# ARKANSAS DEPARTMENT OF EDUCATION RULES GOVERNING BACKGROUND CHECKS AND LICENSE REVOCATION

March 31, 2012 , 2013

#### 1.00 REGULATORY AUTHORITY AND PURPOSE

- 1.01 These rules shall be known as Arkansas Department of Education Rules Governing Background Checks and License Revocation.
- 1.02 The State Board of Education enacts these Rules pursuant to its authority as set forth in Ark. Code Ann. §§ 6-11-105, 6-17-410, 6-17-411, 6-17-414, 6-17-421, and 25-15-201 et seq., and Act 455 of 2013.
- 1.03 It is the purpose of these rules to set forth the requirements for a criminal background check and a Child Maltreatment Central Registry check for:
  - 1.03.1 Each first-time applicant for a license issued by the State Board of Education;
  - Each applicant for his or her first license renewal after July 1, 1997;
  - 1.03.3 Each applicant for initial employment in a licensed staff position with a public school district or open-enrollment public charter school; and
  - 1.03.4 Each applicant for initial employment or non-continuous reemployment in a non-licensed staff position with a public school district, open-enrollment public charter school, or education service cooperative; and
  - 1.03.5 Each preservice teacher.
- 1.04 It is further the purpose of these rules to prescribe the procedure for revocation, suspension, or placing on probation of an educational license.
- 1.05 It is further the purpose of these rules to clarify whose criminal records check and Child Maltreatment Central Registry check fees shall be paid by the Department of Education.
- 1.06 It is further the purpose of these rules to clarify that superintendents and charter school directors shall have the responsibility of reporting licensure

violations of teachers and fraudulent acts by Fiscal Officers to the State Board.

#### 2.00 DEFINITIONS

For the purposes of these rules:

- 2.01 "Affected District" A public school district that loses territory or students as a result of annexation, consolidation, or detachment.
- 2.02 "Applicant" Includes any individual:
  - 2.02.1 Applying for his or her first license to be issued by the State Board of Education:
  - 2.02.2 Applying for his or her first license renewal;
  - 2.02.3 Seeking initial employment in a licensed staff position with a public school district or open-enrollment public charter school;
  - 2.02.4 Seeking initial employment or non-continuous reemployment in a non-licensed staff position with a public school district, open-enrollment public charter school, or education service cooperative;
  - 2.02.5 Seeking initial employment as a Fiscal Officer of a public school district or open-enrollment public charter school; or
  - 2.02.6 Seeking registered volunteer status pursuant to the Arkansas Registered Volunteers Program Act, Ark. Code Ann. § 6-22-101 *et seq.*; or
  - 2.02.7 Who is a preservice teacher.
- 2.03 "Breach of Fiduciary Trust" the wrongful misappropriation by a person of any fund or property, which had lawfully been committed to him or her in a fiduciary character.
- 2.04 "Department" Arkansas Department of Education.
- 2.05 "Educational entity" means a school district, an open-enrollment public charter school, or an education service cooperative.

<del>2.05</del>2.06 "Employment" – Includes any contract of hire, whether written or oral, whether express or implied, for any type of work on behalf of an educational entity, whether full-time or part-time, and whether permanent or temporary. <del>2.05.1</del>2.06.1 "Employment" also specifically includes without limitation: 2.05.1.12.06.1.1 Service as a substitute teacher, whether paid or unpaid; 2.05.1.22.06.1.2 Student teacher internships, whether paid or unpaid; and 2.05.1.32.06.1.3 Volunteer work pursuant to the Arkansas Registered Volunteers Program Act, Ark. Code Ann. § 6-22-101 et seq. "Fiscal Officer" - Any licensed or non-licensed employee of a <del>2.06</del>2.07 public school district, open-enrollment public charter school, or education service cooperative who has any right, duty, or responsibility to access funds of a school district in excess of five thousand dollars (\$5,000), specifically including without limitation superintendents, Fiscal Officers and bookkeepers. <del>2.07</del>2.08 "Fraud" – All acts, omissions and concealments involving a breach of a legal or equitable duty and resulting in damage to another. "Fraudulent Act" - An act: <del>2.08</del>2.09 Performed willfully and with the specific intent to deceive or cheat for the purpose of either causing some financial loss to another or bringing about some financial gain to the actor; and 2.08.22.09.2 For which the actor has pleaded guilty or nolo contendere to or has been found guilty by any court in this state, by a court in another state, or by a federal court. <del>2.09</del>2.10 "Initial employment" means the first time that an applicant has been employed by a public school district, open-enrollment public charter school, or education service cooperative. "Law enforcement officer" – A state police officer, a city police <del>2.10</del>2.11 officer, a sheriff or a deputy sheriff.

