

**ARKANSAS DEPARTMENT OF EDUCATION
RULES GOVERNING PARENTAL INVOLVEMENT PLANS AND
FAMILY AND COMMUNITY ENGAGEMENT
~~July 2014~~ 2017**

1.00 REGULATORY AUTHORITY

- 1.01 These rules shall be known as Arkansas Department of Education Rules Governing Parental Involvement Plans.
- 1.02 The State Board of Education enacts these Rules pursuant to its authority as set forth in Ark. Code Ann. §§ 6-11-105, 6-15-1005(f), 6-15-1701 *et seq.*, 6-15-2202, ~~and~~ 25-15-201 *et seq.*, and Act 936 of 2017.

2.00 DEFINITIONS

For the purposes of these Rules:

- 2.01 “Department” means the Arkansas Department of Education.
- 2.02 “Parent” means a natural parent, a legal guardian, or other person standing *in loco parentis* (including without limitation a grandparent or stepparent with whom the child lives, or a person who is legally responsible for the child’s welfare).
- 2.03 “Parental Involvement” incorporates and encompasses references to “Family and Community Engagement,” as set forth in the Every Student Succeeds Act.
- 2.034 “Public School” means those schools created pursuant to Title 6 of the Arkansas Code and subject to the Arkansas Comprehensive Testing, Assessment Educational Support and Accountability Program Act, specifically excluding those schools or educational programs created by or receiving authority to exist pursuant to Ark. Code Ann. §§ ~~6-15-501, 9-28-205, and 12-29-301, et seq.~~, or other provisions of Arkansas law. Any reference to “Public School” in these Rules includes open-enrollment public charter schools except to the extent these Rules or the underlying statutes are specifically waived by the State Board of Education.
- 2.045 “Public School District” means those school districts created pursuant to Title 6 of the Arkansas Code and subject to the Arkansas Comprehensive Testing, Assessment Educational Support and Accountability Program Act, specifically excluding those schools or educational programs created by or receiving authority to exist pursuant to Ark. Code Ann. §§ ~~6-15-501, 9-28-205, and 12-29-301, et seq., or other provisions of Arkansas law.~~ Any reference to "Public School District" in these Rules includes open-enrollment public charter schools except to

the extent these Rules or the underlying statutes are specifically waived by the State Board of Education.

2.056 “Title I Public School or Public School District” means a public school or public school district that receives funds under Title I, Part A of the Elementary and Secondary Education Act of 1965 or any subsequent reauthorization thereof, including without limitation the ~~No Child Left Behind Act of 2001~~ Every Student Succeeds Act of 2015.

3.00 PARENTAL INVOLVEMENT PLANS

3.01 Each public school district, in collaboration with parents, shall establish a parental involvement plan that establishes the district’s expectations for parental involvement, and that includes programs and practices that enhance parental involvement and reflect the specific needs of students and their families.

3.01.1 Collaboration with parents may be accomplished through the coalition of parents and representatives of agencies, institutions, business and industry ~~required for development and implementation of the district level annual comprehensive school improvement plan (ACSIP) required by the Standards for Accreditation of Arkansas Public Schools and School Districts.~~

~~3.01.2 The parental involvement plan shall be incorporated into the public school district’s annual comprehensive school improvement plan (ACSIP).~~

3.01.32 Annually by October 1, the public school district’s parental involvement plan shall be:

3.01.3.1 Developed, or reviewed and updated by the public school district;

3.01.3.2 Posted to the website of the public school district; and

3.01.3.3 Filed with the Department’s ~~Division of Learning Services~~ electronic filing process in electronic format, specifically in Microsoft Word (.doc or .docx), Adobe Acrobat (.pdf), or Rich Text (.rtf) format.

~~3.01.3.4 All public school district parental involvement plans filed with the Department shall be filed in electronic format, specifically in Microsoft Word (.doc or .docx), Adobe Acrobat (.pdf), or Rich Text (.rtf) format.~~

3.01.3.54 Provided in a parent-friendly summary as a supplement to the student handbook.

3.01.3.54.1 The parent shall sign a form acknowledging receipt of the summary and return the signed form to the school where the student is enrolled.

