifying Code		cense or assigned number acco	ording to 'Physician Number		
Other Procedure Code	А	7	☐ Required ☐ As available	Record Type 70, Sequence 2 (1450 & 1450Y2K)		
DEFINITION			ner procedures performed during may include diagnostic or explored	ng the patient's hospital stay covered ratory procedures.		
GENERAL COMMENTS	Procedures that make for accurate DRG Categorization must be included. The coding method used must agree with the coding method used for the principal procedure. Entries must include all digits. In the ICD-9-CM there are three-digit procedure codes and four-digit codes; use of the fourth digit is NOT optional. It must be present. Enter the code left justified, without a decimal.					
EDIT				red. Codes entered must be valid. In the record must be consistent.		
Other Procedure Date	N	6	☑ Required ☐ As available	Record Type 70, Sequence 2 (1450 & 1450Y2K)		
DEFINITION	Date that the procedure indicated by the related procedure code was performed.					
GENERAL COMMENTS	None					
EDIT	Must be a valid	date.				
Patient Address	А	62	☐ Required ☐ As available	Record Type 20, positions 67-128 (1450 & 1450Y2K)		
DEFINITION		cluding postal zi State, & ZIP Co		d by the payer organization. (Address		
GENERAL COMMENTS	The order of the complete address if provided should be street number, apartment number, city, state and zip code, left justified with spaces to the right to complete the field. The state must be the standard post office abbreviations (AR for Arkansas). If the nine digit zip code is used, it must be entered in the form XXXXXYYYY where X's are the five digit zip code and the Y's are the zip code extension. If Street Address is not provided, the nine digit postal ZIP code is required for a valid address.					
EDIT	This field is edi	ted for the prese	nce of an address with a valid a	and complete postal ZIP code.		
Patient Control Number	А	20	☐ Required ☐ As available	All Records, positions 5-24 except for Record Types 10 and 95		
DEFINITION	A patient's unique alpha-numeric number assigned by the hospital to facilitate retrieval of individual discharge records, if editing or correction is required.					
GENERAL COMMENTS	GENERAL COMMENTS This number should not be the same as the Medical Record Number. This number will be reference in correspondence, problem solving or edit corrections.					
EDIT	The number mi	ust be present ar	nd should be unique within a ho	spital.		
Patient's Date of Birth	N	8	⊠ Required ☐ As available	Record Type 20, positions 56-63 (1450 & 1450Y2K)		
DEFINITION	The date of birt	h of the patient i	n month day year order; year is	4 digits.		

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION		
GENERAL COMMENTS	(MMDDYYYY). two digits rangi the first two cor to the left must date is unknow that began usin	of birth must be present and recorded in an eight-digit format of month day year (YYY). The month is recorded as two digits ranging from 01-12. The day is recorded as ranging form 01-31. The year is recorded as four digits ranging from 1800-2100. Each to components (month, day) must be right justified within its two digits. Any unused spartness be zero filled. For example February 7, 1982 is entered as 02071982. If the birth known, then the field must contain '00000000. 'For hospitals using the 1450 record form using a different date in 2000, the date must be given as CCYYMMDD. In this case, 7, 2001 format is entered 20010207. Where this change is made, all dates must use this				
EDIT		alculated and use	nce of a valid date and of a date ed in the clinic code edit to ident	e that it is not equal to the current ify age/diagnosis conflicts and		
Patient's Discharge Status	N	2	☐ Required ☐ As available	Record Type 20, positions (1450) 149-150, positions (1450Y2K) 158- 159		
DEFINITION	A code indication a patient's stay		at the time of the discharge. It	is the arrangement or event ending		
GENERAL COMMENTS				e time of discharge, the last 'Patient 99. The patient's status is coded as		
	to l	home; home on lependent living	oxygen if DME only; any other [ine Discharge)-Includes discharges DME only; group home, foster care, gements; outpatient programs, such pendency programs.		
	02 <u>De</u>	finition: Dischar	ged/transferred to a Short-Term	General Hospital for Inpatient Care		
	Ce dis ap	rtification in Anti charged/transfer proved swing be	ge/transferred to Skilled Nursing cipation of Skilled Care-Indicate rred to a Medicare certified nurs d arrangement, use Code 61-Sins to nursing facilities see 04 an	es that the patient is ing facility. For hospitals with an wing Bed. For reporting other		
	dei tha spi dis	fined at the state at provides custo ecifically designa charged/transfe	Hevel for specifically designated dial or supportive care. Includes at the state level. Also, use tred to a nursing facility with nei	e Care Facility (ICF) — Typically I intermediate care facilities a facility is intermediate care facilities (ICFs) if ad to designate patients that are ther Medicare nor Medicaid esignated Assisted Living Facilities.		
	05 <u>De</u>	finition: Discharg	ge/transferred to Designated Ca	ncer Center or Children's Hospital		
			ge/transferred to Home Under C on in Anticipation of Covered Sk	are of Organized Home Health iilled Care		
	07 <u>De</u>	finition: Left Aga	inst Medical Advice or Discontin	nued Care		
	cla		y to those Medicare outpatient s	-Use only with Medicare outpatient services that begin greater than three		
	20 <u>De</u>	finition: Expired				
			ged/transferred to Court/Law En ies such as jails, prison or other	forcement – includes transfers to detention facilities.		
	30 <u>De</u>	finition: Still a Pa	atient in the Hospital- ***not a va	alid code		
	40 <u>De</u>	finition: Expired	at home- (hospice claims only)			
			in a Medical Facility-hospital, sk estanding hospice (hospice clain	cilled nursing facility, intermediate ms only)		
		•	 Place Unknown (hospice clair 	**		
			ge/transferred to a Federal Heal a VA hospital, or a VA nursing fa	th Care Facility e.g. Department of acility		
	50 <u>De</u>	finition: Hospice	- Home			
	51 <u>De</u>	finition: Hospice	- Medical Facility			

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION		
	F	or Medicare disch		sed (Medicare approved) swing bed- s discharged/transferred to a SNF ped arrangement.		
		<u>Definition:</u> Discharged/transferred to an Inpatient Rehabilitation Facility (IRF) including Rehabilitation Distinct Part Units of a Hospital				
	63 <u>[</u>	<u> Definition:</u> Discharç	ged/transferred to a Long Term	Care Hospital (LTCH)		
		<u>Definition:</u> Dischargertified under Me		cility Certified under Medicaid but not		
	65 <u>[</u>	<u>Pefinition:</u> Discharo Init of a hospital	ged/transferred to a Psychiatric	Hospital or Psychiatric Distinct Part		
	66 <u>[</u>	efinition: Dischar	ged/transferred to a Critical Acc	ess Hospital (CAH)		
	67-69 F	Reserved for Assig	nment by the NUBC			
			ged/transferred to another Type in this Code List.	of Health Care Institution not		
	71-99 F	Reserved for Assig	nment by the NUBC			
EDIT	not a valid co the day of ar 09 would app	de. *In situations outpatient servic	where a patient is admitted before, the outpatient services are of that began longer than 3 days	efined. A patient status code of 30 is one midnight of the third day following considered inpatient. Therefore, code earlier, such as observation following		
Patient's Ethnicity	А	1	☐ Required ☐ As available	Record Type 27, position 39-39		
DEFINITION	be obtained	es the ethnicity of from the patient, a ervation or persor	a relative, or a friend. The hos	based on self-identification, and is to spital is not to categorize the patient		
		ld enter the code t		patient chooses not to answer, the s to request the information, the field		
GENERAL COMMENTS	1 H	lispanic origin		Mexican, Puerto Rican, Cuban, rican, or other Spanish culture or ice.		
	2 N	lot of Hispanic Ori	gin <u>Definition:</u> A person w	ho is not classified in 1.		
	6 U	Jnknown	<u>Definition:</u> A person whinquiry	ho chooses not to respond to the		
	Blank Space	he hospital made	no effort to obtain the information	on.		
EDIT	If the data fie	d contains an enti	ry, it must be a valid code comb	ination.		
Patient's Marital Status	А	1	☐ Required ☒ As available	Record Type 20, position 64-64 (1450 & 1450Y2K)		
DEFINITION	The marital s	atus of the patien	t at date of admission, or start o	f care.		
			t is to be reported as a one char pital record. The following codes	racter code whenever the information s apply:		
GENERAL COMMENTS	M = X = D = W = U = Spa	Married Legally Sep Divorced Widowed Unknown	parated t in patient's record			
EDIT	This field is e	dited for a valid er	ntry			
Patient <u>'s</u> Name	А	31	☐ Required ☐ As available	Record Type 20, positions 25-54 (1450 & 1450Y2K)		

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA R	EPORTING LEVEL	LOCATION		
GENERAL COMMENTS	hyphen, as in S	Titles such as Sir, Msgr., and Dr. should not be recorded. Record hyphenated names with the hyphen, as in Smith-Jones. To record a suffix of a name, write the last name, leave a space, then write the suffix, for example: Snyder III or Addams Jr.					
EDIT	The name will	be edited for the	presence	of the last name and	the first name.		
Patient's Race	А	1	⊠ Requ	ired As available	Record Type 27, position 38-38		
DEFINITION	This item gives	the race of the p	oatient.				
		l enter the code f			atient chooses not to answer, the to request the information, the field		
		nerican Indian or askan Native	of Nor	th America, and who	origins in any of the original peoples maintains cultural identification ommunity recognition.		
		sian or Pacific ander	people Subco	es of the Far East, So ntinent or the Pacific ole, China, India, Japa	origins in any of the original oriental utheast Asia, the Indian Islands. This area includes, for an, Korea, the Philippine Islands and		
GENERAL COMMENTS	3 Bla	ack		ion: A person having s of Africa	origins in any of the black racial		
	4 W	hite		ion: A person having ope, North Africa or th	origins in any of the original peoples ne Middle East.		
	5 Ot	Other <u>Definition:</u> Any possible options not covered in the above categories.			ions not covered in the above		
	6 Ur	nknown	<u>Definit</u>	ion: A person who ch	ooses not to answer the question.		
	Blank Space		<u>Definit</u>	ion: The hospital mad	e no effort to obtain the information.		
EDIT	None						
Patient's Relationship to Insured	N	2	Requ	ired 🛛 As available	Record Type 30, positions 144-145		
DEFINITION				s patient, spouse, chil nsured's Name fields.	d, etc., of the patient to the identified		
GENERAL COMMENTS				atient's relationship to ded. The following co	the individual named. All codes are odes apply:		
	18 Pa	itient is named in	sured	Definition: Self-expla	anatory		
	01 Sp	oouse		Definition: Self-expla	anatory		
		atural child/insure ancially responsi		<u>Definition:</u> Self-explanatory			
	no	atural child/insure t have financial sponsibility	ed does	<u>Definition:</u> Self-explanatory			
		ep Child		Definition: Self-expla	anatory		
	10 Fo	ster Child		Definition: Self-expla	anatory		
	15 W	ard of the Court		<u>Definition:</u> Patient is court order	ward of the insured as a result of a		
	20 Er	nployee		<u>Definition:</u> The patie insured.	nt is employed by the named		
		nknown		insured is unknown	nt's relationship to the named		
	22 Ha	andicapped Depe	endent		nt child whose coverage extends ination age limits as a result of laws nding coverage.		

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA R	EPORTING LEVEL	LOCATION
	39 Or	gan Donor		for care given to org	used in cases where bill is submitted an donor where such care is paid by t's insurance coverage.
	40 Ca	daver Donor		procedures perform	used where bill is submitted for ed on cadaver donor where such I by the receiving patient's insurance
	05 Gr	andchild		Definition: Self-expla	anatory
	07 Nie	ece or Nephew		Definition: Self-expla	anatory
	41 Inj	ured Plaintiff		<u>Definition:</u> Patient is injury covered by ins	claiming insurance as a result of sured.
	23 Sp	onsored Depend	dent	coverage but covera include relationships	I not normally covered by insurance age has been specially arranged to s such as grandparent or former equire further investigation by the
		nor Dependent o pendent	f a Minor	<u>Definition:</u> Code is udependent of anothor although not a child	used where patient is a minor and a er minor who in turn is a dependent, of the insured.
	32 Mc	other		Definition: Self-expla	anatory
	33 Fa	ther		<u>Definition:</u> Self-expla	anatory
	04 Gr	andparent		<u>Definition:</u> Self-expla	anatory
	29 Siç	gnificant Other			
	36 En	nancipated Minor	•		
	53 Lif	e Partner			
	G8 Ot	her Relationship			
EDIT	A code must be	e present and va	lid if Insure	ed's Name is entered.	
Patient's Sex	А	1	⊠ Requ	ired As available	Record Type 20, position 55 (1450 & 1450Y2K)
DEFINITION	The gender of	the patient as red	corded at o	date of admission.	
GENERAL COMMENTS	following codin M = F =		he sex is	o be reported as mal	e, female or unknown using the
EDIT					cked for consistency with diagnosis conflicts and invalid or unknown
Patient Social Security Number	N	10	Requ	ired 🛛 As available	Record Type 27, positions 28-37
DEFINITION	The social secu	urity number of th	ne patient	receiving inpatient ca	re
GENERAL COMMENTS	The format of S	SSN is 01234567	'89 without		pes to the left to complete the field. ent is a newborn, use the mother's ith zeroes.
EDIT	The field is edit	ed for a valid en	try.		
Payments Received	N	8, 2	Requ	ired ⊠ As available	Record Type 20, positions (1450) 153-162, 163-121 (1450Y2K), Record Type 30, positions 173-182
DEFINITION	The amount the date.	e hospital has re	ceived fror	n the patient toward p	payment of a bill prior to the billing
GENERAL COMMENTS	The format of the with 2 additional digits must be a	al digits for cents zeros. For exam	(no decim	al is entered). If the	unt can be a maximum of 6 digits amount has no cents, then the last 2 ared as 50000 and a payment of
	you.ou is enter	ou as soot. The	citing to II	grit justilled Willill lile	o noid.