- 2.112.12 "Letter of provisional eligibility" A six-month, non-renewable letter of provisional eligibility for licensure issued by the State Board of Education to an applicant for first-time licensure during the period that a criminal records check and Child Maltreatment Central Registry check are being conducted.
- 2.122.13 "Non-continuous reemployment" means employment in a public school district, open-enrollment public charter school, or education service cooperative by an applicant who was previously employed by the same entity but not at any point during the immediately preceding school year.
- 2.132.14 "Non-licensed staff position" means a position with a public school district, open-enrollment public charter school, or education service cooperative, which position does not require the holder of the position to possess an Arkansas teacher's license.
- 2.15 "Preservice teacher" means an unlicensed person admitted to a teacher preparation program approved by the Department that is offered by an institution of higher education in this state.
- 2.142.16 "Receiving or resulting public school district" A public school district that is created or gains territory or students as the result of a consolidation, annexation, or detachment.
- 2.152.17 "State Board" Arkansas State Board of Education.

# 3.00 LICENSURE, LICENSE RENEWALS, AND LICENSE REVOCATION

- 3.01 Unless a waiver is granted pursuant to this Section 3.0, the State Board shall not issue a license or license renewal to, and shall revoke, suspend, or place on probation the existing license of, any individual who:
  - 3.01.1 Has pled guilty, pled nolo contendere to, or been found guilty of any offense that will or may result in license revocation under Ark. Code Ann. § 6-17-410;
  - 3.01.2 Has an expunged or a pardoned conviction for any sexual or physical abuse offense committed against a child or any offense that will or may result in license revocation under Ark. Code Ann. § 6-17-410;
  - 3.01.3 Has a true report in the Child Maltreatment Central Registry;

- 3.01.4 Holds a teaching or similar license obtained by fraudulent means:
- 3.01.5 Has had a teaching or similar license revoked in another state;
- 3.01.6 Intentionally compromises the validity or security of any student test or testing program administered by or required by the state board or the Department of Education;
- 3.01.7 Has the completed examination test score of any testing program required by the state board for teacher licensure declared invalid by the testing program company and so reported to the Department of Education by the testing company;
- 3.01.8 Fails to establish or maintain the necessary requirements and standards set forth in Arkansas law or state board rules and regulations for teacher licensure;
- 3.01.9 Knowingly submits or provides false or misleading information or knowingly failing to submit or provide information requested or required by law to the Department of Education, the state board, or the Division of Legislative Audit; or
- 3.01.10 Knowingly falsifies or directs another to falsify any grade given to a student, whether the grade was given for an individual assignment or examination or at the conclusion of a regular grading period.
- 3.02 Before denying an application for licensure or renewal, or taking action against an existing license, the Department shall provide to the Applicant or licensee a written notice of the reason for the action and shall afford the Applicant or licensee the opportunity to request a hearing before the State Board.
  - 3.02.1 A written request for hearing by the Applicant or local public school district board of directors must be received by the Department's Office of Legal Services no more than thirty (30) days after the receipt of the notice of denial or nonrenewal by the Applicant.
  - 3.02.23.02.1 Upon receiving the written notice that an application for licensure or license renewal is being denied for a cause set forth, required by this section a person may:

- 3.02.2.13.02.1.1 Decline to answer the notice, in which case the application for licensure or license renewal will be deemed denied no less than thirty (30) days after the receipt of the notice of denial or nonrenewal by the ApplicantState Board shall hold a hearing to establish by a preponderance of the evidence that cause for the proposed action exists;
- 3.02.2.23.02.1.2 Contest the allegations of fact and request a hearing in writing, in which case the person shall be given an evidentiary hearing before the state board if one is requested;
- 3.02.2.33.02.1.3 Admit the allegations of fact and request a hearing before the state board to request a waiver; or
- 3.02.2.43.02.1.4 Stipulate or reach a negotiated agreement, which must be approved by the state board.
- 3.02.2 A preservice teacher who receives notice under this section and who desires to request a hearing before applying for licensure shall submit to the Department the following items with the written request for a hearing:
  - 3.02.2.1 Proof of acceptance or enrollment in a teacher preparation program approved by the Department; and
  - 3.02.2.2 A written recommendation from the teacher preparation program.
- 3.02.3 A written request for hearing by the Applicant, licensee, or board of directors of a school district or open-enrollment public charter school must be received by the Department's Office of Legal Services no more than thirty (30) days after the receipt of the notice of denial, nonrenewal, or other action by the Applicant or licensee.
  - 3.02.2.53.02.3.1 If the person requesting a hearing fails to appear at the hearing, the hearing shall proceed in the manner described in Section 3.02.2.13.02.1.1 above.

- 3.03 Before taking action against an existing license, the State Board shall provide by certified mail to the licensee a written notice of the reason for the action and the time, date, and location when the State Board will consider revocation.
  - 3.03.1 A written request for hearing by a licensee or a local public school district's board of directors must be received by the Department's Office of Legal Services no more than thirty (30) days after the receipt of the notice of revocation by the licensee.
  - 3.03.2 Upon written notice that a revocation, suspension, or probation is being sought by the State Board for a cause set forth, a person may:
    - 3.03.2.1 Decline to answer the notice, in which case the State Board may take action based upon proof submitted by the Department, if the State Board determines by a preponderance of the evidence that cause for the proposed action exists;
    - 3.03.2.2 Contest the allegations of fact and request a hearing in writing, in which case the person shall be given an evidentiary hearing before the state board if one is requested;
    - 3.03.2.3 Admit the allegations of fact and request a hearing before the State Board in mitigation of any penalty that may be assessed; or
    - 3.03.2.4 Stipulate or reach a negotiated agreement, which must be approved by the State Board.
    - 3.03.2.5 If the person requesting the hearing fails to appear at the hearing, the hearing shall proceed in the manner described in Section 3.03.2.1 above.
- Any hearing shall comply with the procedures set forth in Section 8.00 herein.
- 3.04 Circumstances for which a waiver may be granted after a hearing pursuant to Sections 3.02 or 3.03 shall include without limitation the following:
  - 3.04.1 The age at which the crime or incident was committed;

	3.04.2	The circumstances surrounding the crime or incident;
	3.04.3	The length of time since the crime or incident;
	3.04.4	Subsequent work history;
	3.04.5	Employment references;
	3.04.6	Character references; and
	3.04.7	Other evidence demonstrating that the applicant does not pose a threat to the health or safety of school children or school personnel.
3.05	After a hea	aring, the State Board may choose totake one (1) or more of the actions:
	3.05.1	Revoke a license permanently;
	3.05.2	Suspend a license for a terminable period of time or indefinitely;
	3.05.3	Place or grant a license on probationary status for a terminable period of time with the license to be revoked or suspended if the probationary period is not successfully completed;
	3.05.4	Impose a monetary penalty not to exceed five hundred dollars (\$500.00) for each violation;
	3.05.5	Require a licensee to complete appropriate professional development programs, education courses, or both;
	3.05.6	Require a licensee to successfully complete a licensing examination, credentialing examination, or any other examination required by law or rule to obtain a permit, license, endorsement, or licensure area;
	3.05.7	Impose conditions or restrictions on the teaching or educational activities of the licensee;
	3.05.8	Impose any other requirement or penalty as may be appropriate under the circumstances of the case and which would achieve the Board's desired disciplinary purposes, but which would not impair the public health or welfare; or

- 3.05.9 Take no action against a license.
- 3.06 Any hearing shall comply with the procedures set forth in Section 8.00 herein.
- 3.06 If, after a hearing, the State Board approves a waiver for a preservice teacher:
  - 3.06.1 The individual may obtain a license only upon:
    - 3.06.1.1 Successful completion of the teacher preparation program; and
    - 3.06.1.2 Fulfillment of all other requirements for licensure.
  - 3.06.2 A waiver granted under this Section 3.06 shall also operate as a waiver under Ark. Code Ann. § 6-17-414 for the preservice teacher to work for a school district as a student teacher.