3.02 Each public school, in collaboration with parents, shall establish a parental involvement plan that reflects the specific academic improvement needs of the school, and that includes programs and practices that enhance parental involvement and address the specific parent involvement needs of students and their families.

3.02.1 Collaboration with parents may be accomplished through the coalition of parents and representatives of agencies, institutions, business and industry required for development and implementation of the school-level ~~annual comprehensive school~~ improvement plan (ACSIP) ~~required by the Standards for Accreditation of Arkansas Public Schools and School Districts.~~

3.02.2 The parental involvement plan shall be incorporated into the public school's ~~annual comprehensive school~~ improvement plan (ACSIP).

3.02.3 Annually by October 1, the public school's parental involvement plan shall be developed, or reviewed and updated by the public school.

3.02.4 Annually by October 1, the public school shall post to the website of the public school or public school district:

3.02.4.1 The public school's parental involvement plan;

3.02.4.2 A parent-friendly explanation of the public school's and public school district's parental involvement plans;

3.02.4.3 The informational packet required by Section 5.01.1; and

3.02.4.4 Contact information for the parent facilitator designated by the public school under Section 5.08 of these Rules.

3.03 A public school's parental involvement plan shall:

3.03.1 Involve parents of students at all grade levels in a variety of roles, including without limitation:

3.03.1.1 Involvement in the education of their children;

3.03.1.2 Volunteer activities;

- 3.03.1.3 Learning activities that support classroom instruction;
- 3.03.1.4 Participation in school decisions;
- 3.03.1.5 Collaboration with the community;
- 3.03.1.6 Development of school goals and priorities; and
- 3.03.1.7 Evaluating the effectiveness of the ~~comprehensive school-level~~ improvement plan (ACSIP);

3.03.2 Be comprehensive and coordinated in nature;

3.03.3 Recognize that communication between home and school should be regular, two-way, and meaningful;

3.03.4 Promote and support responsible parenting;

3.03.5 Acknowledge that parents play an integral role in assisting student learning;

3.03.6 Welcome parents into the school and seek parental support and assistance;

3.03.7 Recognize that a parent is a full partner in the decisions that affect his or her child and family;

3.03.8 Recognize that community resources strengthen school programs, family practices, and student learning; and

3.03.9 Support the development, implementation, and regular evaluation of the program to involve parents in the decisions and practices of the school district, using, to the degree possible, the components listed in this section.

~~3.04 A Title I public school or public school district shall:~~

~~3.04.1 Include in its parental involvement plan any other appropriate components, policies, programs, activities or procedures required by federal law;~~

~~3.04.2 Provide information to parents of students participating in Title I, Part A programs in an understandable and uniform format, including alternative formats upon request, and, to the extent practicable, in a language that parents can understand;~~

~~3.04.3 Take the necessary steps to ensure that communications with parents with disabilities are as effective as communications with other parents; such steps shall include the furnishing of appropriate auxiliary aids and services when necessary to afford a parent with a disability an equal opportunity to participate in, and enjoy the benefits of, Title I, Part A programs, services, and activities, including the parental involvement provisions; and~~

~~3.04.4 Plan and implement its parental involvement programs, activities, and procedures with meaningful consultation with parents of children participating in Title I, Part A programs.~~

4.00 PUBLIC SCHOOL DISTRICT RESPONSIBILITIES

~~4.01 Every licensed teacher, unlicensed teacher, and other licensed employee other than an administrator, in each public school district shall be required to have no less than two (2) hours of professional development designed to enhance understanding of effective parental involvement strategies. These two (2) hours may be included in the sixty (60) hours of professional development required by the Arkansas Department of Education Rules Governing Professional Development.~~

~~4.02 Every administrator, whether licensed or not, in each public school district shall be required to have no less than two (2) hours of professional development designed to enhance understanding of effective parent involvement strategies, the importance of administrative leadership in setting expectations, and creating a climate conducive to parental participation. These two (2) hours may be included in the sixty (60) hours of professional development required by the Arkansas Department of Education Rules Governing Professional Development.~~

~~4.03 Notwithstanding the provisions of sections 4.01 and 4.02, licensed school personnel may substitute for the required number of hours of staff development on parental involvement plans an equal number of hours of child maltreatment recognition training obtained under Ark. Code Ann. § 6-61-133. Substitution of hours shall be governed by the Arkansas Department of Education Rules Governing Professional Development.~~

4.01 Each public school district shall meet all the requirements for professional development related to this rule as identified in the Arkansas Department of Education Rules Governing Professional Development.