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION		
EDIT	None					
Physician Number Qualifying Identifier Code	А	2	☐ Required ☐ As available	Record Type 80, positions 25-26		
DEFINITION	The type of P hospital discha		r being submitted. Applies to	all Physician Numbers for a single		
	Use one of the	following codes:	the code NI for National Provid	er Identifier (NPI).		
	0B	State License II	D-Number			
	1G	UPIN Provider	Number			
	G2	Commercial Pro	ovider Number			
	NI	National Provid	er Identifier			
	If the UPIN coo	ling is used, the	following may be used for physi	cians without assigned UPINs:		
GENERAL COMMENTS	INT000	for each intern				
	RES000	for each resider	nt			
	PHS000	for Public Healt	h Service physicians			
	VAD000	for Department	of Veterans Affairs physicians			
	RET000	for retired physi	icians			
	SLF000	for providers to	report that the patient is self-rel	ferred		
	OTH000	for all other uns	pecified entities without UPINs			
EDIT	Must be a valid	code or spaces	. Spaces will be assumed to be	UPIN NPI.		
Source (Point of Origin) for Admission or Visit	<u>A</u>	1	□ Required □ As available	Record Type 20, position 66-66		
<u>DEFINITION</u>	A code indicati	ng the point of pa	atient origin for this admission o	r visit.		
		alth Care Point of Origin	Code Structure for all Admission Types (excluding Newborns (Type 4)) Definition: The patient was admitted to this facility. upon an order of a physician. Examples: Includes patients coming from home or workplace.			
	2 Clinic		Definition: The patient was admitted to this facility as a transfer from a freestanding or non-freestanding clinic.			
	3 Reserve	ed for nent by NUBC	Definition:	sotunding omno.		
	4 Transfe Hospita	r from a	Definition: The patient was adresser from an acute care facting inpatient or outpatient.	nitted to this facility as a hospital illity where he or she was an		
	Nursing	r from a Skilled Facility (SNF) mediate Care (ICF)	Definition: The patient was admitted to this facility as a transfer from a SNF or ICF where he or she was a resident.			
	6 Transfe Health (nitted to this facility as a transfer re facility not defined elsewhere in				
_	Reserve	ed for nent by NUBC	Definition: The patient was admitted to this facility upon the recommendation of this facility's emergency room department.			
	8 Court/Law Enforcement		Definition: The patient was admitted to this facility upon the direction of a court of law, or upon the request of a law enforcement agency representative.			
	9 Informa availabl		<u>Definition: The means by which hospital is not known.</u>	n the patient was admitted to this		
		nt transfers ne same facility	Definition: The patient was transhospital to another unit of the separate claim to the payers.	nsferred from a separate unit of a same hospital which results in		

code is entered as '12345'; a 'V' code is entered as 'V270'. All entries are to be left justified with spaces to the right to complete the field length. An 'E' code should not be recorded as the principal diagnosis. EDIT	DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION		
E Transfer from Hospice E Transfer from Hospice Code Structure for Newborn (4)		Ambula					
If Type of Admission is a 4, the following codes apply:							
Definition: A baby born inside this Hospital.							
EDIT Seesered for assignment by the NUBC.			-				
Present on Admission (POA)							
Present on Admission (POA) N			•				
The POA is defined as present at the time the order for inpatient admission occurs – conditions the develop during an outpatient encounter, including emergency department, observation, or outpatient surgery, are considered as present on admission. There are five reporting options: Y							
The POA is defined as present at the time the order for inpatient admission occurs – conditions the develop during an outpatient encounter, including emergency department, observation, or outpatient surgery, are considered as present on admission. There are five reporting options: Yes – present at the time of inpatient admission No – not present at the time of inpatient admission Decumentation is insufficient to determine if condition is present on admission No information in the record We provider is unable to clinically determine whether condition was present on admission or not Clinically undetermined 1 Exempt from POA reporting Record Type 70, Sequence 1, positions 25-31 Must be a valid code. Principal Diagnosis Code A 6 Required As available Record Type 70, Sequence 1, positions 25-37. The principal diagnosis is the condition established after study to be chiefly responsible for occasioning the admission of the patient for care. An ICD-9-CM code describes the principal disease. This field is to contain the appropriate ICD-9-CM code without a decimal. In the ICD-9-CM codebook there are three, four, and five digit codes plus 'V' and 'E' codes. Use of the fourth, fifth, 'V' and 'E' is not optional, but must be entered when present in the code. For example, a five-digit code is entered as '124545', a 'V' code is e	EDIT	The code must	be present and	valid and agree with the Type o	f Admission code entered.		
develop during an outpatient encounter, including emergency department, observation, or outpatient surgery, are considered as present on admission. There are five reporting options: Yes − present at the time of inpatient admission No − not present at the time of inpatient admission U information in the record Provider is unable to clinically determine if condition is present on admission No information in the record W Provider is unable to clinically undetermine whether condition was present on admission No information in the record W Provider is unable to clinically undetermined 1 Exempt from POA reporting GENERAL COMMENTS None EDIT Must be a valid code. Principal Diagnosis Code A 6 ⊠ Required □ As available Record Type 70, Sequence 1, positions 25-31 The principal diagnosis is the condition established after study to be chiefly responsible for occasioning the admission of the patient for care. An ICD-9-CM code describes the principal disease. This field is to contain the appropriate ICD-9-CM code without a decimal. In the ICD-9-CM codebook there are three, tour, and five digit codes plus 'V' and 'E' codes. Use of the fourth, fifth, V' and 'E' is not optional, but must be entered when present in the code. For example, a five-digit code is entered as "123". All entries are to be left justified with spaces to the right to complete the field length. An 'E' code should not be recorded as the principal diagnosis. EDIT A principal diagnosis must be present and valid. When the principal diagnosis is sex or age dependent, the age and sex must be consistent with the code entered. The code that identifies the principal procedure performed during the hospital stay covered by the discharge data record. The principal procedure is one that is performed for definitive treatme rather than for diagnostic or exploratory purposes, or is necessary as a result of complications. The principal procedure is that procedure is she throcedure codes; use of the fourth-digit is NOT optional. It must be present. Enter th	Present on Admission (POA)						
No - not present at the time of inpatient admission		develop during	an outpatient en	counter, including emergency of	department, observation, or		
U Documentation is insufficient to determine if condition is present on admission-No information in the record W Provider is unable to clinically determine whether condition was present on admission of the Clinically undetermined 1 Exempt from POA reporting Record Type 70, Sequence 1, positions 25-31 The principal diagnosis is the condition established after study to be chiefly responsible for occasioning the admission of the patient for care. An ICD-9-CM code describes the principal disease. This field is to contain the appropriate ICD-9-CM code without a decimal. In the ICD-9-CM code by the fourth, fifth, 'V and 'E' is not optional, but must be entered when present in the code. For example, a five-digit code is entered as '123-67', a 'V' code is entered as '1270'. All entries are to be left justified with spaces to the right to complete the field length. An 'E' code should not be recorded as the principal diagnosis. EDIT A principal diagnosis must be present and valid. When the principal diagnosis is sex or age dependent, the age and sex must be consistent with the code entered. Principal Procedure Code A 7 ☑ Required ☐ As available Record Type 70 Sequence 2 position 25-32 (1450 & 1450Y2K) The code that identifies the principal procedure performed during the hospital stay covered by the discharge data record. The principal procedure is one that is performed for definitive treatme rather than for diagnostic or exploratory purposes, or is necessary as a result of complications. The principal procedure is one that is performed for definitive treatme rather than for diagnostic or exploratory purposes, or is necessary as a result of complications. The principal procedure is one that is performed for definitive treatme rather than for diagnostic or exploratory purposes, or is necessary as a result of complications. The principal procedure code for all digits and decimal. In the ICD-9-CM, there are three-digit procedure codes and four-digit procedure coder; use of the fourth-digit is NOT optional. It must		Y	Yes – present a	at the time of inpatient admission	n		
Definition	DEFINITION	N	•				
## Admission or not Clinically undetermined 1		U	information in th	ne record	· _		
## Record Type 70, Sequence 1, positions 25-31 Principal Diagnosis Code		admission or not Clinically undetermined					
Principal Diagnosis Code A 6 ⊠ Required ☐ As available Record Type 70, Sequence 1, positions 25-31 The principal diagnosis is the condition established after study to be chiefly responsible for occasioning the admission of the patient for care. An ICD-9-CM code describes the principal disease. This field is to contain the appropriate ICD-9-CM code without a decimal. In the ICD-9-CM codebook there are three, four, and five digit codes plus 'V' and 'E' codes. Use of the fourth, fifth, 'V' and 'E' is not optional, but must be entered when present in the code. For example, a five-digit code is entered as "V270". All entries are to be left justified with spaces to the right to complete the field length. An 'E' code should not be recorded as the principal diagnosis. EDIT A principal diagnosis must be present and valid. When the principal diagnosis is sex or age dependent, the age and sex must be consistent with the code entered. Principal Procedure Code A 7 ⊠ Required ☐ As available Record Type 70 Sequence 2 position 25-32 (1450 & 1450/2K) The code that identifies the principal procedure performed during the hospital stay covered by the discharge data record. The principal procedure is one that is performed for definitive treatme rather than for diagnostic or exploratory purposes, or is necessary as a result of complications. The principal procedure is that procedure most related to the principal diagnosis. The coding method used should be ICD-9. If some other coding method is used, Procedure Coding Method Used field must NOT be 9, but must indicate the code for all digits and decimal. In the ICD 9-CM, there are three-digit procedure codes and four-digit procedure codes; use of the fourth-digit is NOT optional. It must be present. Enter the code left justified without a decimal This field must be present if other procedures are reported and be a valid code. When a procedure is sex-specific, the sex code entered in the record must be consistent.			Exempt from Po	OA reporting			
Principal Diagnosis Code A 6 ⊠ Required ☐ As available Record Type 70, Sequence 1, positions 25-31 The principal diagnosis is the condition established after study to be chiefly responsible for occasioning the admission of the patient for care. An ICD-9-CM code describes the principal disease. This field is to contain the appropriate ICD-9-CM code without a decimal. In the ICD-9-CM codebook there are three, four, and five digit codes plus 'V' and 'E' codes. Use of the fourth, fifth, 'V' and 'E' is not optional, but must be entered when present in the code. For example, a five-digit code is entered as '12345'; a 'V' code is entered as 'V270'. All entries are to be left justified with spaces to the right to complete the field length. An 'E' code should not be recorded as the principal diagnosis. A principal diagnosis must be present and valid. When the principal diagnosis is sex or age dependent, the age and sex must be consistent with the code entered. Principal Procedure Code A 7 ☑ Required ☐ As available Record Type 70 Sequence 2 position 25-32 (1450 & 1450Y2K) The code that identifies the principal procedure performed during the hospital stay covered by the discharge data record. The principal procedure is one that is performed for definitive treatme rather than for diagnostic or exploratory purposes, or is necessary as a result of complications. The principal procedure is that procedure most related to the principal diagnosis. The coding method used should be ICD-9. If some other coding method is used, Procedure Coding Method Used field must NOT be 9, but must indicate the code for all digits and decimal. In the ICD 9-CM, there are three-digit procedure codes and four-digit procedure code; use of the fourth-digit is NOT optional. It must be present. Enter the code left justified without a decimal. This field must be present if other procedures are reported and be a valid code. When a procedure sex-escapedific, the sex-code entered in the record must be consistent.	GENERAL COMMENTS	None					
The principal diagnosis is the condition established after study to be chiefly responsible for occasioning the admission of the patient for care. An ICD-9-CM code describes the principal disease. This field is to contain the appropriate ICD-9-CM code without a decimal. In the ICD-9-CM codebook there are three, four, and five digit codes plus 'V' and 'E' codes. Use of the fourth, fifth, 'V' and 'E' is not optional, but must be entered when present in the code. For example, a five-digit code is entered as '12345'; a 'V' code is entered as 'V270'. All entries are to be left justified with spaces to the right to complete the field length. An 'E' code should not be recorded as the principal diagnosis. A principal diagnosis must be present and valid. When the principal diagnosis is sex or age dependent, the age and sex must be consistent with the code entered. Principal Procedure Code A 7 Required As available Procedure Record Type 70 Sequence 2 position 25-32 (1450 & 1450Y2K) The code that identifies the principal procedure performed during the hospital stay covered by the discharge data record. The principal procedure is one that is performed for definitive treatme rather than for diagnostic or exploratory purposes, or is necessary as a result of complications. The principal procedure is that procedure most related to the principal diagnosis. The coding method used should be ICD-9. If some other coding method is used, Procedure Coding Method Used field must NOT be 9, but must indicate the code for all digits and decimal. In the ICD 9-CM, there are three-digit procedure codes and four-digit procedure codes; use of the fourth-digit is NOT optional. It must be present. Enter the code left justified without a decimal This field must be present if other procedures are reported and be a valid code. When a procedure is sex-specific, the sex code entered in the record must be consistent.	EDIT	Must be a valid	code.				
DEFINITION DEFINITION Definition Def	Principal Diagnosis Code	А	6	☐ Required ☐ As available			
codebook there are three, four, and five digit codes plus 'V' and 'E' codes. Use of the fourth, fifth, 'V' and 'E' is not optional, but must be entered when present in the code. For example, a five-digit code is entered as '12345'; a 'V' code is entered as 'V270'. All entries are to be left justified with spaces to the right to complete the field length. An 'E' code should not be recorded as the principal diagnosis. A principal diagnosis must be present and valid. When the principal diagnosis is sex or age dependent, the age and sex must be consistent with the code entered. Principal Procedure Code A 7 Required As available Record Type 70 Sequence 2 position 25-32 (1450 & 1450Y2K) The code that identifies the principal procedure performed during the hospital stay covered by the discharge data record. The principal procedure is one that is performed for definitive treatme rather than for diagnostic or exploratory purposes, or is necessary as a result of complications. The principal procedure is that procedure most related to the principal diagnosis. The coding method used should be ICD-9. If some other coding method is used, Procedure Codir Method Used field must NOT be 9, but must indicate the code for all digits and decimal. In the ICD 9-CM, there are three-digit procedure codes and four-digit procedure codes; use of the fourth-digit is NOT optional. It must be present. Enter the code left justified without a decimal This field must be present if other procedures are reported and be a valid code. When a procedure sex-specific, the sex code entered in the record must be consistent.	DEFINITION	occasioning the admission of the patient for care. An ICD-9-CM code describes the principal					
Principal Procedure Code	GENERAL COMMENTS	codebook there are three, four, and five digit codes plus 'V' and 'E' codes. Use of the fourth, fifth, 'V' and 'E' is not optional, but must be entered when present in the code. For example, a five-digit code is entered as '12345'; a 'V' code is entered as 'V270'. All entries are to be left justified with spaces to the right to complete the field length. An 'E' code should not be recorded as the principal					
DEFINITION The code that identifies the principal procedure performed during the hospital stay covered by the discharge data record. The principal procedure is one that is performed for definitive treatme rather than for diagnostic or exploratory purposes, or is necessary as a result of complications. The principal procedure is that procedure most related to the principal diagnosis. The coding method used should be ICD-9. If some other coding method is used, Procedure Coding Method Used field must NOT be 9, but must indicate the code for all digits and decimal. In the ICD 9-CM, there are three-digit procedure codes and four-digit procedure codes; use of the fourth-digit is NOT optional. It must be present. Enter the code left justified without a decimal This field must be present if other procedures are reported and be a valid code. When a procedure is sex-specific, the sex code entered in the record must be consistent.	EDIT			•			
discharge data record. The principal procedure is one that is performed for definitive treatme rather than for diagnostic or exploratory purposes, or is necessary as a result of complications. The principal procedure is that procedure most related to the principal diagnosis. The coding method used should be ICD-9. If some other coding method is used, Procedure Coding Method Used field must NOT be 9, but must indicate the code for all digits and decimal. In the ICD 9-CM, there are three-digit procedure codes and four-digit procedure codes; use of the fourth-digit is NOT optional. It must be present. Enter the code left justified without a decimal This field must be present if other procedures are reported and be a valid code. When a procedure is sex-specific, the sex code entered in the record must be consistent.	Principal Procedure Code	А	7	⊠ Required ☐ As available			
Method Used field must NOT be 9, but must indicate the code for all digits and decimal. In the ICE 9-CM, there are three-digit procedure codes and four-digit procedure codes; use of the fourth-digit is NOT optional. It must be present. Enter the code left justified without a decimal This field must be present if other procedures are reported and be a valid code. When a procedure is sex-specific, the sex code entered in the record must be consistent.	DEFINITION	The code that identifies the principal procedure performed during the hospital stay covered by this discharge data record. The principal procedure is one that is performed for definitive treatment rather than for diagnostic or exploratory purposes, or is necessary as a result of complications. The principal procedure is that procedure most related to the principal diagnosis.					
is sex-specific, the sex code entered in the record must be consistent.	GENERAL COMMENTS	The coding method used should be ICD-9. If some other coding method is used, Procedure Coding Method Used field must NOT be 9, but must indicate the code for all digits and decimal. In the ICD-9-CM, there are three-digit procedure codes and four-digit procedure codes; use of the fourth-digit is NOT optional. It must be present. Enter the code left justified without a decimal					
D 17 0	EDIT						
Principal Procedure Date N 6 ⊠ Required □ As available Record Type 70, Sequence 2, positions (1450) 33-38, positions (1450Y2K) 33-40	Principal Procedure Date	N	6	⊠ Required ☐ As available			
DEFINITION The date on which the principal procedure described on the bill was performed.	DEFINITION	The date on wh	nich the principal	procedure described on the bill	was performed.		