# 4.00 BACKGROUND CHECKS REQUIRED FOR LICENSURE AND SCHOOL EMPLOYMENT

- 4.01 Except as otherwise provided herein, no an Applicant may not be issued a first-time license or first time license renewal, nor may an Applicant be employed by a public school district or open-enrollment public charter school, without the successful completion of a criminal records check and the successful completion of a Child Maltreatment Central Registry check as required by these Rules.
- 4.02 Applicants for a first-time license issued by the State Board:
  - 4.02.1 Each first-time Applicant for a license issued by the State Board shall be required to apply to:
    - 4.02.1.1 The Identification Bureau of the Department of Arkansas State Police for a criminal records check; and
    - 4.02.1.2 The Department of Human Services for a Child Maltreatment Central Registry check.
  - 4.02.2 <u>A background check for a first-time Applicant for a license</u> obtained during the twelve (12) months before the license is

- issued meets the requirement under 4.02.1 for the first-time Applicant.
- The State Board may issue a six-month, non-renewable letter of provisional eligibility for licensure to a first-time applicant Applicant if the Applicant meets meeting all other qualifications, pending the results of the nationwide criminal records and Child Maltreatment Central Registry checks.
- 4.02.34.02.4 The Commissioner of Education may extend the period of provisional eligibility to the end of the contract year if:
  - 4.02.3.14.02.4.1 The applicant Applicant is employed by a public school district or open-enrollment public charter school; and
  - 4.02.3.24.02.4.2 Results of the nationwide criminal records check or Child Maltreatment Central Registry check are delayed.
- 4.02.44.02.5 The letter of provisional eligibility will immediately become invalid upon receipt of information that the Applicant has a true report on the Child Maltreatment Central Registry or information obtained from the criminal records check from the Arkansas State Police or the FBI, or other eligibility information, indicating that the applicant has pled guilty or nolo contendere to, or has been found guilty of, any offense that will or may result in license revocation under Ark. Code Ann. § 6-17-410.
- 4.02.54.02.6 The Applicant shall be responsible for the payment of any fees associated with the criminal records check and Child Maltreatment Central Registry check.
- 4.03 Applicants for a first-time-license renewal:
  - 4.03.1 Each Applicant for his or her first license renewal after July 1, 1997, shall be required to apply to:
    - 4.03.1.1 The Identification Bureau of the Department of Arkansas State Police for a criminal records check; and
    - 4.03.1.2 The Department of Human Services for a Child Maltreatment Central Registry check.

- 4.03.2 The Department shall pay from the Public School Fund any fee associated with the criminal records check at the time of first time license renewal for employees of:
  - 4.03.2.1 Arkansas public school districts;
  - 4.03.2.2 Arkansas open enrollment public charter schools;
  - 4.03.2.3 Other public education institutions located in Arkansas; and
  - 4.03.2.4 The Arkansas Department of Education.
- 4.03.2 The Applicant should begin the process of obtaining the criminal records check and Child Maltreatment Central Registry check by **July 1** of the year in which the Applicant's license expires.
- 4.03.3 The State Board may issue a six-month, non-renewable letter of provisional eligibility for licensure to an Applicant for licensure renewal pending the results of the nationwide criminal records check if:
  - 4.03.3.1 Child Maltreatment Central Registry check is cleared:
  - 4.03.1.2 The criminal records check of the Arkansas State Police is cleared; and
  - 4.03.1.3 The Applicant meets all other qualifications.
- 4.03.4 The Commissioner of Education may extend the period of provisional eligibility to the end of the contract year if:
  - 4.03.4.1 The Applicant is employed by a public school district or open-enrollment public charter school; and
  - 4.03.4.2 Results of the nationwide criminal records check are delayed.
- 4.03.5 The letter of provisional eligibility will immediately become invalid upon receipt of information obtained from the nationwide criminal records check from the FBI, or other

eligibility information, indicating that the applicant has pled guilty or nolo contendere to, or has been found guilty of, any offense that will or may result in license revocation under Ark. Code Ann. § 6-17-410.

