ARKANSAS REGISTER EILED Transmittal Sheet



W. J. "BILL" McCUEN SECRETARY OF STATE

> W. J. "Bill" McCuen Secretary of State State Capitol Rm. 010 Little Rock, Arkansas 72201-1094

| For Office Use Only: Effective Date _//_ | 11/93 Code Number 004.00. | 73012 | | |
|--|--|-----------|--|--|
| Name of Agency Arkansas Depart | ment of Correction | | | |
| Department of Correction | | | | |
| Contact PersonLarry Morris, Director | | | | |
| Statutory Authority for Promulgating Rules Act 50 of 1968, First Extradordinary Session, | | | | |
| Intended Effective Date AR83 | 35 - Crievance Procedure for Inmates Legal Notice Published | Date | | |
| ☐ 20 Days After Filing | Final Date for Public Comment | | | |
| Other | Filed With Legislative Council | 10/5/93 | | |
| Please use 11-11-93 as the — effective date | Reviewed by Legislative Council | 10/ 3/ 33 | | |
| _ | Adopted by State Agency | 10/21/93 | | |
| CERTIFICATION OF AUTHORIZED OFFICER | | | | |
| In Compliande | at The Attached Rules Were Adopted with Act 484 of 1967 As Amended. Signature | | | |
| | Director Title | | | |
| | October 21, 1993 | - | | |
| <u> </u> | | | | |

004.00.93--012

ADMINISTRATIVE REGULATIONS STATE OF ARKANSAS

DEPARTMENT OF CORRECTION

SUBJECT: Grievance Procedures For Inmates

FILED

Section Number

OCT 2 2 1993

W. J. "BILL" MCGUEN

I. AUTHORITY:

SECRETARY OF STATE
The authority to promulgate this administrative regulation is vested in Act 50 of 1968, First Extraordinary Session, as amended, and Act 549 of 1993, Regular Session.

II. PURPOSE:

To provide the procedure by which formal complaints or grievances filed by an inmate may be resolved.

III. APPLICABILITY:

To all employees and inmates.

IV. DEFINITIONS:

- A. <u>Grievance</u>: A written complaint by an inmate on the inmate's own behalf regarding:
 - A policy applicable within his or her unit/center of assignment which personally affects the grievant;
 - 2. A condition in the unit/center that personally affects the grievant;
 - 3. An action involving an inmate(s) of his or her institution which personally affects the grievant;
 - 4. An action of an employee(s) of his or her institution which personally affects the grievant;
 - 5. An incident occurring within his or her institution which personally affects the grievant.

The term does not include a complaint relating to a parole/release transfer matter. There is a separate procedure for appealing disciplinary action, and the grievance procedure shall not be used to appeal disciplinary action.



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| Board of Correction Approval Date: 10/21/93 | | |
| Supersedes: 835 | Dated: 1/19/93 | |
| Attorney General Review Date: | Date Filed Secy. of State: | |
| 10/21/93 | 10/22/93 | |
| | | |

SUBJECT: Grievance Procedures For Inmates

- B. <u>Inmate</u>: An individual confined to an adult institution who has been convicted of a crime.
- C. Reprisals: At a minimum, an act or threat of action against anyone for the good faith use of or good faith participation in the grievance procedure. Inmates are also allowed to pursue complaints of reprisal(s) through these procedures.
- D. Emergency Situation: Matters regarding in which disposition according to the regular time limits would subject the inmate to substantial risk of personal injury, or cause other serious and irreparable harm to the inmate. Emergency grievances must be forwarded immediately to the level at which corrective action can be taken.
- E. Days: Calendar days.

V. POLICY:

It shall be the policy of the Department of Correction to provide inmates an opportunity to resolve bona fide grievances, complaints, incidents and problems through established procedures without fear of restraint, interference, coercion, discrimination or reprisal.

VI. PROCEDURES:

A. Assurances

Guidelines exist to provide inmates with a meaningful opportunity to resolve grievances, incidents, problems and complaints including those which may result in lawsuits filed pursuant to 42 U.S.C. 1983, and to recognize that this opportunity should be assured by:

1. Promulgating written procedures that require a plain, speedy, efficient and equitable response to the inmate by the Department.



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SUBJECT: Grievance Procedures For Inmates

- 2. Providing a mechanism for input by both inmates and Department employees in the implementation, review and evaluation of procedures.
- 3. Communicating the procedures in an effective manner to inmates and employees.
- 4. Assuring that inmates shall not suffer any reprisals for their use of the procedures and that if such reprisals do occur, they will be redressed and employees disciplined.
- 5. Promoting the credibility of the procedures by providing a participatory role in its operation for inmates and Department employees.
- 6. Submitting the dispositions of grievances to independent review.
- 7. Recording the filing and disposition of grievances, assuring confidentiality and providing all parties concerned with documentation of all actions taken.
- 8. Defining emergency and other special situations, and providing for more speedy dispositions where appropriate.
- 9. Evaluating and reporting upon the performance of procedures.
- 10. Submitting the procedures to the Attorney General of the United States for Certification pursuant to 42 U.S.C. 1997, when certification requirements may be met in accordance with State law.
- B. Steps of the Grievance Procedure
 - 1. Established steps of the grievance procedure shall exist in an administrative directive.



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- Grievance forms shall be freely available to inmates, and completed forms shall be collected daily.
- 3. Receipt of grievances shall be acknowledged to the inmates and responded to within the fixed time limits.

The entire grievance process shall be completed within 90 calendar days unless a valid extension has been agreed upon.

- If an emergency situation is determined to exist, immediate corrective action will be taken.
- C. Confidentiality

Information and/or records regarding the grievance proceedings shall be held in confidence.

D. Evaluation

Each designated administrator at each level response shall evaluate the inmates' use of the grievance procedure.

VII. A.C.A. REFERENCES:

AR835