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION			
GENERAL COMMENTS	None	None					
EDIT	Must be a valid date falling between admission and discharge dates.						
Procedure Coding Method Used	N	1	☐ Required ☐ As available	Record Type 70, Sequence 2, position 192			
DEFINITION	An indicator tha	at identifies the o	oding method used for procedu	re coding.			
	list:		. If coding method is NOT ICD-	9, enter appropriate code from the			
GENERAL COMMENTS	5	CPT – 4	Common Procedure Coding Sy	vetame)			
	5 HCPCS (HCFA Common Procedure Coding Systems) 9 ICD – 9 – CM						
EDIT	This field must	agree with the c	oding method used to code pro-	cedures.			
Type (Priority) of Admission or Visit	A	1	□ Required □ As available	Record Type 20, positions 65-65			
<u>DEFINITION</u>	A code indication	ng priority of the	admission/visit.				
	This is a one-di	git code ranging	from 1 – 4, or may be 9. The c	code structure is as follows.			
	1 Emerge 2 Urgent	resi	ult of severe, life threatening or	-			
	 Urgent Definition: The patient requires immediate attention for the care and treatment of a physical or mental disorder Elective Definition: The patient's condition permits adequate time to schedule 						
GENERAL COMMENTS	_	the	availability of a suitable accomm	modation.			
	4 Newbo		inition: Use of this code necessinission codes; see Source of Ac	tates the use of special Source of dmission.			
	5 Trauma Definition: Visit to a trauma center/hospital as licensed or designated by state or local government authority authorized to do so, or as verified by the American College of Surgeons and involving trauma activation.						
	availab	<u>le</u>	inition: Information was not colle	-			
<u>EDIT</u>			be a valid code 1 – 4-5 or 9. If the be checked for consistency as	ne code is entered 4 (newborn), the well as the date of birth and			
Provider Address	А	50	☐ Required ☐ As available	Record Type 10, positions 126-175			
DEFINITION	Complete maili acknowledgme required.	ng address to w nt of discharge	hich the provider correspondend data. Street address or box n	ce is to be sent for the correction and umber, city, state and ZIP code are			
GENERAL COMMENTS	None	V					
EDIT	All address field	ds must be pres	ent.				
Provider (Hospital) Data ID	A	4	☐ Required ☐ As available	Record Type 10, positions 122-125			
DEFINITION	A four letter ho	spital identification	on code that is assigned to each	n hospital.			
GENERAL COMMENTS	None						
EDIT	A Data ID must	be Present, Va	lid and Consistent with each hos	spital			
Provider FAX Number	N	10	☐ Required ☒ As available	Record Type 10, positions 176-185			
DEFINITION	FAX number fo	r provider.					
GENERAL COMMENTS			smission of correction documen at exist, fill with zeroes.	ts and acknowledgment of discharge			

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORT	ING LEVEL	LOCATION		
EDIT	Must be numeri	Must be numeric data.					
Provider Name	А	25	⊠ Required □	As available	Record Type 10, positions 97-121		
DEFINITION	The name of the	e hospital submi	tting the record.				
GENERAL COMMENTS		name is entered Department of I		acter position	s and must be the name as it is		
EDIT	The name must	t be present and	match a name in	a coding table	9.		
Provider Telephone Number	N	10	⊠ Required □ /	As available	Record Type 10, positions 87-96		
DEFINITION		ber, including a gment of discha		the provider	wishes to be contacted for correction		
GENERAL COMMENTS	None						
EDIT	Must be presen	t and numeric, o	annot be all zeroe	S.			
Public Health Condition Code	A	2	□ Required □ I	As available	Record Type 27, positions 70-71, 72-73, 74-75, 76-77		
<u>DEFINITION</u>	•		blic health reportin				
	This 2 digit con- Form Locator 1	ditional code wil 8-28 or Form Lo	l have an initial dig cator 81 with a qu	it of "P". This alifying code of	s code will be recorded in UB-04 of A1. Valid codes are as follows:		
	<u>P0</u>	Reserved for P	ublic Health Repor	ting			
	D4	Do Not Resusc			the fire of anything the first O.A.		
CENEDAL COMMENTS	<u>P1</u>	hours of th	ne patient's admiss		the time of, or within the first 24 spital and is clearly documented in		
GENERAL COMMENTS	P2-P6	-	<u>t's medical record.</u> ublic Health Data F	Poporting			
	<u>F2-F0</u>		Admission from E	-	om.		
	<u>P7</u>	Code indic		vas admitted o	directly from this facilities		
	P8-PZ	<u> </u>	ublic Health Data F	<u></u>			
EDIT	Must be a valid						
Record Type	N	2	⊠ Required □	As available	All Records, positions 1-2		
DEFINITION	The record form	nat type indicato	r.				
GENERAL COMMENTS	This field is use	d to specify eac	h type of record. U	se the followi	ng numbers:		
	Record Type Code	Reco	rd Name	Record Ty Code	pe <u>Record Name</u>		
	01	Processor Da	ta	20	Patient Data		
	02-04	Reserved for National Assignment		21	Noninsured Employment Information		
	05-09	Local Use		22	Unassigned State Form Locators		
	10	Provider Data		23-24	Reserved for National Assignment		
	11-14	Reserved for l Assignment	National	25-29	Local Use		
	15-19	Local Use					
	30-31	_ Third Party Pa	ayer Data	40	Claim Data TAN-Occurrence		
	32-33	Reserved for I		41	Claim Data Condition-Value		

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORT	ING LEVEL	LOCATION	
		Assignment				
	34	Authorization		42-44	Reserved for National Assignment	
	35-39	Local Use		45-49	Local Use	
	•			,		
	50	IP Accommod	ations Data	60	IP Ancillary Services Data	
	51-54	Reserved for l Assignment	National	61	Outpatient Procedures	
	55-59	Local Use		62-64	Reserved for National Assignment	
				65-69	Local Use	
	70	_ Medical Data				
	71	Plan of Treatn Information	nent and Patient	80	Physician Data	
	72	Specific Servi	ces and	81	Pacemaker Registry Record	
	73	Plan of Treatn Update Narrat		82-84	Reserved for National Assignment	
	74	Patient Inform		85-89	Local Use	
	75-78	Reserved for language Assignment	National			
	79	Local Use				
	90	Claim Control		95	Provider Batch Control	
	91	Remarks (Ove 90)	erflow from RT	96-98	Local Use	
	92-94	Reserved for I Assignment	National	99	File Control	
EDIT	The number ma	ust be present a	nd valid.			
					Record Type 50, positions 25-28,	
Revenue Code	N	4	⊠ Required □	As available	67-70, 109-112, 151-154 Record Type 60, positions 25-28, 81-84, 137-140	
DEFINITION	A four-digit code that identifies a specific accommodation, ancillary service or billing calculation.					
GENERAL COMMENTS	For every patient there must be at least one revenue service entered. There may be an entry representing the sum of all revenue services; this entry would have a revenue code of '0001.' If the summed entry ('0001') is one of the entries, the revenue amount associated must equal 'TOTAL CHARGE' found on record type 27.					
EDIT	This field must be present and contain a valid revenue code as defined in Revenue Codes and Units of Service section.					
Sequence Number	N	2	⊠ Required □	As available	Positions 3-4, as needed	
DEFINITION	Sequential number from 01 to nn assigned to individual records within the same specific recortype code to indicate the sequence of the physical record within the record type. Records 21 2nd not have a sequence number greater than 01. Records 01, 10, 90, 91, 95 and 99 do not have sequence numbers. The sequence numbers for record types 30, 31, 34, 80 and 81 are used a matching criteria to determine which type 30, type 31, type 34, type 80 and/or type 81 records are associated, like sequence numbers indicating the records are associated.					
GENERAL COMMENTS	None					
EDIT	Must be valid s	equence numbe	r for record type.			
Source (Point of Origin)_for	A	4	Required .	As available	Record Type 20, position 66-66	

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION		
Admission or Visit						
DEFINITION	A code indicati	ng the point of p	ı atient origin for this admission o	r visit.		
		(Code Structure for all Admission	71		
	1 Non-He	alth Care	(excluding Newborns (Type-	4)) nitted to this facility upon an order of		
		Point of Origin	a physician.	ницей to this facility upon an order or		
	2 Clinic		<u>Definition:</u> The patient was adr from a freestanding or non-free	nitted to this facility as a transfer ostanding clinic.		
	3 Reserve assignn	ed for nent by NUBC	Definition:			
	4 Transfe Hospita	r from a I	<u>Definition:</u> The patient was adr transfer from an acute care fac inpatient or outpatient.	nitted to this facility as a hospital illity where he or she was an		
	Nursing	r from a Skilled Facility (SNF) mediate Care (ICF)	Definition: The patient was adr from a SNF or ICF where he or	nitted to this facility as a transfer r she was a resident.		
		r from another Care Facility		nitted t this facility as a transfer from ility not defined elsewhere in this		
	7 Emerge	ncy Room	<u>Definition:</u> The patient was adressed recommendation of this facility	nitted to this facility upon the 's emergency room department.		
	8 Court/L: Enforce		Definition: The patient was admitted to this facility upon the direction of a court of law, or upon the request of a law enforcement agency representative.			
	9 Informa availabl		<u>Definition:</u> The means by which the patient was admitted to this hospital is not known.			
		nt transfers ne same facility	Definition: The patient was transferred from a separate unit of a hospital to another unit of the same hospital which results in separate claim to the payers.			
	E Transfe Ambula Center	r from tory Surgery	Definition: The patient was admitted to this facility as a transfer from an ambulatory surgery center.			
		If Type (Code Structure for Newborn of Admission is a 4, the following			
	1-4 Re	served for assig	nment by the NUBC.			
	5 <u>De</u>	ifinition: A baby I	born inside this Hospital.			
			born outside of this Hospital.			
FDIT			inment by the NUBC.			
EDIT	The code must	be present and	valid and agree with the Type o	t Admission code entered.		
Source of Payment Code	А	1	☐ Required ☐ As available	Record Type 30, position 25-25		
DEFINITION	A code indicati	ng source of pay	ment associated with this payer	record.		
GENERAL COMMENTS	Valid codes are	e as follows:				
	A Self Pay					
	B Worker's compensation					
	C Medicare					
	D Medicaid					
	E F	Other Federal I	•			
				lue		
	G	CHAMPUS	e Shield, Medi-Pak, Medi-Pak P	iuo		
	H	Other				
		·				

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION			
	J	J County or State (ex-state or county employees)					
	L Managed Assistance						
	N	N Division of Health Services					
	Q	HMO/Managed	Care				
	S	Self Insured					
	Z	Medically Indige	ent/Free				
EDIT	Code must be	present and valid	d.	f=			
Statement Covers Period From	N	6	☐ Required ☐ As available	Record Type 20, positions 137-142 on the 1450 On the 1450Y2K, positions 142-149			
DEFINITION		first medical ser the period on thi		ay in the hospital. The beginning			
GENERAL COMMENTS	ranging from 0 two digits rangi justified within i February 7, 199 began using a	1-12. The day is ng from 00 -99. its two digits. An 92 is entered as different date for	recorded as two digits ranging Each of the three components by unused space to the left must 020792 (1450). For hospitals urnat in 2000, the date must be g	e month is recorded as two digits from 01-31. The year is recorded as (month, day, year) must be right to be zero filled. For example using the 1450 record format that given as CCYYMMDD. In this case, nade, all dates must use this format.			
EDIT	This date must	be present and	be valid.				
Statement Covers Period Thru	N	6	☐ Required ☐ As available	Record Type 20, positions 143-148 on the 1450 On the 1450 Y2K, positions 150- 157			
DEFINITION			nt in the hospital or the ending of the period on this bill.	date of a hospital stay longer than 24			
GENERAL COMMENTS	The day is reco from 00 -99. E digits. Any unu- as 020792 (145 format in 2000,	orded as two diginate of the three sed space to the solution. For hospital the date must be	ts ranging from 01-31. The yea components (month, day, year) left must be zero filled. For exils using the 1450 record format	ed as two digits ranging from 01-12. Ir is recorded as two digits ranging must be right justified within its two ample February 7, 1992 is entered that began using a different date case, February 7, 2001 is entered format.			
EDIT		be present and					
Total Charges	N	10, 2	☐ Required ☐ As available	Record Type 27, positions 44-53			
DEFINITION	Total of charge	s for this inpatier	nt hospital stay.				
GENERAL COMMENTS	entries are righ	t justified. If the	charge has no cent then the last	for cents (no decimal point). All t two digits must be zero. For ge of \$37.50 is entered as 3750.			
EDIT	This field must greater than 0.	be present and	contain a value greater than 0 w	hen any revenue code field is			
Total Charges by Revenue Code	N	10, 2	☐ Required ☐ As available	Record Type 50, positions 42-51, 84-93, 126-135, 168-177 Record Type 60, positions 45-54, 101-110, 157-166			
DEFINITION	Total dollars and cents amount charged for the related revenue service entered.						
GENERAL COMMENTS	The total allows for an 8-digit dollar amount followed by 2 digits for cents (no decimal point). All entries are right justified. If the charge has no cents, then the last two digits must be zero. For example, a charge of \$500.00 is entered as 50000 and a charge of \$37.50 is entered as 3750.						
1	This field must be present and contain a value greater than 0 when the associated revenue code field is greater than 0.						
EDIT							
Type (Priority) of Admission or Visit	field is greater	than 0.	_				

DATA ELEMENT	CHAR TYPE	CHAR LGTH	DATA REPORTING LEVEL	LOCATION	
	This is a one-di	git code rangin	g from 1 – 4, or may be 9. The	code structure is as follows.	
	1 Emerg	ency <u>De</u>	ofinition: The patient requires immunition of severe, life threatening or	nediate medical intervention as a potentially disabling conditions.	
	2 Urgent		<u>sfinition:</u> The patient requires imr atment of a physical or mental d	nediate attention for the care and lisorder	
GENERAL COMMENTS	3 Elective		ofinition: The patient's condition posterior availability of a suitable accom	permits adequate time to schedule modation.	
	4 Newbo	···	ofinition: Use of this code necess Imission codes; see Source of A	itates the use of special Source of dmission.	
	5 Trauma	sta	ate or local government authority	hospital as licensed or designated by authorized to do so, or as verified by and involving trauma activation.	
	9 Informa		ofinition: Information was not coll	ected or was not available.	
EDIT			be a valid code 1 - 4 or 9. If the III be checked for consistency as	o code is entered 4 (newborn), the well as the date of birth and	
Type of Bill	А	3	☐ Required ☐ As available	Record Type 27, positions 25-27	
DEFINITION				etc.). This three digit code requires 1 I classification, and 3. Frequency	
GENERAL COMMENTS	All positions mu indicates the sp		ed. See UB-04 guidelines for conpatient billing.	des and definitions. This code	
EDIT	None				
Units of Service	N	7	⊠ Required ☐ As available If the revenue code needs units; see Revenue Codes and Units of Service Section	Record Type 60, positions 38-44, 94-100, 150-156	
DEFINITION	A quantitative measure of services rendered, by revenue category to the patient. It includes such items as the number of scans, number of pints, number of treatments, number of visits, number of miles or number of sessions.				
GENERAL COMMENTS	revenue servic	e are adjusted		f this code ensures that charges per rison. Revenue Codes and Units of each revenue code.	
EDIT	The units of seconds and Uni			es that require a unit; see Revenue	



APPENDIX B REVENUE CODES AND UNITS OF SERVICE

This section defines acceptable revenue codes representing services provided to a patient, and the unit of measure associated with each revenue service. Any codes not assigned are assumed to be non-applicable unless found in the NUBC's published manual or addenda to this manual.

B1 Revenue Code

A three-digit code that identifies a specific accommodation, ancillary service or billing calculation. The first two digits of the three-digit code indicate major category; the third digit, represented by 'x' in the codes, indicates a subcategory.

B2 Units of Service

A quantitative measure of services rendered by revenue category to or for the patient, to include items such as number of accommodation days, miles, pints or treatments.