4.03.3 For all other Applicants, the This Applicant shall be responsible for the payment of any fees associated with the criminal records check and Child Maltreatment Central Registry check.

## 4.03 Preservice teachers:

- 4.03.1 Each Applicant who is a preservice teacher shall apply to:
  - 4.03.1.1 The Identification Bureau of the Department of Arkansas State Police for a criminal records check; and
  - 4.03.1.2 The Department of Human Services for a Child Maltreatment Central Registry check.
- 4.03.2 The Applicant shall be responsible for the payment of any fees associated with the criminal records check and Child Maltreatment Central Registry check.
- 4.03.3 These rules do not require an institution of higher education to bar a student from enrollment due to a disqualifying criminal conviction or a true report in the Child Maltlreatment Central Registry.

## 4.04 Applicants for employment in a licensed staff position:

- 4.04.1 Each Applicant for initial employment or non-continuous reemployment in a licensed staff position for a public school district or open enrollment public charter schoolan educational entity shall be required as a condition of employment to apply to:
  - 4.04.1.1 The Identification Bureau of the Department of Arkansas State Police for a criminal records check; and
  - 4.04.1.2 The Department of Human Services for a Child Maltreatment Central Registry check.

- 4.04.2 The board of directors of a receiving or resulting public school district in a consolidation, annexation, or detachment may waive the requirements of this Section 4.04 for personnel who were employed by an affected district immediately prior to the annexation, consolidation, or detachment and who had a complete criminal records check conducted as a condition of the person's most recent employment with the affected district as required under this section.
- 4.04.3 A public school district or open enrollment public charter schoolAn educational entity shall not employ in a licensed staff position any individual who has a true report in the Child Maltreatment Central Registry, unless the State Board granted a waiver by awarding a license or renewal under Section 3.00 herein.
- 4.04.4 A public school district or open-enrollment public charter schoolAn educational entity shall not employ in a licensed staff position any individual who has pled guilty, pled nolo contendere to, or been found guilty of any offense that will or may result in license revocation under Ark. Code Ann. § 6-17-410, unless the State Board granted a waiver by awarding a license or renewal under Section 3.00 herein.
- 4.04.5 A public school district or open enrollment public charter school An educational entity may offer provisional employment to an affected Applicant pending receipt of the results of the nationwide criminal records or Child Maltreatment Central Registry checks.
- 4.04.6 The Applicant shall be responsible for the payment of any fees associated with the criminal records check and Child Maltreatment Central Registry check, unless the employing public school district or open enrollment public charter school's board of directors of the employing educational entity chooses to pay the fees.

#### 4.05 Applicants for employment in a non-licensed staff position:

4.05.1 Each Applicant for initial employment or non-continuous reemployment in a non-licensed staff position for a public school district, open-enrollment public charter school, or education service cooperative an educational entity, shall be required as a condition of employment to apply to:

- 4.05.1.1 The Identification Bureau of the Department of Arkansas State Police for a criminal records check; and
- 4.05.1.2 The Department of Human Services for a Child Maltreatment Central Registry check.
- 4.05.2 The board of directors of a receiving or resulting public school district in a consolidation, annexation, or detachment may waive the requirements of this Section 4.05 for personnel who were employed by an affected district immediately prior to the annexation, consolidation, or detachment and who had a complete criminal records check conducted as a condition of the person's most recent employment with the affected district as required under this section.
- 4.05.3 A public school district, open-enrollment public charter school, or education service cooperative An educational entity shall not employ in a non-licensed staff position any individual who has a true report in the Child Maltreatment Central Registry or who has pled guilty or nolo contendere to, or has been found guilty of, any offense identified in Ark. Code Ann. § 6-17-414(b).
  - 4.05.3.1 If an applicant for employment has been determined incligible for employment because the applicant has a true report in the Child Maltreatment Central Registry, the local school board of directors shall provide a written notice to the applicant and shall afford the applicant the opportunity to request a waiver.
  - 4.05.3.2 The waiver shall be requested no more than thirty (30) days after receipt of the notice of the denial of employment.
  - 4.05.3.3 The waiver may be requested by:

4.05.3.3.1 The hiring official;

4.05.3.3.2 The affected applicant; or

4.05.3.3.3 The person subject to dismissal.

4.05.3.4 Circumstances for which a waiver may be granted shall include without limitation the following:

- 4.05.3.4.1 The age at which the incident was committed:
- 4.05.3.4.2 The circumstances surrounding the incident:
- 4.05.3.4.3 The length of time since the incident;
- 4.05.3.4.4 Subsequent work history;
- 4.05.3.4.5 Employment references;
- 4.05.3.4.6 Character references; and
- 4.05.3.4.7 Other evidence demonstrating that the applicant does not pose a threat to the health or safety of school children or school personnel.
- 4.05.4 A public school district, open enrollment public charter school, or education service cooperative shall not employ in a non-licensed staff position any individual who has pled guilty or nolo contendere to, or has been found guilty of, any offense identified in Ark. Code Ann. § 6-17-414(b).
- 4.05.54.05.4 A public school district, open-enrollment public charter school, or education service cooperative An educational entity may offer provisional employment to an affected Applicant pending receipt of the results of the nationwide criminal records or Child Maltreatment Central Registry checks.
- 4.05.64.04.5 The Applicant shall be responsible for the payment of any fees associated with the criminal records check and Child Maltreatment Central Registry check, unless the board of directors of the employing public school district, openenrollment public charter school, or education service cooperativeeducational entity chooses to pay the fees.
- 4.05.74.05.6 Notwithstanding the provisions of Section 4.05.6,4.04.5, a public school district or open-enrollment public charter schoolan educational entity may at its discretion require criminal records checks and Child Maltreatment Central Registry checks of existing non-licensed employees in the same manner as set forth herein, so long as the district or

schooleducational entity pays the full cost of the criminal records checks and Child Maltreatment Central Registry checks.

- 4.05.7 If an applicant for employment has been determined ineligible for employment because the applicant has a true report in the Child Maltreatment Central Registry, or has pled guilty or nolo contendere to, or has been found guilty of, any offense identified in Ark. Code Ann. § 6-17-414(b), the board of directors of the educational entity shall provide a written notice to the applicant and shall afford the applicant the opportunity to request a waiver.
  - 4.05.7.1 The waiver shall be requested no more than thirty
    (30) days after receipt of the notice of the denial of employment.
  - 4.05.7.2 The waiver may be requested by:
    - 4.05.7.2.1 The hiring official;
    - 4.05.7.2.2 The affected applicant; or
    - 4.05.7.2.3 The person subject to dismissal.
  - 4.05.7.3 <u>Circumstances for which a waiver may be granted</u> shall include without limitation the following:
    - 4.05.7.3.1 The age at which the incident was committed;
    - 4.05.7.3.2 The circumstances surrounding the incident;
    - 4.05.7.3.3 The length of time since the incident;
    - 4.05.7.3.4 Subsequent work history;
    - 4.05.7.3.5 Employment references;
    - 4.05.7.4.6 Character references; and
    - 4.05.7.3.7 Other evidence demonstrating that the applicant does not pose a threat to the

<u>health or safety of school children or school personnel.</u>

- 4.05.07.4 The board of directors of the educational entity may grant the waiver by adopting a written resolution identifying the applicant by name and listing the specific facts and circumstances for which the waiver is being granted.
  - 4.05.7.4.1 A waiver request may be discussed and acted upon by the board of directors only in an open public meeting and not in an executive session.
  - 4.05.07.4.2 After adopting the resolution, the board of directors shall immediately provide a copy of the resolution and waiver request to the Department.

## 5.00 BACKGROUND CHECK PROCEDURES

- 5.01 The criminal records check and Child Maltreatment Central Registry check required by these Rules shall be initiated by the submission to the Department of a release of information signed by the Applicant.
  - 5.01.1 For the purposes of these Rules, a criminal records check shall consist of a statewide criminal records check to be conducted by the Department of Arkansas State Police and a nationwide criminal records check to be conducted by the Federal Bureau of Investigation.
  - 5.01.2 Criminal records checks shall conform to the applicable federal or state standards and shall include the taking of fingerprints.

