

ARKANSAS REGISTER

AR. REGISTER DIV.

96 SEP 27 PM 3:01 Transmittal Sheet



SHARON PRIEST
SECRETARY OF STATE
STATE OF ARKANSAS

BY _____

Sharon Priest
Secretary of State
State Capitol Rm. 01
Little Rock, Arkansas 72201-1094

For Office Use Only: Effective Date 10/7/96 Code Number 004.00.96--002

Name of Agency Arkansas Department of Correction

Department of Correction

Contact Person Larry B. Norris, Director Phone 247-6344

Statutory Authority for Promulgating Rules § 12-27-105 and 16-93-1203 (Miche Suppl. 1993)

AR 017 - Critical Incident Review

Date

Intended Effective Date

Legal Notice Published August 17-23, 1996

☐ Emergency

Final Date for Public Comment 8-12-96

☒ 10 Days After Filing

Filed With Legislative Council. 8-26-96

☒ Other

Reviewed by Legislative Council 9/12/96

The effective Date for this AR is 10/1/96 Adopted by State Agency 9/24/96

CERTIFICATION OF AUTHORIZED OFFICER

I Hereby Certify That The Attached Rules Were Adopted
In Compliance with Act 434 of 1967 As Amended.

A handwritten signature in dark ink, appearing to read "Larry B. Norris", written over a horizontal line.

Signature

501-247-6344

Phone Number

Director

Title

9/24/96

Date



ADMINISTRATIVE REGULATIONS

STATE OF ARKANSAS

**BOARD OF CORRECTION AND
COMMUNITY PUNISHMENT**

FILED

AR. REGISTER D.V.

96 SEP 27 PM 3:07

SHARON PRIEST
SECRETARY OF STATE
OF ARKANSAS

Section Number:

017

Page Number:

1 of 3

Board Approval Date:

9/24/96

Supersedes:

017

Dated:

6-18-81

Reference:

Effective Date:

10/7/96

SUBJECT: Critical Incident Review

I. AUTHORITY:

The Board of Correction and Community Punishment (BCCP) is vested with the authority to promulgate this administrative regulation by Ark. Code Ann. ss 12-27-105 (Michie Supp. 1995).

II. POLICY:

To assess the adequacy of policy and its implementation in situations where unexpected threat to, or loss of, life has occurred within the Arkansas Department of Correction, and is not the result of disturbance or criminal activity.

III. DEFINITIONS:

Critical Incident: Usually a critical incident will meet the following criteria:

- A. An employee, inmate, volunteer, or visitor has died or sustained serious injury
- B. Occurred on property of the Arkansas Department of Correction
- C. Occurred while being transported in a vehicle operated by ADC staff or inmate
- D. The event is not associated with known criminal actions or rule violations by staff
- E. Questions exist regarding response to the event or prevention of similar events.

However, any incident to which the Director wishes to apply this procedure will be considered a critical incident.

Critical Incident Review Committee: A committee of ADC staff appointed by the Director drawing normally from the following areas:



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SUBJECT: Critical Incident Review

- A. Internal Affairs
- B. Warden/Center Supervisor or Assistant Warden
- C. Medical Services
- D. Mental Health Services
- E. Other staff as deemed appropriate by the Director.

III. PROCEDURE:

- A. The Director shall use his/her discretion in ordering a Critical Incident Review. The Director may review requests for Critical Incident Reviews originating from Administrators, Wardens and Center Supervisors and forwarded through the appropriate Deputy/Assistant Directors.
- B. If the Director determines that the facts warrant a Critical Incident Review, the Director will select and notify the individuals to be on the Critical Incident Review Committee. The Director will also advise the Management Team that a Critical Incident has been authorized.
- C. The Critical Incident Review Committee, acting under the authority of the Director, will conduct such interviews and gather such documentation as necessary to determine the facts related to the incident.
- D. Should the Critical Incident Review Committee have good cause to suspect that criminal activity has occurred, the Administrator of Internal Affairs will be immediately notified, and the Director will make a determination as to whether to continue the Critical Incident Review or refer the matter to the State Police or Internal Affairs for investigation.



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SUBJECT: Critical Incident Review

- E. The Critical Incident Review Committee will usually finish its work within 45 days, and the Chair will cause a report to be written which outlines the facts and recommendations. Should additional time be needed, the Chair will notify the Director and provide an expected completion date.
- F. Copies of all records and tapes of interviews from which the facts and recommendations have been drawn will be retained by Internal Affairs. A copy of the summary and recommendations will be forwarded to the Deputy/Assistant Director who originally requested the Critical Incident Review. The Deputy/Assistant Director will work with staff to develop an action plan.
- G. The summary, recommendations and action plan will be made known to the Management Team for review and to determine the feasibility of implementation. The Director will set a review date to ensure that recommendations approved by the Management Team are implemented in the designated time frame.
- H. Portions of this review may be considered performance evaluation records where describing individual employee actions.

DATE

9/24/96

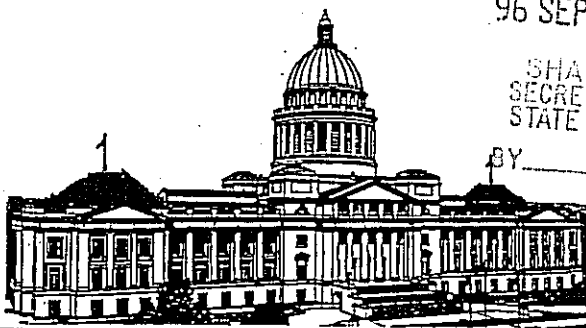
DIRECTOR

AR017
960826

ARKANSAS REGISTER

96 SEP 27 PM 3:08

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STATE OF ARKANSAS

BY _____

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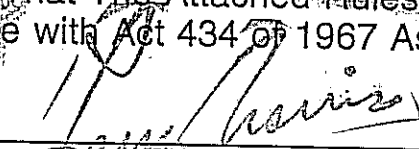
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DIRECTOR

Larry Harris

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