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Table 2. Data Element Description Breakdown

CODE	UNIT	DEFINITION	SUBCATEGORY 'x'
001	None	Total Charges	
01x -to-06x	Reserved for Na	ttional Assignment-for Internal Payer Use	
<u>02x</u>	<u>None</u>	Health Insurance – Prospective Payment System	0 = Reserved 1 = Research 2 = Skilled Nursing Facility - PPS 3 = Home Health - PPS 4 = Inpatient Rehab Facility - PPS
0 7 3x to 09x	Reserved for St	ate Use	
10x	Days	All inclusive rate – a flat fee charge incurred on either a daily basis or total stay basis for services rendered. Charge may cover room and board plus ancillary services or room and board only.	0 = All inclusive room and board plus ancillary 1 = All inclusive room and board
11x	Days	Room and board – private medical or general routine services for single bed rooms	0 = General Classification 1 = Medical/surgical/GYN 2 = OB 3 = Pediatric 4 = Psychiatric 5 = Hospice 6 = Detoxification 7 = Oncology 8 = Rehabilitation 9 = Other
12x	Days	Room and board – semi-private (two beds) medical or general – routine service charges incurred for accommodations with two beds	0 = General classification 1 = Medical/Surgical/GYN 2 = OB 3 = Pediatric 4 = Psychiatric 5 = Hospice 6 = Detoxification 7 = Oncology 8 = Rehabilitation 9 = Other
13x	Days	Semi-private – three and four beds – routine service charges incurred for accommodations with three and four beds	0 = General classification 1 = Medical/Surgical/GYN 2 = OB 3 = Pediatric 4 = Psychiatric 5 = Hospice 6 = Detoxification 7 = Oncology 8 = Rehabilitation 9 = Other
14x	Days	Private deluxe – deluxe rooms are accommodations with amenities substantially in excess of those provided to other patients	0 = General classification 1 = Medical/Surgical/GYN 2 = OB 3 = Pediatric 4 = Psychiatric 5 = Hospice 6 = Detoxification 7 = Oncology 8 = Rehabilitation

CODE	UNIT	DEFINITION	SUBCATEGORY 'x'
			9 = Other
15x	Days	Room and board – ward medical or general routine service charge for accommodations with five or more beds	0 = General classification 1 = Medical/Surgical/GYN 2 = OB 3 = Pediatric 4 = Psychiatric 5 = Hospice 6 = Detoxification 7 = Oncology 8 = Rehabilitation 9 = Other
16x	Days	Other room and board – any routine service charges for accommodations that cannot be included in the more specific revenue center codes	0 = General classification 4 = Sterile environment 7 = Self care 9 = Other
17x	Days	Nursery – charges for nursing care to newborn and premature infants in nurseries	0 = General classification 1 = Newborn - Level I 2 = Newborn - Level II 3 = Newborn - Level III 4 = Newborn - Level IV 9 = Other
18x	Days	Leave of absence – charges for holding a room while the patient is temporarily away from the provider	0 = General classification 1 = Reserved 2 = Patient convenience 3 = Therapeutic leave 4 = ICF/MR (any reason) 5 = Nursing home (for hospitalization) 9 = Other leave of absence
19x	Not Assigned Days	Subacute Care – Accommodations charges for subacute care to inpatients or skilled nursing facilities.	0 = Reserved Classification 1 = Subacute Care - Level I 2 = Subacute Care - Level II 3 = Subacute Care - Level III 4 = Subacute Care - Level IV 9 = Other Subacute Care
20x	Days	Intensive care – routine service charge for medical or surgical care provided to patients who require a more intensive level of care than is rendered in the general medical or surgical unit	0 = General classification 1 = Surgical 2 = Medical 3 = Pediatric 4 = Psychiatric 6 = Intermediate ICU 7 = Burn care 8 = Trauma 9 = Other intensive care
21x	Days	Coronary care – routine service charge for medical care provided to patients with coronary illness who require a more intensive level of care than is rendered in the more general medical care unit	0 = General classification 1 = Myocardial infarction 2 = Pulmonary care 3 = Heart transplant 4 = Intermediate ICU 9 = Other coronary care
22x	None	Special charges-charges incurred during an inpatient stay or on a daily basis for certain services	0 = General classification 1 = Admission charge 2 = Technical support charge 3 = U. R. service charge 4 = Late discharge, medically necessary

CODE	UNIT	DEFINITION	SUBCATEGORY 'x'
			9 = Other special charges
23x	None	Incremental nursing charge rate – charge for nursing service assessed in addition to room and board	0 = General classification 1 = Nursery 2 = OB 3 = ICU (includes transitional care) 4 = CCU (includes transitional care) 5 = Hospice 9 = Other
24x	None	All inclusive ancillary – a flat rate charge incurred on either a daily basis or total stay basis for ancillary services only	0 = General classification 9 = Other inclusive ancillary
25x	None	Pharmacy – charges for medication produced, manufactured, packaged, controlled, assayed, dispensed and distributed under the direction of a licensed pharmacist	0 = General classification 1 = Generic drug 2 = Non-generic drug 3 = Take home drug 4 = Drugs incident to other diagnostic services 5 = Drugs incident to radiology 6 = Experimental drug 7 = Non-prescription 8 = IV solutions 9 = Other pharmacy
26x	None	IV therapy – equipment charge or administration of intravenous solution by specially trained personnel to individuals requiring such treatment	0 = General classification 1 = Infusion pump 2 = IV therapy/pharmacy service 3 = IV therapy/drug/supply/delivery 4 = IV therapy/supplies 9 = Other IV therapy
27x	Item	Medical/surgical supplies and devices – charges for supply items required for patient care	0 = General classification 1 = Non-sterile supply 2 = Sterile supply 3 = Take home supplies 4 = Prosthetic/orthotic devices 5 = Pace maker 6 = Intraocular lens 7 = Oxygen take home 8 = Other implants 9 = Other supplies/devices
28x	None	Oncology – charges for the treatment of tumors and related diseases	0 = General classification 9 = Other oncology
29x	Item	Durable Medical Equipment (other than rental) charges for medical equipment that can withstand repeated use	0 = General classification 1 = Rental 2 = Purchase of new DME 3 = Purchase of used DME 4 = Supplies\drugs for DME effectiveness (HHA's only) 9 = Other equipment
30x	Test	Laboratory – charges for the performance of diagnostic and routine clinical laboratory tests	0 = General classification 1 = Chemistry 2 = Immunology 3 = Renal patient (home) 4 = Non-routine dialysis 5 = Hematology 6 = Bacteriology and microbiology

CODE	UNIT	DEFINITION	SUBCATEGORY 'x'
			7 = Urology 9 = Other laboratory
31x	Test	Laboratory pathological – charges for diagnostic and routine lab tests on tissue and culture	0 = General classification 1 = Cytology 2 = Histology 4 = Biopsy 9 = Other
32x	Test	Radiology diagnostic – charges for diagnostic radiology services provided for the examination and care of patients. Includes: taking, processing, examining and interpreting radiographs and fluorographs	0 = General classification 1 = Angiocardiography 2 = Arthrography 3 = Arteriography 4 = Chest x-ray 9 = Other
33x	Test	Radiology therapeutic – charges for therapeutic radiology services and chemotherapy required for care and treatment of patients. Includes therapy by injection or ingestion of radioactive substances	0 = General classification 1 = Chemotherapy injected 2 = Chemotherapy oral 3 = Radiation therapy 5 = Chemotherapy IV 9 = Other
34x	Test	Nuclear medicine – charges for procedures and tests performed by a radioisotope laboratory utilizing radioactive materials as required for diagnosis and treatment of patients	0 = General classification 1 = Diagnostic 2 = Therapeutic 3 = Diagnostic Radiopharmaceuticals 4 = Therapeutic Radiopharmaceuticals 9 = Other
35x	Scan	CT scan – charges for Computer Tomographic scans of the head and other parts of the body	0 = General classification 1 = Head scan 2 = Body scan 9 = Other CT scan
36x	None	Operating room services – charges for services provided by specifically trained nursing personnel who provide assistance to physicians in the performance of surgical and related procedures during and immediately following surgery	0 = General classification 1 = Minor surgery 2 = Organ transplant other than kidney 7 = Kidney transplant 9 = Other operating room services
37x	None	Anesthesia – charges for anesthesia services in the hospital	0 = General classification 1 = Anesthesia incident to RAD 2 = Anesthesia incident to other diagnostic services 4 = Acupuncture 9 = Other anesthesia
38x	Pint	Blood storage and processing – charges for the storage and processing of whole blood	0 = General classification 1 = Blood administration 2 = Whole blood 3 = Plasma 4 = Platelets 5 = Leucocytes 6 = Other components 7 = Other derivatives (cryoprecipitates) 9 = Other blood storage and processing and blood components
39x		Blood storage and processing – charges for the storage and processing of whole blood	0 = General classification 1 = Blood administration 2 = Processing and Storage

CODE	UNIT	DEFINITION	SUBCATEGORY 'x'
			9 = Other blood storage & processing <u>handling</u>
40x	Test	Other imaging services	0 = General classification 1 = Diagnostic mammography 2 = Ultrasound 3 = Screening mammography 4 = Positron Emission Tomography 9 = Other imaging services
41x	Treatment	Respiratory services – charges for administration of oxygen and certain potent drugs through inhalation or positive pressure and other forms of rehabilitative therapy, through measurement of inhaled and exhaled gases and analysis of blood, and evaluation of the patient's ability to exchange oxygen and other gases	0 = General classification 2 = Inhalation services 3 = Hyper baric oxygen therapy 9 = Other respiratory services
42x	Treatment	Physical therapy – charges for therapeutic exercises, massage, and utilization of effective properties of light, heat, cold, water, electricity and assistive devices for diagnosis and rehabilitation of patients who have neuromuscular, orthopedic and other disabilities	0 = General classification 1 = Visit charge 2 = Hourly charge 3 = Group rate 4 = Evaluation or re-evaluation 9 = Other physical therapy
43x	Treatment	Occupational therapy – charges for teaching manual skills and independence in personal care to stimulate mental and emotional activity on the part of patients	0 = General classification 1 = Visit charge 2 = Hourly charge 3 = Group rate 4 = Evaluation or re-evaluation 9 = Other occupational therapy
44x	Treatment	Speech language pathology – charges for services provided to persons with impaired functional communications skills	0 = General classification 1 = Visit charge 2 = Hourly charge 3 = Group rate 4 = Evaluation or re-evaluation 9 = Other speech language pathology therapy
45x	Visit	Emergency room – charges for emergency room treatment to those ill and injured persons who require immediate unscheduled medical or surgical care	0 = General classification 1 = EMTALA emergency medical screening services 2 = ER beyond EMTALA screening 6 = Urgent care 9 = Other emergency room
46x	Test	Pulmonary function – charges for tests that measure inhaled and exhaled gases and analysis of blood, and for tests that evaluate the patient's ability to exchange other gases	0 = General classification 9 = Other pulmonary function
47x	Test	Audiology – charges for the detection and management of communication handicaps centering in whole or in part on the hearing function	0 = General classification 1 = Diagnostic 2 = Treatment 9 = Other audiology
48x	Test	Cardiology – charges for cardiac procedures rendered in a separate unit within the hospital. Such procedures include, but are not limited to: heart catheterization, coronary	0 = General classification 1 = Cardiac cath lab 2 = Stress test 3 = Echo cardiology

CODE	UNIT	DEFINITION	SUBCATEGORY 'x'
		angiography, Swan-Ganz catheterization and exercise stress test.	9 = Other cardiology
49x	None	Ambulatory surgical care – charges for ambulatory surgery that are not covered by other categories	0 = General classification 9 = Other ambulatory surgical care
50x	None	Outpatient service- charges for services rendered to an outpatient who is admitted as an inpatient before midnight of the day following the date of service. These charges are incorporated on the inpatient bill of Medicare patients.	0 = General classification 9 = Other outpatient services
51x	Visit	Clinic – charges for providing diagnostic, preventive, curative, rehabilitative and education services on a scheduled basis to an ambulatory patient	0 = General classification 1 = Chronic pain center 2 = Dental clinic 3 = Psychiatric clinic 4 = OB-GYN clinic 5 = Pediatric clinic 6 = Urgent care clinic 7 = Family practice 9 = Other clinic
52x	Free Standing Clinic Visit	Freestanding Clinic Pprovides a breakdown of some clinics that hospitals or third party payers may require	0 = General classification 1 = Rural health – clinic 2 = Rural health – home 3 = Family practice clinic 4 = Visit b Rurual Health Practitioner to a member in a covered Part A stay at SNF 5 = Visit Rural Health Clinic Practitioner to a member in a SNF 6 = Urgent care clinic 7 = Visiting Nurse Service 8 = Visit by Rural Health Clinic Practitioner to other non Rural Health Clinic Site 9 = Other free standing clinic
53x	Visit	Osteopathic services – charges for a structural evaluation of the cranium, entire cervical, dorsal and lumbar spine by a doctor of osteopathy	0 = General classification 1 = Osteopathic therapy 9 = Other osteopathic services
54x	Mile/Item/Unit	Ambulance – charges for ambulance service, usually on an unscheduled basis, to the ill and injured who require immediate medical attention	0 = General classification 1 = Supplies 2 = Medical transport 3 = Heart mobile 4 = Oxygen 5 = Air ambulance 6 = Neonatal ambulance services 7 = Pharmacy 8 = Telephone EKG transmission-EKG 9 = Other ambulance
55x	Skilled Nursing	Charges for nursing services that must be provided under the direct supervision of a licensed nurse to assure the safety of the patient and to achieve the medically desired result. This code may be used for nursing home services or a service charge for home health billing.	0 = General classification 1 = Visit charge 2 = Hourly charge 9 = Other skilled nursing

CODE	UNIT	DEFINITION	SUBCATEGORY 'x'
56x	Visit <u>/Hour</u>	Medical social services such as counseling patients, intervening on behalf of patients, and interpreting problems of social situation rendered to patients on any basis.	0 = General classification 1 = Visit charge 2 = Hourly charge 9 = Other medical social services
57x	Home Health Aide <u>/Visit/Hour</u>	Charges made by an HHA for personnel who are primarily responsible for the personal care of the patient	0 = General classification 1 = Visit charge 2 = Hourly charge 9 = Other home health aide
58x	Other Visits/ <u>Hour</u> / <u>Assess</u>	Code indicates the charge by an HHA for visits other than physical therapy, occupational therapy or speech therapy, which must be specifically identified.	0 = General classification 1 = Visit charge 2 = Hourly charge 3 = Assessment 9 = Other home health visits
59x	Unit s of Service	This revenue code is used by an HHA that bills (Home Health) on the basis of units of service.	0 = General classification 9 = Home health other units
60x	Oxygen	Code indicates the charges by an HHA for (Home Health) oxygen equipment supplies or contents, excluding purchased equipment. If a beneficiary purchased a stationary oxygen system, and oxygen concentrator or portable equipment, current revenue code 292 or 293 applies. DME (other than oxygen systems) is billed under current revenue codes 291, 292 or 293.	0 = General classification 1 = Oxygen - state/equip/supply/ or content 2 = Oxygen - state/equip/supply under 1 LPM 3 = Oxygen - state/equip/ over 4 LPM 4 = Oxygen - portable add-on 9 = Oxygen - other
61x	Test	MRI – charges for Magnetic Resonance Imaging of the brain and other parts of the body.	0 = General classification 1 = Brain including brain stem 2 = Spinal cord including MRI/spine 4 = MRI Other 5 = MRA - Head and Neck 6 = MRA - Lower Extremities 8 = MRA - Other 9 = Other MRIT
62x	Days	Medicare/Surgical supplies – charges for supply items required for patient care. The category is an extension of code 27x for reporting additional breakdown where needed. Sub code 1 is for providers that cannot bill supplies used for radiology procedures under radiology.	Supplies incident to radiology Supplies incident to other diagnostic services Surgical dressing Investigational device
63x	Drugs Requiring	Specific Identification	0 = General classification 1 = Single source drug 2 = Multiple source drug 3 = Restrictive prescription 4 = Erytropepoetin (EPO) - less than 10,000 units 5 = Erytropepoetin (EPO) - 10,000 or more units 6 = Drugs requiring detailed coding 7 = Self-administrable Drug
64x	Home Therapy Services	Charge for intravenous drug therapy services performed in the patient's residence. For home IV providers the HCPCS code must be entered for all equipment, and all types of	0 = General classification 1 = Non-routine nursing. Central Line 2 = IV site care, central line 3 = IV start/change peripheral line