    The Identification Bureau of the Department of Arkansas State Police may maintain these fingerprints in the automated fingerprint identification system.
  - 5.01.3 Each applicant shall complete the State Police fingerprint card in the presence of a law enforcement officer, and shall have the law enforcement officer sign the fingerprint card and give his/her jurisdiction, the date and his/her badge number.
  - 5.01.4 If a legible set of fingerprints, as determined by the Identification Bureau of the Department of Arkansas State Police, cannot be obtained after a minimum of three (3) attempts, the Department or the local public school district shall determine eligibility for licensure or employment based

- upon a name check by the Identification Bureau of the Department of Arkansas State Police and the Federal Bureau of Investigation.
- 5.01.5 To be valid for consideration, a criminal records check or Child Maltreatment Central Registry check must have been completed no earlier than twelve (12) months prior to the application for licensure, renewal, or employment.
- 5.02 Any information received by the Department from the Department of Arkansas State Police, the Department of Human Services, or the Federal Bureau of Investigation pursuant to these Rules shall not be available for examination except by the affected applicant or his or her duly authorized representative, and no record, file, or document shall be removed from the custody of the Department of Education.
  - 5.02.1 Any information made available to the affected applicant for licensure or the person whose license is subject to revocation shall be information pertaining to that applicant only.
  - 5.02.2 Rights of privilege and confidentiality established under this section shall not extend to any document created for purposes other than this background check.
  - 5.02.3 For Applicants seeking employment, the Department may disclose to the employing public school district, openenrollment public charter school, or education service cooperative only whether the Applicant is eligible for employment.
- 5.03 Employees of a public school district, open-enrollment public charter school, or education service cooperative, whether new or existing, New or existing employees of an educational entity who have a contract with or work for more than one (1) school district in one (1) school year shall be required to have only one (1) criminal records check and one (1) Child Maltreatment Central Registry check to satisfy the requirements of all employing school districts for that year.

# 6.00 REPORTING REQUIREMENTS FOR SUPERINTENDENTS AND CHARTER SCHOOL DIRECTORS

6.01 The superintendent of each public school district and the director of each open-enrollment public charter school shall report in writing by certified mail to the Department's Office of Legal Services the name of any

- employee of the district or school, whether currently employed or previously employed at any time during the two (2) preceding school years, who:
- 6.01.1 Has pled guilty or nolo contendere, or has been found guilty, of any offense listed in Ark. Code Ann. §§ 6-17-410(c) or 6-17-414(b);
- 6.01.2 Holds a teaching or similar license obtained by fraudulent means;
- 6.01.3 Has had a teaching or similar license revoked in another state;
- 6.01.4 Has intentionally compromised the validity or security of any student test or testing program administered or required by the Department;
- 6.01.5 Has knowingly submitted falsified information or failed to submit information requested or required by law to the Department, the State Board, or the Division of Legislative Audit; or
- 6.01.6 Has a true report in the Child Maltreatment Central Registry.
- 6.02 The superintendent of each public school district and the director of each open-enrollment public charter school shall report in writing by certified mail to the Department's Office of Legal Services the name of any Fiscal Officer of the district or school, whether currently employed or previously employed at any time during the two (2) preceding school years, who has pled guilty or nolo contendere to, or has been found guilty of, a fraudulent act.
- 6.03 Failure of a superintendent to report a violation by certified mail within five (5) calendar days of knowledge as listed in Section 6.01 may result in sanctions imposed by the State Board, including but not limited to loss of accreditation.

#### 7.00 FISCAL OFFICERS

7.01 In addition to the requirements of Section 4.00, a public school district, open enrollment public charter school, or education service cooperative an educational entity shall not employ as a Fiscal Officer any individual who has pled guilty or nolo contendere to, or has been found guilty of, a fraudulent act.