4.04 Each public school district shall provide training at least annually for volunteers who assist in an instructional program for parents.

4.05 Every public school district shall annually review and approve the parental involvement plan for each public school under the district's authority.

5.00 PUBLIC SCHOOL RESPONSIBILITIES

5.01 To encourage communication with parents, each public school shall:

5.01.1 Prepare an informational packet to be distributed annually to the parent of each child in the school, appropriate for the age and grade of the child, describing in a parent-friendly manner:

5.01.1.1 The school's parental involvement program;

5.01.1.2 The recommended role of the parent, student, teacher, and school;

5.01.1.3 Ways for the parent to become involved in the school and his or her child's education;

5.01.1.4 A survey for the parent regarding his or her interests concerning volunteering at the school;

5.01.1.5 Activities planned throughout the school year to encourage parental involvement; and

5.01.1.6 A system to allow the parents and teachers to communicate in a regular, two-way, and meaningful manner with the child's teacher and the school principal; and

5.01.2 Schedule no fewer than two (2) parent-teacher conferences per school year.

5.01.32.1 The school may plan and engage in other activities determined by the school to be beneficial to encourage communication with parents.

5.02 To promote and support responsible parenting, each public school shall, as funds are available:

5.02.1 Purchase parenting books, magazines, and other informative material regarding responsible parenting through the school library, advertise the current selection, and give parents an opportunity to borrow the materials for review;

5.02.2 Create parent centers; and

5.02.3 Plan and engage in other activities determined by the school to be beneficial to promoting and supporting responsible parenting.

5.03 To help parents in assisting students, each public school shall:

5.03.1 Schedule regular parent involvement meetings at which parents are given a report on the state of the school and an overview of:

5.03.1.1 What students will be learning;

5.03.1.2 How students will be assessed;

5.03.1.3 What a parent should expect for his or her child's education;
and

5.03.1.4 How a parent can assist and make a difference in his or her child's education;

5.03.2 Provide instruction to a parent on how to incorporate developmentally appropriate learning activities in the home environment, including without limitation:

5.03.2.1 Role play and demonstration by trained volunteers;

5.03.2.2 The use of and access to Department website tools for parents;

5.03.2.3 Assistance with nutritional meal planning and preparation; and

5.03.2.4 Other strategies or curricula developed or acquired by the school district for at-home parental instruction approved by the Department; and

5.03.3 Engage in other activities determined by the school to help a parent assist in his or her child's learning.

5.04 To welcome parents into the school, each public school shall:

5.04.1 Not have any school policies or procedures that would discourage a parent from visiting the school or from visiting a child's classrooms;

5.04.2 Encourage school staff to use the volunteer surveys to compile a volunteer resource book listing the interests and availability of volunteers so that school staff may:

- 5.04.2.1 Determine how frequently a volunteer would like to participate, including the option of just one (1) time per year;
- 5.04.2.2 Include options for those who are available to help at home; and
- 5.04.2.3 Help match school needs with volunteer interests; and
- 5.04.3 Engage in other activities determined by the school to welcome parents and families into the school.
- 5.05 To encourage a parent to participate as a full partner in the decisions that affect his or her child and family, each public school shall:
 - 5.05.1 Include in the school's policy handbook the school's process for resolving parental concerns, including how to define a problem, whom to approach first, and how to develop solutions;
 - 5.05.2 Sponsor seminars to inform the parents of students in grades nine (9) through twelve (12) about how to be involved in the decisions affecting course selection, career planning, and preparation for postsecondary opportunities; and
 - 5.05.3 Engage in other activities that the school determines will encourage a parent to participate as a full partner in the decisions that affect his or her child and family.
- 5.06 Each public school shall investigate and, where feasible, utilize community resources in the instructional program of the school.
- 5.07 To take advantage of community resources, each public school shall:
 - 5.07.1 Consider recruiting alumni from the school to create an alumni advisory commission to provide advice and guidance for school improvement;
 - 5.07.2 Enable the formation of a Parent Teacher Association or organization that will foster parental and community involvement within the school;
 - 5.07.2.1 Leaders of this organization shall be utilized in appropriate decisions affecting the children and families; and
 - 5.07.3 Engage in other activities that the school determines will use community resources to strengthen school programs, family practices, and student learning.