CODE	UNIT	DEFINITION	SUBCATEGORY 'x'
		covered therapy.	 4 = Non-routine nursing, peripheral line 5 = Training patient/caregiver, central line 6 = Training, disabled patient, central line 7 = Training patient/caregiver, peripheral line 8 = Training, disabled patient, peripheral line 9 = Other IV therapy services
65x	Day	Hospice service – charges for hospice care services for a terminally ill patient if he/she elects these services in lieu of other services for the terminal condition	0 = General classification 1 = Routine home care 2 = Continuous home care 3 = Reserved 4 = Reserved 5 = Inpatient respite care 6 = General non-respite inpatient care 7 = Physician services 8 = Hospice Room and Board Nursing Facility 9 = Other hospice service
<u>68x</u>	Activation	Trauma Response – charges representing the activation of the trauma team	0 = No Used 1 = Level I Trauma 2 = Level II Trauma 3 = Level III Trauma 4 = Level IV Trauma 9 = Other Trauma Response
70x	None	Cast room – charges for services related to the application, maintenance and removal of casts	0= General classification 9 = Other cast room
71x	None	Recovery room	0 = General classification 9 = Other recovery room
72x	Labor Room / Delivery Room	Labor room and delivery – charges Delivery Room for labor and delivery room services provided by specially trained nursing personnel to patients, including prenatal care during labor, assistance during delivery, postnatal care in the recovery room, and minor gynecological procedures if they are performed in the delivery suite.	0 = General classification 1 = Labor 2 = Delivery 3 = Circumcision 4 = Birthing center (unit is days) 9 = Other labor room and delivery
73x	Test	EKG/ECG (electrocardiogram) – charges for operation of specialized equipment to record electromotive variations in actions of the heart muscle on an electrocardiography for diagnosis of heart ailments	0 = General classification 1 = Holter monitor 2 = Telemetry 9 = Other EKG/ECG
74x	Test	EEG (electroencephalogram) – charges for operation of specialized equipment to measure impulse frequencies and differences in electrical potential in various areas of the brain to obtain data for use in diagnosing brain disorders	0 = General classification 9 = Other EEG
75x	Test	Gastrointestinal services – procedure room charges for endoscopic procedures not performed in the operating room.	0 = General classification 9 = Other gastrointestinal
76x	None	Treatment or observation room – charges for minor procedures performed outside the	0 = General classification 1 = Treatment room

CODE	UNIT	DEFINITION	SUBCATEGORY 'x'
		operating room	2 = Observation room 9 = Other treatment room <u>Specialty</u> <u>Services</u>
77x	Preventative Care Services	Charges for the administration of vaccines	0 = General classification 1 = Vaccine administration 9 = Other
<u>78x</u>	<u>None</u>	<u>Telemedicine</u>	0 = General Classification
79x	None	Lithotripsy – charges for the use of lithotripsy in the treatment of kidney stones	0 = General classification 9 = Other lithotripsy
80x	Session	Inpatient renal dialysis – a waste removal process performed in an inpatient setting that uses an artificial kidney when the body's own kidneys have failed. The waste may be removed directly from the blood (hemodialysis) or indirectly from the abdominal covering and the tissue (peritoneal dialysis).	 0 = General classification 1 = Inpatient hemodialysis 2 = Inpatient peritoneal 3 = Inpatient continuous ambulatory peritoneal dialysis 4 = Inpatient continuous cycling peritoneal dialysis 9 = Other inpatient dialysis
81x	None	Organ acquisition and storage costs — the acquisition of a kidney, liver or heart for use in transplantation	0 = General classification 1 = Living donor — kidney 2 = Cadaver donor — kidney 3 = Unknown donor — kidney 4 = Unsuccessful organ search — Donor Bank Charges 9 = Other organ acquisition
82x	Hemodialysis Outpatient or Home Dialysis	A waste removal performed in an outpatient or home setting necessary when the body's own kidneys have failed. Waste is removed directly from the blood.	0 = General classification 1 = Hemodialysis/composite or other rate 2 = Home Supplies 3 = Home Equipment 4 = Home Mainenance 5 = Support services 9 = Other hemodialysis outpatient
83x	Peritoneal Dialysis Outpatient or Home	A waste removal process performed in an outpatient or home setting, necessary when the body's own kidneys have failed. Waste is removed indirectly by flushing a special solution between the abdominal covering and the tissue.	0 = General classification 1 = Peritoneal/composite or other rate 2 = Home Supplies 3 = Home Equipment 4 = Maintenance 5 = Support services 9 = Other peritoneal dialysis
84x	Continuous Ambulatory Peritoneal Dialysis (CAPD) Outpatient	A continuous dialysis process performed in an outpatient or home setting, which uses the patient's peritoneal membrane as a dialyzer.	0 = General classification 1 = CAPD/composite or other rate 2 = Home Supplies 3 = Home Equipment 4 = Maintenance 5 = Support services 9 = Other CAPD dialysis
85x	Continuous Cycling Peritoneal Dialysis (CCPD) Outpatient	A continuous dialysis process performed in an outpatient or home setting, which uses the patient's peritoneal membrane as a dialyzer.	0 = General classification 1 = CCPD/composite or other rate 2 = Home Supplies 3 = Home Equipment 4 = Maintenance 5 = Support services 9 = Other CCPD dialysis
86x	Reserved for	Magneto encephalography (MEG) - Charges	0 = General Classification

CODE	UNIT	DEFINITION	SUBCATEGORY 'x'
,	Dialysis (National Assignment) Tests	for operation of specialized medical equipment to measure the magnetic fields generated by brain activity	<u>1 = MEG</u>
87x	Reserved for I	Dialysis (State Assignment)	
88x	Session	Miscellaneous dialysis – charges for dialysis services not identified elsewhere	0 = General classification 1 = Ultrafiltration 2 = Home Dialysis Aid Visit 9 = Other miscellaneous dialysis
89x	None Reserved	Other donor bank — charges for the acquisition, storage and preservation of all human organs, excluding kidneys	0 = General classification 1 = Bone 2 = Organ other than kidney 3 = Skin 4 = Activity therapy 9 = Other donor bank
90x	Visit	Psychological treatments-Behavioral Health Treatments / Services	0 = General classification 1 = Electroshock treatment 2 = Milieu therapy 3 = Play therapy 4 = Activity therapy 5 = Intensive Outpatient Services - Psychiatric 6 = Intensive Outpatient Services - Clinical Dependency 7 = Community Behavioral Health Program 9 = Other 6 = Family therapy
91x	Visit	Psychiatric or psychological services—charges for providing nursing care, employee and professional services for emotionally disturbed patients, including patients admitted for diagnosis and those admitted for treatment. Behavioral Health Treatments /Services	0 = General classification 1 = Rehabilitation 2 = Partial hospitalization — Less Intensive 3 = Partial Hospitalization - Intensive 4 = Individual therapy 5 = Group therapy 6 = Family therapy 7 = Biofeedback 8 = Testing 9 = Other Behavioral Health Treatments
92x	Test	Other diagnostic services	0 = General classification 1 = Peripheral vascular lab. 2 = Electromyelogram 3 = Pap smear 4 = Allergy test 5 = Pregnancy test 9 = Other diagnostic service
94x	Visit	Other therapeutic services – charges for other therapeutic services not otherwise categorized	0 = General classification 1 = Recreational therapy 2 = Education or training 3 = Cardiac rehabilitation 4 = Drug rehabilitation 5 = Alcohol rehabilitation 6 = Routine complex medical equipment 7 = Ancillary complex medical equipment 8 = Pulmonary rehabilitation 9 = Other therapeutic services

CODE	UNIT	DEFINITION	SUBCATEGORY 'x'
96x	None	Professional fees – charges for medical professionals that the hospitals or third party payers require to be separately identified on the billing form	0 = General classification 1 = Psychiatric 2 = Ophthalmology 3 = MD anesthesiologist 4 = CRNA anesthetist 9 = Other professional fees
97x	None	Professional fees – continued	1 = Laboratory 2 = Radiology - diagnostic 3 = Radiology - therapeutic 4 = Radiology - nuclear medicine 5 = Operating room 6 = Respiratory therapy 7 = Physical therapy 8 = Occupational therapy 9 = Speech pathology
98x	None	Professional fees – continued	1 = Emergency room 2 = Outpatient services 3 = Clinic 4 = Medical; social services 5 = EKG 6 = EEG 7 = Hospital visit 8 = Consultation 9 = Private duty nurse
99x	None	Patient convenience items – charges for items that are generally considered by the third party payer to be strictly convenience items and as such, are not covered	0 = General classification 1 = Cafeteria/guest tray 2 = Private linen service 3 = Telephone/telegraph 4 = TV/radio 5 = Non-patient room rentals 6 = Late discharge charge 7 = Admission kits 8 = Beauty shop/barber 9 = Other convenience items
100x	None	Behavioral health Accommodations – charges for routine recommendations at specific health facilities	0 = General Classification 1 = Residential Treatment - Psychiatric 2 = Residential Treatment - Clinical Dependency 3 = Supervised Living 4 = Halfway House 5 = Group Home

APPENDIX C ACRONYM LISTING

ACRONYM	DESCRIPTION	
ADH	Arkansas Department of Health	
ASCII	PC Text File	
CAH	Critical Access Hospital	
CAPD	Continuous Ambulatory Peritoneal Dialysis	
CCPD	Continuous Cycling Peritoneal Dialysis	
CD	Compact Disk	
COBOL	Common Business Oriented Language	
CPT	Current Procedural Technology	
CR	Carriage-return	
СТ	Computer Tomographic	
DAT	PC Text File	
DCN	Document Control Number	
DME	Durable Medical Equipment	
DRG	Diagnosis Related Group	
EEG	Electroencephalogram	
EIN	Employer Identification Number	
EKG/ECG	Electrocardiogram	
EPO	Erythropoetin alpha or Darbepoetin alpha	
FTP	File Transfer Protocol	
HCFA	Health Care Financing Administration	
HCPCS	HCFA Common Procedural Coding System	
HDDS	Hospital Discharge Data System	
HH	Home Health	
ННА	Home Health Agency	
HIPPA	Health Insurance Portability and Accountability Act of 1996	
ICD	International Classification of Diseases	
ICF	Intermediate Care Facility	
IRF	Inpatient Rehabilitation Facility	
LF	Line-feed	
LTCH	Long Term Care Hospital	
MDC	Major Diagnostic Categories	
MRI	Magnetic Resonance Imaging	
NPI	National Provider Identifier	
NUBC	National Uniform Billing Committee	
PPS	Perspective Payment System	
QTR	Quarter	
RTC	Residential Treatment Center	
SNF	Skilled Nursing Facility	

TIN	Tax Identification Number
TOB	Type of Bill
TXT	Text
UB	Uniform Billing
UPIN	Universal Physician Identification Number
ZIP	Compressed file



APPENDIX D REFERENCES

D1 RESOURCE LIST

D2 RULES AND REGULATIONS PERTAINING TO HOSPITAL DISCHARGE DATA SYSTEM

D3 ARKANSAS CODE – "STATE HEALTH DATA CLEARING HOUSE ACT"

D4 ACT 616

D5 ACT 670

D6 ACT 1470





66 of 85

D1. RESOURCE LIST

Current Procedural Terminology

Published by the American Medical Association; ISBN 3-89970-792-0.

May be purchased from:

Order Department Reference OP054194HA American Medical Association PO Box 10950 Chicago, IL 60610 (800) 621-8335

National Uniform Billing Committee (NUBC)

Official UB-04 Data Specifications Manual 2010, Version 4.00, July 2009

Uniform Billing (UB-04)

CMS Manual System, Pub100-04 Medicare Claims Processing, Transmittal 1104, November 3, 2006, Department of Health and Human Services, Centers for Medicare & Medicaid Services or www.cms.hhs.gov/transmittals/downloads/R1104CP.pdf

HCFA Common Procedural Coding System (HCPCS)

Published by the Centers for Medicare and Medicaid Service, (formerly HCFA)

International Classification of Diseases, Ninth Edition (ICD-9)

Published by the Centers for Medicare and Medicaid Service, and the National Center for Health Statistics.

The materials published by the Centers for Medicare and Medicaid Service may be purchased from:

Government Printing Office U.S. Government Bookstore 710 North Capitol Street N.W. Washinton, DC http://bookstore.gpo.gov/

Health Research and Educational Trust Disparities Toolkit

Authored by Hasnain-Wynia, R., Pierce, D., Haque, A., hedges Greising, C., Prince, V., Reiter, J. (2007). hretdisparities.org.

Some materials may also be purchased from large commercial bookstores and from medical office supply firms. These documents are also available for use by the general public at the Arkansas State Library and may be available from your local library by an interlibrary loan.

Arkansas State Library Documents Service One Capitol Mall Little Rock, AR 72201 (501) 682-2326 THIS PAGE HAS BEEN LEFT INTENTIONALLY BLANK

D2. RULES AND REGULATIONS PERTAINING TO HOSPITAL DISCHARGE DATA SYSTEM (HDDS)

SECTION I. AUTHORITY.

The following Rules and Regulations pertaining to the Hospital Discharge Data System are duly adopted and promulgated by the Arkansas Board of Health pursuant to the authority expressly conferred by the State of Arkansas including, without limitation, Act 670 of 1995 (the Act), as amended, the same being Ark. Code Ann. § 20-7-301 et seq. The Act established the State Health Data Clearing House within the Arkansas Department of Health. The Clearing House is mandated by the Act to acquire and disseminate health care information in order to understand patterns and trends in the availability, use and costs of health care services in the state. Subsection (h) of the Act directs the Arkansas State Board of Health to prescribe and enforce such rules and regulations as may be necessary to carry out the purpose of this Act.

SECTION II. PURPOSE.

It is the purpose of these regulations to provide direction about the required collection, submission, management and dissemination of health data.

SECTION III. DEFINITIONS.

For the purposes of these Regulations, the following words and phrases when used herein shall be construed as follows:

- A. "Act" means the State Health Data Clearing House Act 670 of 1995, Ark. Code Ann. § 20-7-301 et seq;
- B. "Aggregate data set" means a compilation of raw data that has been subject to a critical edit check and consists of at least a small cell count. Aggregate data sets shall not include the following data elements: hospital control number; patient control number; attending physician number, or any element which might be used to identify an individual patient;
- C. "Board" or "State Board" means the Arkansas State Board of Health;
- D. "Confidential information" means that information which the State Board has defined to be confidential in these regulations and procedures;



- E. "Department" means the Arkansas Department of Health;
- F. "Director" means the director of the Arkansas Department of Health;
- G. "Hospital" means any institution, place, building or agency, public or private, whether organized for profit or not-for-profit, which is subject to licensure by the Arkansas Department of Health (Ark. Code Ann. § 20-9-201 et seq);
- H. "Submit," "submission" or "submittal" means, with respect to data, reports, surveys, statements and documents required to be filed with the Department: 1) delivery to the Arkansas Department of Health, by the close of business on the prescribed filing date, or 2) deposit with the United States Postal Service, postage prepaid, addressed to the Arkansas Department of Health, in sufficient time so that the mailed materials will arrive by the close of business on the prescribed filing date;

I. "Guide" means the <u>Hospital Discharge Data Submittal Guide</u> published by the Arkansas Department of Health. This <u>Guide</u> contains technical information relating to data format, media and submittal time frames.

SECTION IV. GENDER AND NUMBER.

All terms used in any one gender or number shall be construed to include any other gender or number.

SECTION V. HOSPITAL DISCHARGE DATA SUBMITTAL.

Each Arkansas hospital which performs activities meeting the definition of inpatient discharges, as set forth in the <u>Guide</u>, shall submit data to the Department in a manner that complies with the provisions of the <u>Guide</u> for all inpatient hospital discharges occurring on or after January 1, 1996.

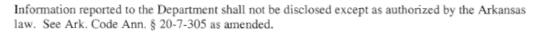
SECTION VI. ADDITIONAL DATA REQUIRED TO BE SUBMITTED.

In addition to data prescribed for submission in the <u>Guide</u>, the following data must be submitted according to the schedule provided: Each hospital shall provide a complete and accurate copy of the American Hospital Association's Annual Survey to the Arkansas Department of Health or the Arkansas Hospital Association. The required submission date will be published annually with the distribution of the survey.