- 7.02 A currently-employed Fiscal Officer of a public school district or openenrollment public charter schoolan educational entity who has pled guilty or nolo contendere to, or has been found guilty of, a fraudulent act shall be dismissed from employment with the district or schooleducational entity.
  - 7.02.1 Within five (5) days of knowledge of the plea or conviction, the district or schooleducational entity shall serve written notice of termination on the Fiscal Officer in person or by certified mail. The notice shall advise the Fiscal Officer of his or her right to a hearing before the State Board.
  - 7.02.2 The Fiscal Officer may, within thirty (30) days of service of the written notice of termination, request a hearing before the State Board by sending a written request via certified mail to the Department's Office of Legal Services.
  - 7.02.3 If the Fiscal Officer does not timely request a hearing before the State Board, termination shall become effective thirty (30) days after the date of service of the written notice of termination.
  - 7.02.4 Termination of employment pursuant to this subsection shall not be subject to the requirements of the Teacher Fair Dismissal Act, Ark. Code Ann. § 6-17-1501 *et seq.* or the Public School Employee Fair Hearing Act, Ark. Code Ann. § 6-17-1701 *et seq.*
- 7.03 Any individual found ineligible for employment or dismissed from employment under Section 7.00 may, within thirty (30) days of service of the written notice of denial of employment or written notice of termination, request a hearing before the State Board by sending a written request via certified mail to the Department's Office of Legal Services.
- 7.04 Circumstances for which a waiver may be granted shall include without limitation the following:
  - 7.04.1 The age of the Fiscal Officer at the time the criminal act occurred;
  - 7.04.2 The length of time since the conviction;
  - 7.04.3 Whether the Fiscal Officer has pled guilty or nolo contendere to, or has been found guilty of, any other criminal violations since the original conviction;

- 7.04.4 Whether the original conviction was expunged or pardoned; and
- 7.04.5 Any other relevant facts.
- 7.05 The hearing shall follow the procedures set forth in Section 8.00 of these Rules.
- 7.06 After making its decision, the State Board shall reduce its decision to writing and shall mail copies of the decision to the Fiscal Officer or Applicant and the Superintendent of the affected school district.

#### 8.00 STATE BOARD HEARING PROCEDURES

- 8.01 Each party shall exchange exhibits and witness lists thirty (30) calendar days before the scheduled hearing date, unless both parties agree to a shorter period of time.
- 8.02 Requests for subpoenas must be received in the Office of Legal Counsel not less than ten (10) calendar days before the hearing date.
- 8.03 Each party will have the opportunity to present an opening statement of no longer than five (5) minutes, beginning with the representative of the Department of Education. The Chairperson of the State Board may, only for good cause shown and upon the request of either party, allow either party additional time to present their opening statements.
- 8.028.04 Each party will be given forty (40)twenty (20) minutes to present their cases, beginning with the representative of the Department of Education. The Chairperson of the State Board may, only for good cause shown and upon the request of either party, allow either party additional time to present their cases.
- 8.038.05 Every witness giving oral testimony must be sworn under oath by the court reporter and shall be subject to direct examination, cross examination, and questioning by the State Board.
- 8.048.06 For the purposes of the record, documents offered during the hearing by the Department of Education shall be clearly marked in sequential, numeric order (1, 2, 3).
- 8.058.07 For the purposes of the record, documents offered during the hearing by the appealing public school district, open-enrollment public charter school, Applicant, or licensee shall be clearly marked in sequential, alphabetic letters (A, B, C).

- 8.068.08 The Department of Education shall have the burden of proving, by a preponderance of the evidence, that cause for the proposed licensure action exists, and that the recommended disposition from the Department be adopted.
- 8.078.09 While the scope of each party's presentation ultimately lies within the Board Chairperson's discretion, case presentation should be arranged in such a way as to avoid redundant testimony.
- 8.088.10 After both parties have presented their cases, the State Board may allow each party to present limited rebuttal testimony.
- 8.098.11 After making its decision, the State Board shall reduce its decision to writing and shall mail copies of the decision to each party, each party's attorney, and the superintendent or director of any interested public school district or open-enrollment public charter school.
- 8.108.12 The Board's written decision shall constitute the final agency action for purposes of judicial review pursuant to the Arkansas Administrative Procedure Act, Ark. Code Ann. § 25-15-201 et seq.