5.08 The principal of each public school shall designate one (1) licensed staff member who is willing to serve as a parent facilitator to:

5.08.1 Help organize meaningful training for staff and parents;

5.08.2 Promote and encourage a welcoming atmosphere to foster parental involvement in the school; and

5.08.3 Undertake efforts to ensure that parental participation is recognized as an asset to the school.

5.08.4 The certified staff member serving as a parental facilitator shall receive supplemental pay for the assigned duties as required by law.

6.00 MONITORING OF PARENTAL INVOLVEMENT PLANS

6.01 Annually, the Department shall:

6.01.1 Review the parental involvement plan of each public school district; and

6.01.2 Determine whether the plan is in compliance with law.

6.02. On each annual school performance report published by the Department pursuant to Ark. Code Ann. § 6-15-1402, the Department shall indicate whether or not the public school district is in compliance with these Rules and Ark. Code Ann. § 6-15-1701 *et seq.*

6.03 Periodically on a rotating schedule ~~of at least once every six (6) years~~, the Department shall monitor each public school district's plan to:

6.03.1 Evaluate whether the school district is implementing its plan and the implementation's effectiveness; and

6.03.2 Assess the areas in which a school district needs to revise its plan or its implementation of the plan.

~~6.043.3.~~ The Department shall place priority for monitoring on public school districts that have been identified as being in need of:

~~6.043.3.1~~ ~~School improvement for two (2) or more consecutive school years~~ Level 4—Directed Support; or

~~6.043.3.2~~ ~~Academic distress~~ Level 5—Intensive Support.

6.053-4 The Department may monitor a public school district's plan at other additional times as determined necessary by the Commissioner of Education or the State Board of Education.

6.04-6 By January 1 of each year, the Department shall provide any recommendations in writing to a school district:

6.04-6.1 Concerning areas of noncompliance with these rules or Ark. Code Ann. § 6-15-1701 *et seq.*; or

6.04-6.2 Arising from the Department's review of public school district plans under section 6.01.2 of these Rules.

6.057 The Department shall allow a public school district opportunity of no more than ~~ninety (90) days~~ to incorporate the Department's recommendations into the district's parental involvement plan.

SUMMARY:

The proposed Rule incorporates changes from Act 936 of 2017 concerning Parental Involvement Plans. The Rule title has been changed to add Family and Community Engagement, to reflect ESSA terminology. Act 936 required minor changes to the Rule to reflect other changes in terminology. The Rule replaces “school improvement” and “academic distress” with Level 4 Directed Support and Level 5 Intensive Support. Other changes include the replacement of references to No Child Left Behind with references to ESSA and the removal of outdated references to ACTAAP.

**QUESTIONNAIRE FOR FILING PROPOSED RULES AND REGULATIONS
WITH THE ARKANSAS LEGISLATIVE COUNCIL**

DEPARTMENT/AGENCY Arkansas Department of Education
DIVISION Public School Accountability
DIVISION DIRECTOR Deborah Coffman
CONTACT PERSON Jennifer Dedman
ADDRESS Four Capitol Mall, Little Rock, Arkansas 72201
PHONE NO. 501-682-4585 **FAX NO.** 501-682-4249 **E-MAIL** Jennifer.Dedman@Arkansas.gov
NAME OF PRESENTER AT COMMITTEE MEETING Jennifer Dedman
PRESENTER E-MAIL Jennifer.Dedman@Arkansas.gov