SECTION VII. EXTENSION OF TIME.

The State Board or the Director shall, upon a showing of good cause and if time permits, extend the time allowed for the performance of any function or duty required by the provisions of the Act or of these regulations and rules. In making any determination with regard to good cause, the Board and the Director shall give due consideration to all relevant facts and circumstances, including such considerations as the complexity of the issues or the existence of extraordinary circumstances or unforeseen events which have led to the request for an extension of time. The State Board or the Director shall act upon a request for an extension of time within thirty (30) days of receiving the written request by the hospital. Failure to act within thirty (30) days shall be deemed as a grant of the extension.

SECTION VIII. AUTHORIZED USE OF DATA.





SECTION IX. ACCESS TO AGGREGATE REPORTS.

All reports generated by the Department from the aggregate data set for a member of the general public are open for public inspection. The Department shall provide copies of these reports, upon request, at a cost of \$.25 per page. The Department shall determine fees to be charged to cover the direct and indirect costs for providing other information requests or special compilations from aggregate data sets. The fee shall include staff time, computer time, copying costs, postage and supplies.

SECTION X. PENALTIES FOR NON-COMPLIANCE.

Ark. Code Ann. § 20-7-301 et seq. sets forth civil and criminal penalties for non-compliance with provisions of the Act and of rules and regulations adopted by the Arkansas State Board of Health to implement the Act, as follows:

- A. Any person, firm, corporation, organization or institution that violates any of the provisions of Ark. Code Ann. § 20-7-301 et seq., or any rules or regulations promulgated thereunder, regarding confidentiality of information, shall be guilty of a misdemeanor and, upon conviction thereof, shall be fined not less than one hundred dollars (\$100) nor more than (\$500), or by imprisonment not exceeding one month, or both. Each day of violation shall constitute a separate offense.
- B. Any person, firm, corporation, organization or institution knowingly violating any of the provisions of Ark. Code Ann. § 20-7-301 et seq., or any rules or regulations promulgated thereunder, shall be guilty of a misdemeanor and, upon a plea of guilty, a plea of nolo contendere or conviction, shall be fined not more than five hundred dollars (\$500).
- C. Every person, firm, corporation, organization or institution that violates any of the rules or regulations adopted by the Arkansas State Board of Health or that violates any provision of Act 670 may be assessed a civil penalty by the Board. The penalty shall not exceed two hundred fifty dollars (\$250) for each violation. No civil penalty may be assessed until the person charged with the violation has been given the opportunity for a hearing on the violation pursuant to the Arkansas Administrative Procedure Act, Ark. Code Ann. § 25-15-101, et seq.

SECTION XI, HEARING AND APPEAL.

Hearings and appeals will be conducted according to the Adjudication and Rule Making Sections of the Department's Administrative Procedures previously promulgated by the Department and any revisions thereto.

SECTION XII. MAINTENANCE OF REGULATIONS AND PROCEDURES.

All pages of these regulations and rules, and of the <u>Hospital Discharge Data Submittal Guide</u>, issued by the Department are dated at the bottom. As changes occur, replacement pages will be issued. All replacement pages will be dated so that users may be certain they are referring to the most recent information.

SECTION XIII. INCORPORATION BY REFERENCE.



The following documents are hereby incorporated by reference:

- A. The most recent edition of the International Classification of Diseases, Clinical Modifications. Copies are available from the World Health Organization, P.O. Box 5284, Church Street Station, New York, New York 10249.
- B. Uniform Hospital Billing Form 2004 (UB04/CMS-1450). Copies are available from the Office of Public Affairs, Center for Medicare and Medicaid Services, Humphrey Building, Room 428-H, 200 Independence Avenue S.W., Washington, D.C. 20201 or website, www.cms.hhs.gov/cmsforms/. All incorporated material is available for public review at the central administrative office of the Department.

SECTION XIV. SEVERABILITY.

If any provision of these Rules and Regulations or the application thereof to any person or circumstances is held invalid, such invalidity shall not affect other provisions or applications of these Rules and Regulations which can give effect without the invalid provisions or applications, and to this end the provisions hereto are declared severable.

SECTION XV. REPEAL.

All regulations and parts of regulations in conflict herewith are hereby repealed.

CERTIFICATION

This will certify that the foregoing Rules and Regulations for the Hospital Discharge Data System were adopted by the Arkansas Board of Health at a regular session of the Board held in Little Rock Arkansas on the 30 day of 120.

Secretary, Arkansas Board of Health

The foregoing Rules and Regulations, copy having been filed in my office, are hereby approved on this ____ day of _____, 2008.

Governor

D3. ARKANSAS CODE - "STATE HEALTH DATA CLEARING HOUSE ACT"

Arkansas Code Annotated 20-7-301 et seq.

20-7-301. Title.

This subchapter shall be entitled the "State Health Data Clearing House Act."

History. Acts 1995, No. 670, § 1.

20-7-302. Purpose.

The General Assembly finds that as a result of rising health care costs, the shortage of health professionals and health care services in many areas of the state, and the concerns expressed by care providers, consumers, third party payers, and others involved with planning for the provision of health care, there is an urgent need to understand patterns and trends in the availability, use, and costs of these services. Therefore, in order to establish an information base for patients, health professionals, and hospitals, to improve the appropriate and efficient usage of health care services, and to provide for appropriate protection for confidentiality and privacy, the Department of Health shall act as a state health data clearing house for the acquisition and dissemination of data from state agencies and other appropriate sources to carry out the purposes of this subchapter.

History. Acts 1995, No. 670, § 2.

20-7-303. Collection and dissemination of health data.

- (a) The Director of the Department of Health shall, with the approval of the State Board of Health, compile and disseminate health data collected by the Department of Health.
- (b) The Department of Health, in consultation with advisory groups appointed by the director with representation from hospitals, outpatient surgery centers, health profession licensing boards, and other state agencies, should:
- (1)(A) Identify the most practical methods to collect, transmit, and share required health data as described in § 20-7-304;
- (B) Utilize, wherever practical, existing administrative databases and modalities of data collection to provide the required data:
- (C) Develop standards of accuracy, timeliness, economy, and efficiency for the provision of the data; and
- (D) Ensure confidentiality of data by enforcing appropriate rules and regulations.
- (2) In order to maximize limited resources and to prevent duplication of effort, the Department of Health may, when appropriate, consider contracting with private entities for the collection of data as set forth in this section subject to the provisions of this subchapter.
- (c)(1) All state agencies, including health profession licensing, certification, or registration boards and commissions, which collect, maintain, or distribute health data, including data relating to the Medicaid program, shall make available to the Department of Health such data as are necessary for the Department of Health to carry out its responsibilities as prescribed by this subchapter or such rules and regulations as may be adopted as provided in § 20-7-305.
- (2) If health data are already reported to another organization or governmental agency in the same manner, form, and content or in a manner, form, and content acceptable to the department, the director may obtain a copy of such data from said organization or agency, and no duplicative report need be submitted by the organization.
- (3) All hospitals and outpatient surgery centers licensed by the state shall submit information in a form and manner as prescribed by rules and regulations by the State Board of Health pursuant to § 20-7-305; however, if the same information is being collected by another state agency, the Department of Health shall obtain such data from the other state agency.

History. Acts 1995, No. 670, § 2.

20-7-304. Release of health data.

The Director of the Department of Health shall be empowered to release data collected pursuant to this subchapter, except that data released shall not include any information which identifies or could be used to identify any individual patient, provider, institution, or health plan except as provided in § 20-7-305.

History. Acts 1995, No. 670, § 2.

20-7-305. State Board of Health to prescribe rules and regulations - Data collected not subject to discovery.

- (a) The State Board of Health shall prescribe and enforce such rules and regulations as may be necessary to carry out the purpose of this subchapter, including the manner in which data are collected, maintained, compiled, and disseminated, and including such rules as may be necessary to promote and protect the confidentiality of data reported under this subchapter.
- (b) Provided further, that data collected under this subchapter which identifies, or could be used to identify, any individual patient, provider, institution, or health plan shall not be subject to discovery pursuant to the Arkansas Rules of Civil Procedure or the Freedom of Information Act of 1967, § 25-19-101 et seq.
- (c) The Department of Health and Human Services may, only for purposes of research and aggregate statistical reporting, provide data to the Arkansas Center for Health Improvement and the Agency for Healthcare Research and Quality for its Healthcare

Cost and Utilization Project. The data shall be treated in a manner consistent with all state and federal privacy requirements, including, without limitation, the federal Health Insurance Portability and Accountability Act of 1996 privacy rule, specifically 45 C.F.R. § 164.512(i). Furthermore, any identifiable data provided, collected, or disseminated under this subsection shall not be subject to discovery pursuant to the Arkansas Rules of Civil Procedure or the Freedom of Information Act of 1967, § 25-19-101 et seq.

(d) It shall be unlawful for the center to release any patient-identifying information to any nongovernmental third party.

History, Acts 1995, No. 670, § 2.

20-7-306. Reports - Assistance.

- (a) The Director of the Department of Health shall prepare and submit a biennial report to the Governor and the House and Senate Interim Committees on Public Health, Welfare, and Labor or appropriate subcommittees thereof.
- (b) The Department of Health shall provide assistance to the House and Senate Interim Committees on Public Health, Welfare, and Labor or appropriate subcommittees thereof in the development of information necessary in the examination of health care issues.

History. Acts 1995, No. 670, § 2; 1997, No. 179, § 22.

20-7-307. Penalties.

- (a)(1) Any person, firm, corporation, organization, or institution that violates any of the provisions of this subchapter or any rules and regulations promulgated hereunder regarding confidentiality of information shall be guilty of a misdemeanor and, upon conviction thereof, shall be punished by a fine of not less than one hundred dollars (\$100) nor more than five hundred dollars (\$500) or by imprisonment not exceeding one (1) month, or both.
- (2) Each day of violation shall constitute a separate offense.
- (b) Any person, firm, corporation, organization, or institution knowingly violating any of the provisions of this subchapter or any rules and regulations promulgated hereunder shall be guilty of a misdemeanor and, upon a plea of guilty, a plea of nolo contendere, or conviction, shall be punished by a fine of not more than five hundred dollars (\$500).
- (c)(1) Every person, firm, corporation, organization, or institution that violates any of the rules and regulations adopted by the State Board of Health or that violates any provision of this subchapter may be assessed a civil penalty by the board.

- (2) The penalty shall not exceed two hundred fifty dollars (\$250) for each violation.
- (3) However, no civil penalty may be assessed until the person charged with the violation has been given the opportunity for a hearing on the violation pursuant to the Arkansas Administrative Procedure Act, § 25-15-201 et seq.

History. Acts 1995, No. 670, § 3.

20-7-308. Repealer.

All laws and parts of laws in conflict with this subchapter are hereby repealed, except that nothing herein shall be interpreted to repeal any provision which authorizes the Health Services Agency to gather such data as may be necessary to conduct permit of approval activities.

History. Acts 1995, No. 670, § 6.





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D4. ACT 616

Stricken language would be deleted from and underlined language would be added to the law as it existed prior to this session of the General Assembly.

Act 616 of the Regular Session

1	State of Arkansas
2	86th General Assembly A Bill
3	Regular Session, 2007 HOUSE BILL 1513
4	
5	By: Representative Key
6	
7	
8	For An Act To Be Entitled
9	AN ACT TO PROVIDE DATA FOR HOSPITAL PRICE
0	TRANSPARENCY; AND FOR OTHER PURPOSES.
1	
2	Subtitle
3	AN ACT TO PROVIDE DATA FOR HOSPITAL
4	PRICE TRANSPARENCY.
5	
6	
7	BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF ARKANSAS:
8	
9	SECTION 1. Arkansas Code § 20-7-305(c)(1), concerning data collected
0	by the State Board of Health that is not subject to discovery, is amended to
1	read as follows:
2	(c)(1)(A) The Department of Health and Human Services may provide data
3	only for purposes of research and aggregate statistical reporting to the
4	Arkansas Center for Health Improvement, and the Agency for Healthcare
5	Research and Quality for its Healthcare Cost and Utilization Project, or
6	other researchers for research projects approved by the Division of Health of
7	the Department of Health and Human Services pursuant to rules promulgated by
8	the State Board of Health that provide for appropriate security and
9	confidentiality protections for the data.
0	(B) The department also shall provide data to the Arkansas
1	Hospital Association for its price transparency and consumer-driven health
2	care project, that will make price and quality information about Arkansas
3	hospitals available to the general public.
4	
5	APPROVED: 3/28/2007





D5. ACT 670

1	State of Arkansas ACT 670, 1995
2	80th General Assembly A Bill
3	Regular Session, 1995 SENATE BILL 569
4	By: Senators Bookout, Wilson, Bradford, Scott, Bearden, Edwards, and Ross
5	
6	
7	For An Act To Be Entitled
8	"AN ACT TO DESIGNATE THE DEPARTMENT OF HEALTH AS THE
9	STATEWIDE HEALTH DATA CLEARING HOUSE; AND FOR OTHER
10	PURPOSES."
1	
12	Subtitle
13	"THE STATE HEALTH DATA CLEARING HOUSE
14	ACT"
15	
16	BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF ARKANSAS:
17	
18	SECTION 1. This act shall be entitled the "State Health Data Clearing
19	House Act."
20	
21	SECTION 2. Collection and dissemination of health data.
22	(a) The General Assembly finds that as a result of rising health care
23	costs, the shortage of health professionals and health care services in many
24	areas of the state, and the concerns expressed by care providers, consumers,
25	third-party payers, and others involved with planning for the provision of
26	health care, there is an urgent need to understand patterns and trends in the



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35 36 Speaker of the House

(b) The Department of Health, in consultation with advisory groups appointed by the Director with representation from hospitals, outpatient surgery centers, health profession licensing boards and other state agencies, should:

availability, use, and costs of these services. Therefore, in order to establish an information base for patients, health professionals and hospitals, to improve the appropriate and efficient usage of health care

other appropriate sources to carry out the purposes of this section.

services, and to provide for appropriate protection for confidentiality and privacy, the Department of Health shall act as a state health data clearing house for the acquisition and dissemination of data from state agencies and

As Engrossed: 3/1/95 SB 569

1 (1) Identify the most practical methods to collect, transmit, and 2 share required health data as described in subsection (g);

- 3 (2) Utilize, wherever practical, existing administrative data bases and modalities of data collection to provide the required data; 4
- 5 (3) Develop standards of accuracy, timeliness, economy, and 6 efficiency for the provision of the data;
- 7 (4) Ensure confidentiality of data by enforcing appropriate rules 8 and regulations.
- 9 (c) In order to maximize limited resources and prevent duplication of 10 effort, the Department of Health may, when appropriate, consider contracting with private entities for the collection of data set forth in this section 11 12 subject to the provisions of this act.

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- (d) All state agencies, including health profession licensing, certification or registration boards and commissions, which collect, maintain or distribute health data, including data relating to the Medicaid program, shall make available to the Department of Health such data as are necessary for the Department of Health to carry out its responsibilities as prescribed 18 by this section or such rules and regulations as may be adopted as provided in 19 subsection (h).
 - (e) If health data are already reported to another organization or governmental agency in the same manner, form, and content or in a manner, form, and content acceptable to the Department, the Director may obtain a copy of such data from said organization or agency; and no duplicative report need be submitted by the organization.
 - (f) All hospitals and outpatient surgery centers licensed by the state shall submit information in a form and manner as prescribed by rules and regulations by the Arkansas State Board of Health pursuant to subsection (h); however, if the same information is being collected by another state agency, the Department of Health shall obtain such data from the other state agency.
 - (g) The Director of the Department of Health shall be empowered to release data collected pursuant to this section except that data released shall not include any information which identifies or could be used to identify any individual patient, provider, institution or health plan except as provided in subsection (h).
 - (h) The Arkansas State Board of Health shall prescribe and enforce such rules and regulations as may be necessary to carry out the purpose of this

As Engrossed: 3/1/95 SB 569

section including the manner in which data are collected, maintained, compiled and disseminated and including such rules as may be necessary to promote and protect the confidentiality of data reported under this act; provided further that data collected under this section, which identifies or could be used to identify any individual patient, provider, institution or health plan, shall not be subject to discovery pursuant to Arkansas Rules of Civil Procedure or Ark. Code Ann. § 25-19-101, et seq.

(i)(1) The Director of the Department of Health shall, with the approval of the Arkansas State Board of Health, compile and disseminate health data collected by the Department of Health.

(2)(A) The Director of the Department of Health shall prepare and submit a biennial report to the Governor and the Joint Interim Committee on Public Health, Welfare and Labor.

(B) The Department of Health shall provide assistance to the Joint Interim Committee on Public Health, Welfare and Labor in the development of information necessary in the examination of health care issues.

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SECTION 3. (a) Any person, firm, corporation, organization or institution that violates any of the provisions of this act or any rules and regulations promulgated thereunder regarding confidentiality of information shall be guilty of a misdemeanor and upon conviction thereof shall be punished by a fine of not less than one hundred dollars (\$100) nor more than five hundred dollars (\$500) or by imprisonment not exceeding one month, or both. Each day of violation shall constitute a separate offense.

(b) Any person, firm, corporation, organization or institution knowingly violating any of the provisions of this act or any rules and regulations promulgated thereunder shall be guilty of a misdemeanor and upon a plea of guilty, a plea of nolo contendere or conviction, shall be punished by a fine of not more than five hundred dollars (\$500).

1. 1. 1.

(c) Every person, firm, corporation, organization or institution that violates any of the rules and regulations adopted by the Arkansas State Board of Health or that violates any provision of this act may be assessed a civil penalty by the Board. The penalty shall not exceed two hundred fifty dollars (\$250) for each violation. However, no civil penalty may be assessed until the person charged with the violation has been given the opportunity for a hearing on the violation pursuant to the Arkansas Administrative Procedure

As Engrossed: 3/1/95 SB 569

Act, Ark. Code Ann. §25-15-101, et seq.

SECTION 4. All provisions of this act of a general and permanent nature are amendatory to the Arkansas Code of 1987 Annotated and the Arkansas Code Revision Commission shall incorporate the same in the Code.

SECTION 5. If any provision of this act or the application thereof to any person or circumstance is held invalid, such invalidity shall not affect other provisions or applications of the act which can be given effect without the invalid provision or application, and to this end the provisions of this act are declared to be severable.

SECTION 6. All laws and parts of laws in conflict with this act are hereby repealed, except that nothing herein shall be interpreted to repeal any provision which authorizes the Arkansas State Health Services Agency to gather such data as may be necessary to conduct permit of approval activities.

/s/Bookout et al

GOVERNOR

D6. ACT 1470

Stricken language would be deleted from and underlined language would be added to the law as it existed prior to this session of the General Assembly.

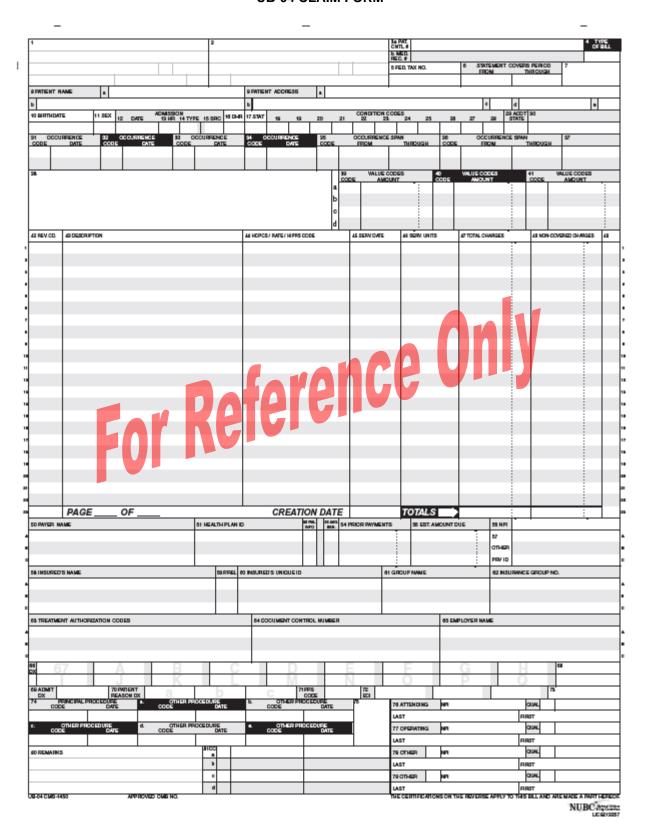
Act 1434 of the Regular Session

1	State of Arkansas	As Engrossed: S2/28/05 S3/3/05 S3/17/05	
2	85th General Assembly	A Bill	
3	Regular Session, 2005		HOUSE BILL 1470
4			
5	By: Representatives Reep, J	Ragland	
6			
7			
8		For An Act To Be Entitled	
9	AN ACT	TO PRESERVE THE CONFIDENTIALITY OF	F HEALTH
10	DATA II	N ARKANSAS; AND FOR OTHER PURPOSES	•
11			
12		Subtitle	
13	AN A	ACT TO PRESERVE THE CONFIDENTIALIT	Y
14	OF 1	HEALTH DATA IN ARKANSAS.	
15			
16			
17	BE IT ENACTED BY THE	GENERAL ASSEMBLY OF THE STATE OF A	ARKANSAS:
18			
19		kansas Code § 20-7-305 is amended t	
20	20-7-305. State	e Board of Health to prescribe rule	es and regulations -
21	Data collected not su	ibject to discovery.	
22	(a) The State	Board of Health shall prescribe as	nd enforce such rules
23	0	ay be necessary to carry out the pu	-
24		g the manner in which data are coll	
25		inated, and including such rules as	
26	promote and protect t	the confidentiality of data reporte	ed under this
27	subchapter.		
28	(b) Provided i	further, that data <u>provided,</u> collec	cted, or disseminated
29	•	r which identifies, or could be use	•
30		provider, institution, or health pl	
31	•	pursuant to the Arkansas Rules of	
32	Freedom of Informatio	on Act of 1967, § 25-19-101 et seq.	•
33	-	ment of Health may, only for purpo	
34	aggregate statistical	l reporting, provide data to the Ai	rkansas Center for
35	Health Improvement as	nd the Agency for Healthcare Resear	rch and Ouality for its

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1	Healthcare Cost and Utilization Project. The data shall be treated in a					
2	manner consistent with all state and federal privacy requirements, including,					
3	without limitation, the federal HIPAA Privacy Rule, specifically 45 C.F.R. §					
4	164.512(i). Further, any identifiable data provided, collected, or					
5	disseminated under subsection (c) of this section shall not be subject to					
6	discovery pursuant to the Arkansas Rules of Civil Procedure or the Freedom of					
7	Information Act of 1967, § 25-19-101 et seq.					
8	(d) It shall be unlawful for the Arkansas Center for Health					
9	Improvement to release any patient identifying information to any					
10	nongovernmental third party.					
11						
12	/s/ Reep					
13						
14						
15	APPROVED: 3/31/2005					
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APPENDIX E UB-04 CLAIM FORM



Hospital Discharge Data Submittal Guide 2011 List of Proposed Changes

Cover Page

2010 → 2011

Introduction (pg 5)

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6.2 1450 & 1450 Y2K - Record Type 10 - Provider Data (pg 13)

	FIELD NO.		NAME	PICTURE	SPEC	POSITION		FORM
			IVAIVIE	PICTORE	SPEC	FROM	THRU	LOCATOR
	*	4	National Provider Identifier (Billing Provider)	X(13)	L	22	34	FL56

6.3 1450-Record Type 20 – Patient Data (pg 14)

FIE	ELD	NAME	PICTURE		POSITION		FORM LOCATOR
N	NO.	PICTORE	SPEC	FROM	THRU		
PATIENT NAME (FIELDS 3 – 5)							FL08
*	9	Type Priority Of Admission	Х		65	65	FL14
*	10	Source Of Admission Point of Origin for Admissio or Visit	n X		66	66	FL15

6.4 1450Y2K - Record Type 20 - Patient Data (pg 15)

FIELD NO.		NAME	PICTURE	SPEC	POSITION		FORM
		NAIVIE	PICTURE	SPEC	FROM	THRU	LOCATOR
PA	TIENT	NAME (FIELDS 3 – 5)					FL08
*	7	Patient Birthdate (mmddccyy ccyymmdd)	9(8)	R	56	63	FL10
*	9	Type-Priority Of Admission	Х		65	65	FL14
*	10	Source Of Point of Origin for Admission or Visit	Х		66	66	FL15
PA	TIENT	ADDRESS (FIELDS 11 – 15)					FL09
*	16	Admission Date (mmddccyy ccyymmdd)	9(8)	R	132	139	FL12
STA	STATEMENT COVERS PERIOD (FIELDS 18 – 19)						FL06
*	18	From (mmddyy <u>ccyymmdd</u>)	9(8)	R	142	149	
*	19	Thru (mmddyy <u>ccyymmdd</u>)	9(8)	R	150	157	

6.5 1450 & 1450Y2K – record Type 27 – Health Dept. Specific Data (pg 16)

FIELD	NAME PICTURE SPEC	SPEC	POSITION		FORM	
NO.	NAIVIE	PICTORE	SPEC	FROM	THRU	LOCATOR
<u>15</u>	Public Health Condition Code	<u>X(2)</u>	<u>R</u>	<u>70</u>	<u>71</u>	
<u>16</u>	<u>Public Health Condition Code</u>	<u>X(2)</u>	<u>R</u>	<u>72</u>	<u>73</u>	
<u>17</u>	Public Health Condition Code	<u>X(2)</u>	<u>R</u>	<u>74</u>	<u>75</u>	
<u>18</u>	<u>Public Health Condition Code</u>	<u>X(2)</u>	<u>R</u>	<u>76</u>	<u>77</u>	

6.6.1 1450 & 1450Y2K Record Type 30 – Third Party Payer (pg 16)

	FIELD					POSITION		FORM
	NO.	10.	NAME	PICTURE	SPEC	FROM	THRU	LOCATOR
•	*	4	Source of Payment Code (Payer)	Х		25	25	FL50

6.11 1450 & 1450Y2K - Record Type 80 - 8N - Physician Data (pg 22)

	FIELD	NAME	PICTURE	POSITION F		POSITION		
	NO.	NAIVIE	PICTORE	SPEC	FROM	THRU	LOCATOR	
*	4	Physician Number Qualifying <u>Identifier</u> Code	X(2)	L	25	26		
*	5	Attending Physician Number <u>Provider</u> <u>Identifier</u>	X(16)	L	27	42	FL76	
*	6	Operating Physician Number <u>Identifier</u>	X(16)	L	43	58	FL77	
*	7	Other Physician Number <u>Identifier</u>	X(16)	L	59	74	FL78	
*	8	Other Physician Number <u>Identifier</u>	X(16)	L	75	90	FL79	
*	9	Attending Physician <u>Provider</u> Name	X(25)	L	91	115		
		Last Name	X(16)	L	91	106		
		First Name	X(8)	L	107	114		
		Middle Initial	X		115	115		

Physician Number Qualifying Codes:

- A. 0B = State License Number Alpha and 4 digits
- B. 1G = Universal Physician Identification Number (UPIN)- Alpha and 5 digits
- C. G2 = Provider Commercial Number
- D. NI = National Provider Identifier (NPI) 10 digit number (preferred)

Table 1. Definition Breakdown

(pg 29)

Admission Date	N	6 or 8	Required As available	Record Type 20, positions (1450) 129- 134., (1450Y2K) positions 132-139			
DEFINITION	The start date for this episode of care. For inpatient services, this is the date of admission. The date the patient was admitted to the hospital.						
Admission Hour	А	2	Required 🖵 🖂 As available	Record Type 20, positions (1450) 135- 136, (1450Y2K) positions 140-141			

(pg 30)						
APGAR Score	N	4	Required As available	Record Type 27, positions 60-63.		
GENERAL COMMENTS	Right justify the field with zeroes to the left to complete the field. <u>Positions 60-61 should contain the one minute APGAR and 62-63 should contain the five minute APGAR.</u> (Example: 0809)					
			_			
Attending Physician <u>Provider</u> Name	A	25	⊠ Required ☐ As available	Record Type 80, positions 91-115		
DEFINITION	necessity of the treatment. The i	Name of the licensed physician who would normally be expected to certify and recertify the medical necessity of the services rendered and/or who has primary responsibility for the patient's medical care and treatment. The individual who has overall responsibility for the patient's medical care and treatment reported in this claim.				
Attending Physician Number <u>Provider Identifier</u>	А	16	Required As available	Record Type 80, positions 27-42		
DEFINITION	National Provider Identifier (preferred), State License, provider UPIN or Commercial provider number of the physician who is expected to certify and recertify the medical necessity of the services rendered or who has primary responsibility for the patient's medical care and treatment. of the individual who has overall responsibility for the patient's medical care and treatment reported via this claim.					
EDIT		ontain a valid lice er Identifier (NPI).	= =	to 'Physician Number Qualifying Code.'		
(pg 31)	1					
Diagnosis Related Group (DRG)	N	4	Required As available	Record 27, positions 64-67		
EDIT	A DRG must be l	Present if present,	, <u>must be Valid valid</u> and Consister	± consistent with sex and age.		
(pg 33)						
Health Plan ID	А	9	Required As available	Record Type 30, positions 26-34		
DEFINITION	An identifier of the primary payer organization from which the hospital might expect some payment for the bill. The sub-identification is of the specific office within the insurance carrier designated as responsible for this claim. The numbers used by the health plan to identify itself.					
GENERAL COMMENTS	This can be a unique identifier used solely by the hospital. None					
Insured Group Name	А	14	Required As available	Record Type 30, positions 97-110		
DEFINITION	Name of the gro Insured's Name	-	h which the insurance is provided	to the Insured' s Name listed in the first		

(pg	35)
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(hg 22)				
Operating Physician Name	А	25	Required As available	Record Type 80, positions 116-140
DEFINITION	Name used by the provider to identify the operating physician in the provider records. The name of the individual with the primary responsibility for performing the surgical procedure(s).			
Operating Physician Number <u>Identifier</u>	А	16	Required As available	Record Type 80, Position 43-58
DEFINITION	provider to iden	tify the operating	License, provider UPIN or Comme physician in the provider records. surgical procedure(s).	•
(pg 36)				
Other Physician Number <u>Identifier</u>	A	16	Required As available	Record Type 80, positions 59-74, 75-90
DEFINITION		This is the National Provider Identifier (preferred), State License, provider UPIN or Commercial provider number of a physician other than the attending physician as defined by the payer organization.		
(pg 37)	1			
Patient's Discharge Status	N	2	Required As available	Record Type 20, positions (1450) 149- 150, positions (1450Y2K) 158-159
			should be the status at the time o y codes of 30-39. The patient's sta	f discharge, the last 'Patient Status'; this tus is coded as follows:
GENERAL COMMENTS	Definition: Discharge/transferred to an Intermediate Care Facility (ICF) — Typically defined at the state level for specifically designated intermediate care facilities a facility that provides custodial or supportive care. Includes intermediate care facilities (ICFs) if specifically designated at the state level. Also, used to designate patients that are discharged/transferred to a nursing facility with neither Medicare nor Medicaid certification and for discharges/transfers to state designated Assisted Living Facilities.			
(pg 41)				
Physician Number Qualifying <u>Identifier</u> Code	A	2	Required As available	Record Type 80, positions 25-26
GENERAL COMMENTS	Use one of the f	ollowing codes: th	e code NI for National Provider Nu	umber (NPI).
	OB	State License ID	Number	
	16	UPIN Provider N	umber	

	G2	Commercial Provider Number
	NI	National Provider Identifier
	If the UPIN cod	ing is used, the following may be used for physicians without assigned UPINs:
	INTO00	for each intern
	RESO00	for each resident
	PHS000	– for Public Health Service physicians
	VAD000	for Department of Veterans Affairs physicians
	RET000	for retired physicians
	SLF000	– for providers to report that the patient is self-referred
	OTH000	for all other unspecified entities without UPINs
EDIT	Must be a valid	code or spaces. Spaces will be assumed to be UPIN NPI.