INSTRUCTIONS

- A. Please make copies of this form for future use.
- B. Please answer each question **completely** using layman terms. You may use additional sheets, if necessary.
- C. If you have a method of indexing your rules, please give the proposed citation after "Short Title of this Rule" below.
- D. Submit two (2) copies of this questionnaire and financial impact statement attached to the front of two (2) copies of the proposed rule and required documents. Mail or deliver to:

**Donna K. Davis
Administrative Rules Review Section
Arkansas Legislative Council
Bureau of Legislative Research
One Capitol Mall, 5th Floor
Little Rock, AR 72201**

1. What is the short title of this rule? ADE Rules Governing Parental Involvement Plans and Family and Community Engagement

2. What is the subject of the proposed rule? Parental Involvement Plans under the Arkansas Educational Support and Accountability Act and family and community engagement under the Every Student Succeeds Act

3. Is this rule required to comply with a federal statute, rule, or regulation? Yes No
If yes, please provide the federal rule, regulation, and/or statute citation. _____

4. Was this rule filed under the emergency provisions of the Administrative Procedure Act? Yes No
If yes, what is the effective date of the emergency rule? _____

When does the emergency rule expire? _____

Will this emergency rule be promulgated under the permanent provisions of the Administrative Procedure Act?

Yes

No

5. Is this a new rule? Yes No
If yes, please provide a brief summary explaining the regulation. _____

Does this repeal an existing rule? Yes No
If yes, a copy of the repealed rule is to be included with your completed questionnaire. If it is being replaced with a new rule, please provide a summary of the rule giving an explanation of what the rule does. _____

- Is this an amendment to an existing rule? Yes No
If yes, please attach a mark-up showing the changes in the existing rule and a summary of the substantive changes. **Note: The summary should explain what the amendment does, and the mark-up copy should be clearly labeled "mark-up."**

6. Cite the state law that grants the authority for this proposed rule? If codified, please give the Arkansas Code citation. Ark. Code Ann. §§6-15-1704 and 6-15-2202.
7. What is the purpose of this proposed rule? Why is it necessary? This Rule sets forth requirements for parental involvement plans, which are required under the Arkansas Educational Support and Accountability Act. It also incorporates family and community engagement from the Every Student Succeeds Act. The Rule explains public school district and public school responsibilities in communicating with parents and informs schools about the Department's monitoring of parental involvement plans.
8. Please provide the address where this rule is publicly accessible in electronic form via the Internet as required by Arkansas Code § 25-19-108(b). <http://www.arkansased.gov/divisions/legal/rules/pending>
9. Will a public hearing be held on this proposed rule? Yes No
If yes, please complete the following:
- Date: To be determined upon approval
Time: TBD
Place: TBD
10. When does the public comment period expire for permanent promulgation? (Must provide a date.)
Thirty (30) days from the date public comment is opened.
11. What is the proposed effective date of this proposed rule? (Must provide a date.)
July 1, 2018
12. Please provide a copy of the notice required under Ark. Code Ann. § 25-15-204(a), and proof of the publication of said notice. Notice will be published after approved and released for public comment.

13. Please provide proof of filing the rule with the Secretary of State and the Arkansas State Library as required pursuant to Ark. Code Ann. § 25-15-204(e). Rule will be filed after approved and released for public comment.

14. Please give the names of persons, groups, or organizations that you expect to comment on these rules? Please provide their position (for or against) if known. _____

FINANCIAL IMPACT STATEMENT

PLEASE ANSWER ALL QUESTIONS COMPLETELY

DEPARTMENT Arkansas Department of Education

DIVISION Public School Accountability

PERSON COMPLETING THIS STATEMENT Jennifer Dedman

TELEPHONE 501-682-4585 **FAX** 50-682-4249 **EMAIL:** Jennifer.Dedman@Arkansas.gov

To comply with Ark. Code Ann. § 25-15-204(e), please complete the following Financial Impact Statement and file two copies with the questionnaire and proposed rules.