(pg 41) Moved from before of Source of Payment Code to before Present of Admission

Source (Point of Origin) for Admission or Visit	А		1	Required As available	Record Type 20, position 66-66
			lth Care Facility Origin		tted to this facility <u>. upon an order of a tients coming from home or workplace.</u>
			from another are Facility		tted to this facility as a transfer from ty not defined elsewhere in this code
	<u>Re</u>	•	icy Room I for assignment	Definition: The patient was admit recommendation of this facility's	
	<u>F</u> Tra	insfer	from Hospice	Definition: The patient was admi hospice.	tted to this facility as a transfer from

(pg 42)

Present on Admission (POA)	N	1	⊠ Required ☐ As available	Record Type 70, Sequence 1
DEFINITION	U	Documentation i	s insufficient to determine if condi	ition is present on admission No

	w	Provider is unabl	•	condition was present on admission or		
L		· · · · · · · · · · · · · · · · · · ·				
(pg 43) Moved from before T	ype of Bill to	before Provi	der Address			
Type { Priority} of Admission or Visit	А	1	Required As available	Record Type 20, positions 65-65		
EDIT			a valid code $1 - 4 - 5$ or 9. If the codor consistency as well as the date	e is entered 4 (newborn), the Source of of birth and diagnosis.		
(pg 44)						
Public Health Condition Code	<u>A</u>	<u>2</u>	Required As available	Record Type 27, positions 70-71, 72-73, 74-75, 76-77		
<u>DEFINITION</u>	Identify condition	ons related to publ	lic health reporting.			
	This 2 digit cond	litional code will h	ave an initial digit of "P". This cod	e will be recorded in UB-04 Form		
	Locator 18-28 or Form Locator 81 with a qualifying code of A1. Valid codes are as follows:					
	PO Reserved for Public Health Reporting					
	 Do Not Resuscitate Order					
GENERAL COMMENTS	P1 Indicator that a NDR order was written at the time of, or within the first 24 hours of the patient's admission to the hospital and is clearly documented in the patient's medical record.					
	<u>P2-P6</u>	Reserved for Pub	olic Health Data Reporting			
		Direct Inpatient	Admission from Emergency Room			
	P7 Code indicates that patient was admitted directly from this facilities Emergent Room / Department.		ectly from this facilities Emergency			
	<u>P8-PZ</u>	Reserved for Pub	olic Health Data Reporting			
EDIT	Must be a valid code.					
(pg 47)				Record Type 20, positions 137-142 on		
	.,		Deguired D As available	the 1450		

Statement Covers Period From

Required As available

On the 1450Y2K, positions 142-149

DEFINITION			The date of the first medical service relating to this patient=s stay in the hospital. The beginning service date of the period on this bill.				
Statement Covers Period Thru		ru ^N	6		As available	Record Type 20, positions 143-148 o the 1450 On the 1450 Y2K, positions 150-157	
DEFINITION			ate of the patient ice date of the pe		or the ending date (of a hospital stay longer than 24 hour:	
Table 2. D pg 52) ^{01x to 06x}		Description Breal		<u>Use</u>			
02x	None	Health Insurance - System	- Prospective Pa	1 = Research 2 = Skilled No		rsing Facility - PPS alth - PPS Rehab Facility - PPS	
0 7 3x to 09x	Reserved -for St	ate Use			1		
pg 53)							
19x	Not Assigned Days	Subacute Care – A subacute care to in facilities.			0 = Reserved C 1 = Subacute C 2 = Subacute C 3 = Subacute C 4 = Subacute C 9 = Other Subacute C	Care – Level II Care – Level III Care – Level III Care – Level IV	
pg 55)							
34x	Test	Nuclear medicine -			0 = General clas	ssification	

		laboratory utilizing radioactive materials as required for diagnosis and treatment of patients	1 = Diagnostic 2 = Therapeutic 3 = Diagnostic Radiopharmaceuticals
			4 = Therapeutic Radiopharmaceuticals 9 = Other
38x	Pint	Blood storage and processing – charges for the storage and processing of whole blood	0 = General classification 1 = Blood administration 2 = Whole blood 3 = Plasma 4 = Platelets 5 = Leucocytes 6 = Other components 7 = Other derivatives (cryoprecipitates) 9 = Other blood storage and processing and blood components
39x		Blood storage and processing – charges for the storage and processing of whole blood	0 = General classification 1 = Blood administration 2 = Processing and Storage 9 = Other blood storage & processing handling
g (56)			
40x	Test	Other imaging services	0 = General classification 1 = Diagnostic mammography 2 = Ultrasound 3 = Screening mammography 4 = Positron Emission Tomography 9 = Other imaging services

42x	Treatment	Physical therapy – charges for therapeutic exercises, massage, and utilization of effective properties of light, heat, cold, water, electricity and assistive devices for diagnosis	0 = General classification 1 = Visit charge
		and rehabilitation of patients who have neuromuscular, orthopedic and other	2 = Hourly charge 3 = Group rate
		disabilities	4 = Evaluation or re-evaluation
			9 = Other physical therapy
43x	Treatment	Occupational therapy – charges for teaching	0 = General classification
		manual skills and independence in personal care to stimulate mental and emotional	1 = Visit charge
		activity on the part of patients	2 = Hourly charge
			3 = Group rate
			4 = Evaluation or re-evaluation
			9 = Other occupational therapy
44x	Treatment	Speech language pathology – charges for	0 = General classification
		services provided to persons with impaired functional communications skills	1 = Visit charge
			2 = Hourly charge
			3 = Group rate
			4 = Evaluation or re-evaluation
			9 = Other speech language pathology therapy
48x	Test	Cardiology – charges for cardiac procedures	0 = General classification
		rendered in a separate unit within the hospital. Such procedures include, but are not	1 = Cardiac cath lab
		limited to: heart catheterization, coronary angiography, Swan-Ganz catheterization and	2 = Stress test
	exercise stress test.	3 = Echo cardiology	
			9 = Other cardiology
(pg 57)			
49x	None	Ambulatory surgical care – charges for ambulatory surgery that are not covered by	0 = General classification

		other categories	9 = Other ambulatory surgical care
50x	None	Outpatient service- charges for services rendered to an outpatient who is admitted as an inpatient before midnight of the day following the date of service. These charges are incorporated on the inpatient bill of Medicare patients.	0 = General classification 9 = Other outpatient services
52x	Free Standing Clinic Visit	Freestanding Clinic Pprovides a breakdown of some clinics that hospitals or third party payers may require	0 = General classification 1 = Rural health – clinic 2 = Rural health – home 3 = Family practice clinic 4 = Visit b Rurual Health Practitioner to a member in a covered Part A stay at SNF 5 = Visit Rural Health Clinic Practitioner to a member in a SNF 6 = Urgent care clinic 7 = Visiting Nurse Service 8 = Visit by Rural Health Clinic Practitioner to other non Rural Health Clinic Site 9 = Other free standing clinic
54x	Mile/Item/Unit	Ambulance – charges for ambulance service, usually on an unscheduled basis, to the ill and injured who require immediate medical attention	0 = General classification 1 = Supplies 2 = Medical transport 3 = Heart mobile 4 = Oxygen 5 = Air ambulance 6 = Neonatal ambulance services 7 = Pharmacy 8 = Telephone EKG transmission EKG 9 = Other ambulance

(pg 58)

56x	Visit/Hour	Medical social services such as counseling	0 = General classification
30%	Visity Hour	patients, intervening on behalf of patients, and interpreting problems of social situation rendered to patients on any basis.	1 = Visit charge 2 = Hourly charge 9 = Other medical social services
57x	Home Health Aide/Visit/Hour	Charges made by an HHA for personnel who are primarily responsible for the personal care of the patient	0 = General classification 1 = Visit charge 2 = Hourly charge 9 = Other home health aide
58x	Other Visits/Hour /Assess	Code indicates the charge by an HHA for visits other than physical therapy, occupational therapy or speech therapy, which must be specifically identified.	 0 = General classification 1 = Visit charge 2 = Hourly charge 3 = Assessment 9 = Other home health visits
59x	Units of Service	This revenue code is used by an HHA that bills (Home Health) on the basis of units of service.	0 = General classification 9 = Home health other units
60x	Oxygen	Code indicates the charges by an HHA for (Home Health) oxygen equipment supplies or contents, excluding purchased equipment. If a beneficiary purchased a stationary oxygen system, and oxygen concentrator or portable equipment, current revenue code 292 or 293 applies. DME (other than oxygen systems) is billed under current revenue codes 291, 292 or 293.	0 = General classification 1 = Oxygen - state/equip/supply/ or content 2 = Oxygen - state/equip/supply under 1 LPM 3 = Oxygen - state/equip/ over 4 LPM 4 = Oxygen - portable add-on 9 = Oxygen - other
61x	Test	MRI – charges for Magnetic Resonance Imaging of the brain and other parts of the body.	0 = General classification 1 = Brain including brain stem 2 = Spinal cord including MRI/spine

			4 = MRI Other
			5 = MRA – Head and Neck
			6 = MRA – Lower Extremities
			8 = MRA – Other
			9 = Other MR <u>IT</u>
53x	Drugs Requiring S	Specific Identification	0 = General classification
			1 = Single source drug
			2 = Multiple source drug
			3 = Restrictive prescription
			4 = Erytropepoetin (EPO) - less than 10,000 units
			5 = Erytropepoetin (EPO) - 10,000 or more units
			6 = Drugs requiring detailed coding
			7 = Self-administrable Drug
54x	Home Therapy Charge for intravenous drug therapy services		0 = General classification
	Services	performed in the patient's residence. For home I providers the HCPCS code must be entered for all equipment, and all types of covered therapy.	
			2 = IV site care, central line
			3 = IV start/change peripheral line
			4 = Non-routine nursing, peripheral line
			5 = Training patient/caregiver, central line
			6 = Training, disabled patient, central line
			7 = Training patient/caregiver, peripheral line
			8 = Training, disabled patient, peripheral line
			9 = Other IV therapy services
pg 59)			
65x	Day	Hospice service – charges for hospice care services for a terminally ill patient if he/she elect	0 = General classification 1 = Routine home care

		terminal condition	2 = Continuous home care
			3 = Reserved
			4 = Reserved
			5 = Inpatient respite care
			6 = General non-respite inpatient care
			7 = Physician services
			8 = Hospice Room and Board Nursing
			Facility
			9 = Other hospice service
68x	Activation	Trauma Response – charges representing the	0 = No Used
OOX	Activation	activation of the trauma team	
			1 = Level I Trauma
			2 = Level II Trauma
			3 = Level III Trauma
			4 = Level IV Trauma
			9 = Other Trauma Response
70x	None	Cast room – charges for services related to the	
		application, maintenance and removal of casts	9 = Other cast room
71x	None	Recovery room	0 = General classification
			9 = Other recovery room
74x	Test	EEG (electroencephalogram) – charges for	0 = General classification
		operation of specialized equipment to measure impulse frequencies and differences in	9 = Other EEG
		electrical potential in various areas of the brain	
		to obtain data for use in diagnosing brain disorders	
		5.55.3013	
75x	Test	Gastrointestinal services – procedure room	0 = General classification
		charges for endoscopic procedures not performed in the operating room.	9 = Other gastrointestinal

76x	None	Treatment or observation room – charges for minor procedures performed outside the operating room	0 = General classification 1 = Treatment room 2 = Observation room 9 = Other treatment room Specialty Services
			Services

(pg 60)

78x	None	Telemedicine	0 = General Classification
79x	None	Lithotripsy – charges for the use of lithotripsy in the treatment of kidney stones	0 = General classification 9 = Other lithotripsy
81x	None	Organ acquisition and storage costs – the acquisition of a kidney, liver or heart for use in transplantation	0 = General classification 1 = Living donor – kidney 2 = Cadaver donor – kidney 3 = Unknown donor – kidney 4 = Unsuccessful organ search – Donor Bank Charges 9 = Other organ acquisition
82x	Hemodialysis Outpatient or Home Dialysis	A waste removal performed in an outpatient or home setting necessary when the body's own kidneys have failed. Waste is removed directly from the blood.	0 = General classification 1 = Hemodialysis/composite or other rate 2 = Home Supplies 3 = Home Equipment 4 = Home Mainenance 5 = Support services 9 = Other hemodialysis outpatient
83x	Peritoneal Dialysis Outpatient or Home	A waste removal process performed in an outpatient or home setting, necessary when the body's own kidneys have failed. Waste is removed indirectly by flushing a special solution between the abdominal covering and the tissue.	0 = General classification 1 = Peritoneal/composite or other rate 2 = Home Supplies 3 = Home Equipment

84x	Continuous Ambulatory Peritoneal Dialysis	A continuous dialysis process performed in an outpatient or home setting, which uses the patient's peritoneal membrane as a dialyzer.	4 = Maintenance 5 = Support services 9 = Other peritoneal dialysis 0 = General classification 1 = CAPD/composite or other rate 2 = Home Supplies
	(CAPD) Outpatient		3 = Home Equipment 4 = Maintenance 5 = Support services 9 = Other CAPD dialysis
85x	Continuous Cycling Peritoneal Dialysis (CCPD) Outpatient	A continuous dialysis process performed in an outpatient or home setting, which uses the patient's peritoneal membrane as a dialyzer.	0 = General classification 1 = CCPD/composite or other rate 2 = Home Supplies 3 = Home Equipment 4 = Maintenance 5 = Support services 9 = Other CCPD dialysis
86x	Reserved for Dialysis (National Assignment) Tests	Magneto encephalography (MEG) – Charges for operation of specialized medical equipment to measure the magnetic fields generated by brain activity	0 = General Classification 1 = MEG

(pg 61)

87x	Reserved for Dialysis (State Assignment)		
88x	Session	Miscellaneous dialysis – charges for dialysis services not identified elsewhere	0 = General classification 1 = Ultrafiltration 2 = Home Dialysis Aid Visit

			9 = Other miscellaneous dialysis
89x	None Reserved	Other donor bank – charges for the acquisition, storage and preservation of all human organs, excluding kidneys	0 = General classification 1 = Bone 2 = Organ other than kidney 3 = Skin 4 = Activity therapy 9 = Other donor bank
90x	Visit	Psychological treatments Behavioral Health Treatments / Services	0 = General classification 1 = Electroshock treatment 2 = Milieu therapy 3 = Play therapy 4 = Activity therapy 5 = Intensive Outpatient Services — Psychiatric 6 = Intensive Outpatient Services - Clinical Dependency 7 = Community Behavioral Health Program 9 = Other 6 = Family therapy
91x	Visit	Psychiatric or psychological services — charges for providing nursing care, employee and professional services for emotionally disturbed patients, including patients admitted for diagnosis and those admitted for treatment. Behavioral Health Treatments /Services	0 = General classification 1 = Rehabilitation 2 = Partial hospitalization — Less Intensive 3 = Partial Hospitalization - Intensive 4 = Individual therapy 5 = Group therapy 6 = Family therapy 7 = Biofeedback 8 = Testing

			9 = Other <u>Behavioral Health Treatments</u>
94x	Visit	Other therapeutic services — charges for other therapeutic services not otherwise categorized	0 = General classification 1 = Recreational therapy 2 = Education or training 3 = Cardiac rehabilitation 4 = Drug rehabilitation 5 = Alcohol rehabilitation 6 = Routine complex medical equipment 7 = Ancillary complex medical equipment
			8 – Pulmonary rehabilitation 9 – Other therapeutic services

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<u>100x</u>	<u>None</u>	Behavioral health Accommodations – charges for routine recommendations at specific health	<u>0 = General Classification</u>
		facilities	1 = Residential Treatment – Psychiatric
			<u>2 = Residential Treatment – Clinical</u> <u>Dependency</u>
			3 = Supervised Living
			4 = Halfway House
			<u>5 = Group Home</u>