SHORT TITLE OF THIS RULE ADE Rules Governing Parental Improvement Plans and Family and Community Engagement

- | | | |
|---|---|--|
| 1. Does this proposed, amended, or repealed rule have a financial impact? | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| 2. Is the rule based on the best reasonably obtainable scientific, technical, economic, or other evidence and information available concerning the need for, consequences of, and alternatives to the rule? | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| 3. In consideration of the alternatives to this rule, was this rule determined by the agency to be the least costly rule considered? | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

If an agency is proposing a more costly rule, please state the following:

(a) How the additional benefits of the more costly rule justify its additional cost;

(b) The reason for adoption of the more costly rule;

(c) Whether the more costly rule is based on the interests of public health, safety, or welfare, and if so, please explain; and;

(d) Whether the reason is within the scope of the agency's statutory authority; and if so, please explain.

4. If the purpose of this rule is to implement a federal rule or regulation, please state the following:

(a) What is the cost to implement the federal rule or regulation?

Current Fiscal Year

General Revenue	<u>0</u>
Federal Funds	<u>0</u>
Cash Funds	<u>0</u>
Special Revenue	<u>0</u>
Other (Identify)	<u>0</u>
Total	<u>0</u>

Next Fiscal Year

General Revenue	<u>0</u>
Federal Funds	<u>0</u>
Cash Funds	<u>0</u>
Special Revenue	<u>0</u>
Other (Identify)	<u>0</u>
Total	<u>0</u>

(b) What is the additional cost of the state rule?

Current Fiscal Year

General Revenue	<u>0</u>
Federal Funds	<u>0</u>
Cash Funds	<u>0</u>
Special Revenue	<u>0</u>
Other (Identify)	<u>0</u>
Total	<u>0</u>

Next Fiscal Year

General Revenue	<u>0</u>
Federal Funds	<u>0</u>
Cash Funds	<u>0</u>
Special Revenue	<u>0</u>
Other (Identify)	<u>0</u>
Total	<u>0</u>

5. What is the total estimated cost by fiscal year to any private individual, entity and business subject to the proposed, amended, or repealed rule? Identify the entity(ies) subject to the proposed rule and explain how they are affected.

Current Fiscal Year

\$ 0
0

Next Fiscal Year

\$ 0

6. What is the total estimated cost by fiscal year to state, county, and municipal government to implement this rule? Is this the cost of the program or grant? Please explain how the government is affected.

Current Fiscal Year

\$ 0
0

Next Fiscal Year

\$ 0

7. With respect to the agency’s answers to Questions #5 and #6 above, is there a new or increased cost or obligation of at least one hundred thousand dollars (\$100,000) per year to a private individual, private entity, private business, state government, county government, municipal government, or to two (2) or more of those entities combined?

Yes No

If YES, the agency is required by Ark. Code Ann. § 25-15-204(e)(4) to file written findings at the time of filing the financial impact statement. The written findings shall be filed simultaneously with the financial impact statement and shall include, without limitation, the following:

(1) a statement of the rule’s basis and purpose;

- (2) the problem the agency seeks to address with the proposed rule, including a statement of whether a rule is required by statute;
- (3) a description of the factual evidence that:
 - (a) justifies the agency's need for the proposed rule; and
 - (b) describes how the benefits of the rule meet the relevant statutory objectives and justify the rule's costs;
- (4) a list of less costly alternatives to the proposed rule and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;
- (5) a list of alternatives to the proposed rule that were suggested as a result of public comment and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;
- (6) a statement of whether existing rules have created or contributed to the problem the agency seeks to address with the proposed rule and, if existing rules have created or contributed to the problem, an explanation of why amendment or repeal of the rule creating or contributing to the problem is not a sufficient response; and
- (7) an agency plan for review of the rule no less than every ten (10) years to determine whether, based upon the evidence, there remains a need for the rule including, without limitation, whether:
 - (a) the rule is achieving the statutory objectives;
 - (b) the benefits of the rule continue to justify its costs; and
 - (c) the rule can be amended or repealed to reduce costs while continuing to achieve the statutory objectives.